

Transfer of Participation (Transfer)



Te Uru Rākau

Forestry New Zealand

Emissions Trading Scheme (Forestry) – Post-1989

ETSP89TT.7

Use this form if you are a party:

- from whom post-1989 forest land registered in the Emissions Trading Scheme (ETS) is being transferred (the Transferor); or
- to whom post-1989 forest land registered in the ETS is being transferred (the Transferee).

Transfers can occur in the following circumstances:

- post-1989 forest land registered in the ETS is sold to a new landowner;
- a registered forestry right, registered lease or Crown conservation contract over post-1989 forest land registered in the ETS is transferred by way of assignment to another party;
- a new registered forestry right, registered lease or Crown conservation contract is created over post-1989 forest land that is already registered in the ETS, and the participation is transferred from the landowner to the right-holder, lessee or contracting party;
- transmission of an interest in registered post-1989 forest land to another party; for example, via inheritance, court order or other legal mechanism.

NOTE: As a result of the transfer of participation, the Transferee becomes responsible for the unit balance of the registered post-1989 forest land being transferred, and compliance with all requirements under the Climate Change Response Act 2002.

If as a result of the transfer of participation the Transferor no longer has any post-1989 forest land in the ETS, the Transferor will cease to be a participant and will be removed from the New Zealand Emissions Trading Register (NZETR).

Where a forestry right, lease or conservation contract is expiring or terminating, use the Transfer of Participation (Expiry/Termination) notification form instead (available at: www.mpi.govt.nz/news-resources/forms).

Transfers of multiple titles in a single transaction must have identical transferors and transferees.



All information is **MANDATORY** unless indicated as 'optional'.

Person submitting this notification

Please provide contact details for the person submitting this notification on behalf of the Participant(s). We will contact this person if we have any questions about the notification or payment of fees. Where you provide personal information, Te Uru Rakau will hold and use that information in accordance with the Privacy Act 1993. You have the right to access and correct any personal information held by Te Uru Rakau.

Organisation (*optional*)

Title

 Mr Mrs Ms

First Name(s)

Last Name

Preferred Telephone Number

| Country | Area Code | Number |
|---------|-----------|--------|
| (+) | () | |

Alternate Phone Number (*optional*)

| Country | Area Code | Number |
|---------|-----------|--------|
| (+) | () | |



Address (Line 1)

Address (Line 2) (optional)

Suburb

City

State (optional)

Postcode

Country
 New Zealand or
 Other _____

Email address

Fax (optional)
Country (+) Area () Number

Preferred Contact Method
 Email Phone Mail/Post



PART A – TRANSFEREE PARTICIPANT

1 Transferee name and holding account number

Please indicate whether or not the Transferee is already a registered Participant in the Emissions Trading Scheme (Forestry):

Transferee is an existing registered Participant

Participant name(s):

Participant's holding account number:

Proceed straight to step 2

or

Transferee is NOT a registered Participant (but will become one as a result of this application)

A Participant's legal form (natural person, company, partnership, joint ownership etc) will determine the Participant name on the register. All forest land included in this application must have this exact Participant name listed:

- on the relevant Legal Description(s), Certificate(s) of Title, Register of Deeds, Māori Land Court record(s), or
- as a party to a Crown Conservation Contract

Participant name(s):

Holding account (New Zealand Emissions Trading Register)

A Participant must have an NZETR holding account. The name of the NZETR account holder must be identical to the Participant name entered above. You can get a holding account by calling 0800 CLIMATE or visiting www.epa.govt.nz.

Participant's holding account number:



2 Transferee participant contact details

The legal structure of the Participant will influence whose names and contact details must be provided and who must sign particular documents. The chart below illustrates some of the more typical legal structures:

| Legal structure | Examples | Instructions |
|------------------------------|--|--|
| Incorporated Bodies | <ul style="list-style-type: none"> ▪ Limited company ▪ Cooperative company ▪ Trustee corporation ▪ Incorporated trust ▪ Māori incorporation ▪ Unit trust ▪ Limited partnership ▪ Incorporated society ▪ Government Department or Crown Entity | <p>Please provide the contact details of one person properly authorised to act for the Participant. This includes signing documents and receiving notices on behalf of the Participant</p> |
| Individuals | <ul style="list-style-type: none"> ▪ 1 or 2 persons | <p>Please complete the contact details section below. If there are 2 persons please attach a copy of the Applicant Contact Details supplementary form for the additional person.</p> |
| Unincorporated Bodies | <ul style="list-style-type: none"> ▪ If the persons are partners in a partnership, trustees or in a joint venture: 2 persons jointly owning post-1989 forest land (or jointly holding a registered forestry right or registered lease, or jointly parties to a Crown conservation contract); or ▪ if the persons are not partners in a partnership, trustees or in a joint venture: 3 or more persons jointly owning post-1989 forest land (or jointly holding a registered forestry right or registered lease, or jointly parties to a Crown conservation contract) | <ol style="list-style-type: none"> 1. Complete the contact person section below for the first member of the Unincorporated Body. 2. Complete as many copies of the Applicant Contact Details supplementary form (available at: www.mpi.govt.nz/forestry/forestry-in-the-ets/forestry-ets-forms) as needed to ensure the details of every member of the unincorporated body are included with this application. 3. Complete the Person to Receive Notices on behalf of the unincorporated body supplementary form (available at: www.mpi.govt.nz/forestry/forestry-in-the-ets/forestry-ets-forms) <p><i>Unincorporated bodies have an obligation to advise Te uru Rakau of any changes over time to the members making up the unincorporated body, and to ensure the members recorded as account holders of the NZETR holding account are kept up to date. Please telephone 0800 CLIMATE or email climatechange@mpi.govt.nz</i></p> |



Organisation (optional)

Title Mr Mrs Ms

First Name(s)

Last Name

Preferred Telephone Number
Country (+) Area Code () Number

Alternate Phone Number (optional)
Country (+) Area Code () Number

Address (Line 1)

Address (Line 2) (optional)

Suburb

City

State (optional)

Postcode

Country
 New Zealand or
 Other _____

Email address

Fax (optional)
Country (+) Area () Number

Preferred Contact Method
 Email Phone Mail/Post



3 Transferee property selection

Please list the post-1989 forest land properties currently in the Emissions Trading Scheme (Forestry) that are subject to the transfer. Registration of this land in the Emissions Trading Scheme will be transferred to the Transferee.

Legal Description

Associated Title References or Māori Land Court References

Reason for Transfer (Tick One):

- Land Sale
- Assignment of a registered forestry right or registered lease
- Creation of a registered forestry right or registered lease
- Transmission

Legal Description

Associated Title References or Māori Land Court References

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Print off multiple copies of this page and attach if you have more properties.

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- Creation of a registered forestry right or registered lease
- Transmission

If you do not know the Title Reference, then please liaise with Land Information New Zealand **before** sending in this form. **Te Uru Rakau will not process this notification if mandatory information is missing.**

You can contact Land Information New Zealand at www.linz.govt.nz/about-linz/contact-us/index.aspx or phone 0800 ONLINE (0800 665 463).



4 Transferee declarations

Please tick to confirm that you understand each of the following statements:

- I understand that acquiring registered post-1989 forest land may have implications for my status as, or require me to become, a Field Measurement Approach participant and/or may affect the minimum number of permanent sample plots required to be located on my forest land.
- It is very important that you have completed this application or notification accurately and truthfully. If you provide any altered, false, incomplete or misleading information in or with this application you commit an offence under the Climate Change Response Act 2002 and if convicted you may be liable to imprisonment or a fine up to \$50,000.
- If information supplied within your application is required by the Inventory Agency or Registrar of the New Zealand Emissions Trading Register to carry out their functions under the Climate Change Response Act 2002, then the Chief Executive responsible for the operation of the Emissions Trading Scheme must supply that information to them. This information can only be used by the Registrar of the New Zealand Emissions Trading Register for the purposes of carrying out their functions under the Climate Change Response Act 2002.



Te Uru Rakau will not process this form unless all declarations are ticked, and the form is signed.

5 Transferee signature

Please complete **A** (if applicable) OR **B**.

A Authorised Representative Signature

If you are the Authorised Representative for this Participant, please sign below.

Name (please print clearly)

Signature

Date

Title (if applicable)

Organisation (if applicable)

If you have not already done so, please provide an Authorised Representative form (available at www.mpi.govt.nz/news-resources/forms/forestry-ets-forms-approval-of-authorized-reps.aspx), Power of Attorney, or other instrument appointing an agent.

OR

B Participant Signature

Every person who constitutes the participant and/or is duly authorised under the authority of the legal structure of the participant (as applicable) must sign this form.



Incorporated bodies (e.g. companies, limited partnerships and other incorporated entities)

| | | |
|---|------------------------------------|-------------|
| Organisation | Name (please print clearly) | |
| _____ | _____ | |
| Position (if applicable) | Signature | Date |
| _____ | _____ | _____ |
| Organisation | Name (please print clearly) | |
| _____ | _____ | |
| Position (if applicable) | Signature | Date |
| _____ | _____ | _____ |
| <p>Where signed by only one director of a company or one general partner of a limited partnership or where signed by another authorised person, signatures must be witnessed.</p> | | |
| Name of witness (please print clearly) | Signature | |
| _____ | _____ | |
| Position /Occupation | Date | |
| _____ | _____ | |
| Address | _____ | |
| _____ | _____ | |

Individuals and unincorporated entities (e.g. sole owners, joint owners, trusts, partnerships)

| | | |
|------------------------------------|------------------|-------------|
| Name (please print clearly) | Signature | Date |
| _____ | _____ | _____ |
| Name (please print clearly) | Signature | Date |
| _____ | _____ | _____ |
| Name (please print clearly) | Signature | Date |
| _____ | _____ | _____ |
| Name (please print clearly) | Signature | Date |
| _____ | _____ | _____ |
| Name (please print clearly) | Signature | Date |
| _____ | _____ | _____ |
| Name (please print clearly) | Signature | Date |
| _____ | _____ | _____ |
| Name (please print clearly) | Signature | Date |
| _____ | _____ | _____ |



| | | |
|-----------------------------|-----------|-------|
| Name (please print clearly) | Signature | Date |
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| Name (please print clearly) | Signature | Date |
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| Name (please print clearly) | Signature | Date |
| _____ | _____ | _____ |
| Name (please print clearly) | Signature | Date |
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| Name (please print clearly) | Signature | Date |
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| Name (please print clearly) | Signature | Date |
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| Name (please print clearly) | Signature | Date |
| _____ | _____ | _____ |
| Name (please print clearly) | Signature | Date |
| _____ | _____ | _____ |
| Name (please print clearly) | Signature | Date |
| _____ | _____ | _____ |
| Name (please print clearly) | Signature | Date |
| _____ | _____ | _____ |
| Name (please print clearly) | Signature | Date |
| _____ | _____ | _____ |

If necessary, append additional pages of signatures.

Now forward this form to the Transferor, who must complete PART B.

PART B – TRANSFEROR PARTICIPANT

1 Transferor name and holding account number

Name of the person(s) currently registered as the Participant with respect to the land.

Participant name(s):

Participant's holding account number:

2 Transferor property selection

Please list the post-1989 forest land properties currently in the Emissions Trading Scheme (Forestry) that are subject to the transfer. Registration of this land in the Emissions Trading Scheme will be transferred to the Transferee.

Legal Description

Reason for Transfer (Tick One):

- Land Sale
- Assignment of a registered forestry right or registered lease
- Creation of a registered forestry right or registered lease
- Transmission

Associated Title References or Māori Land Court References

Registered CAAs (or Part CAAs) on the Property (eg CAA1):

| | | | |
|----------------------|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |

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- Transmission

Associated Title References or Māori Land Court References

Registered CAAs (or Part CAAs) on the Property (eg CAA1):

| | | | |
|----------------------|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |

Legal Description

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Associated Title References or Māori Land Court References

Registered CAAs (or Part CAAs) on the Property (eg CAA1):

| | | | |
|----------------------|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |



Print off multiple copies of this page and attach if you have more properties.

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|--|--|--|--|
| | | | |
| | | | |

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| | | | |
| | | | |

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- Creation of a registered forestry right or registered lease
- Transmission

Associated Title References or Māori Land Court References

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| | | | |
|--|--|--|--|
| | | | |
| | | | |

Legal Description

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- Land Sale
- Assignment of a registered forestry right or registered lease
- Creation of a registered forestry right or registered lease
- Transmission

Associated Title References or Māori Land Court References

Registered CAAs (or Part CAAs) on the Property (eg CAA1):

| | | | |
|--|--|--|--|
| | | | |
| | | | |

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You can contact Land Information New Zealand at www.linz.govt.nz/about-linz/contact-us/index.aspx or Phone 0800 ONLINE (0800 665 463).



3 Transferor declarations

Please tick to confirm that you understand each of the following statements:

- I understand that the Transferor has an obligation to file a Mandatory Emissions Return (Transmission) within 20 working days of the date of transmission of the transmitted interest.
- I understand that the Transferor will be deregistered as a post-1989 forest land Participant from the registry if they are no longer a Participant in relation to any land in the Emissions Trading Scheme.
- I understand that the transfer of post-1989 forest land may have implications for my status as a Field Measurement Approach participant and/or for the minimum number of permanent sample plots required to be located on my forest land.
- It is very important that you have completed this application or notification accurately and truthfully. If you provide any altered, false, incomplete or misleading information in or with this application you commit an offence under the Climate Change Response Act 2002 and if convicted you may be liable to imprisonment or a fine up to \$50,000.
- If information supplied within your application or notification is required by the Registrar of the New Zealand Emissions Trading Register to carry out their functions under the Climate Change Response Act 2002, then the Chief Executive responsible for the operation of the Emissions Trading Scheme must supply that information to them. This information can only be used by the Registrar of the New Zealand Emissions Trading Register for the purposes of carrying out their functions under the Climate Change Response Act 2002.



TeUru Rakau will not process this form unless all declarations are ticked, and the form is signed.



5 Transferor signature - current participant

Please complete **A** (if applicable) OR **B**.

A Authorised Representative Signature

If you are the Authorised Representative for this Participant, please sign below.

| | | |
|-----------------------------|------------------------------|-------|
| Name (please print clearly) | Signature | Date |
| _____ | _____ | _____ |
| Title (if applicable) | Organisation (if applicable) | |
| _____ | _____ | |

If you have not already done so, please provide an Authorised Representative form (available at www.mpi.govt.nz/news-resources/forms/forestry-ets-forms-approval-of-authorized-reps.aspx), Power of Attorney, or other instrument appointing an agent.

OR

B Participant Signature

Every person who constitutes the participant and/or is duly authorised under the authority of the legal structure of the participant (as applicable) must sign this form.

Incorporated bodies (e.g. companies, limited partnerships and other incorporated entities)

| | | |
|--------------------------|-----------------------------|-------|
| Organisation | Name (please print clearly) | |
| _____ | _____ | |
| Position (if applicable) | Signature | Date |
| _____ | _____ | _____ |
| Organisation | Name (please print clearly) | |
| _____ | _____ | |
| Position (if applicable) | Signature | Date |
| _____ | _____ | _____ |

Where signed by only one director of a company or one general partner of a limited partnership or where signed by another authorised person, signatures must be witnessed.

| | |
|--|-----------|
| Name of witness (please print clearly) | Signature |
| _____ | _____ |
| Position /Occupation | Date |
| _____ | _____ |
| Address | |
| _____ | |



4**Transferor payment**

The fee for lodging this notification is \$102.22 (including GST). Please note: any notification processing time that is in excess of 45 minutes will be charged at \$132.88 per hour (including GST); travel costs may apply at \$132.88 plus disbursements at actual cost. In the event of notification processing being required in excess of 45 minutes or any travel costs being applicable, we will contact you and provide an estimate before proceeding. Fees are non-refundable unless the chief executive makes a decision to waive or refund the fees based on the considerations contained in the Climate Change (Forestry Sector) Regulations 2008.

Please indicate the payment method for the application fee (tick one):

 via Internet Banking

Please pay: **'MPI Emissions Trading Scheme Account'**
 Account number: **03 0502 0607152 00**
 (Bank) (Branch) (Account) (Suffix)

Please enter the following details to enable us to identify your payment:

Particulars: (Application number)
 Code: (Holding Account)
 Reference: (your Surname)

 Cheque attached payable to 'MPI Emissions Trading Scheme' and marked 'Not Transferable'
 Credit Card Using the credit card payment link provided at www.mpi.govt.nz/ets and then sending us this form

Alternatively: ETS transactions can be completed online using the Te Uru Rakau ETS application lodgement system - which can be found at <https://climatechange.maf.govt.nz/>

What happens next?

Please post:

- this form (ensuring both Part A - Transferee Participant and Part B - Transferor Participant have been completed)

To:
 Emissions Trading Scheme
 Te Uru Rakau
 Ministry for Primary Industries
 PO Box 1127
 WELLINGTON 6140

or, email to:
climatechange@mpi.govt.nz

Office Use Only

