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Tini a Tangaroa

## FLA 1 Fishery Characterisation and CPUE

New Zealand Fisheries Assessment Report 2019/09
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## EXECUTIVE SUMMARY

Starr, P.J.; Kendrick, T.H. (2019). FLA 1 Fishery Characterisation and CPUE.

New Zealand Fisheries Assessment Report 2019/09. 145 p.
The fisheries for flatfish located off the east and west coasts of the upper part of the North Island (FLA 1) are described for the period 1989-90 to 2016-17 using compulsory reported commercial catch and effort data held by Fisheries New Zealand. These fisheries are almost entirely setnet, with 95\% of the FLA 1 catch taken by this method over the 28 years of catch and effort history ( $97 \%$ in the most recent five years). The fisheries take place in estuarine harbours on both coasts, with the major fisheries located in Manukau and Kaipara Harbours on the west coast and in the Thames estuary at the bottom of the Hauraki Gulf on the east coast. Flatfish are the only target species in these setnet fisheries, with non-flatfish species making up only about $2 \%$ of the flatfish estimated catches. There is no depth information associated with these fisheries because the setnet forms used to report the catch and effort data do not require this information. From the early 2000s, there has been a tendency in this QMA to store catches on land as frozen product before being sold on to Licensed Fish Receivers: this practise now represents the majority of landed FLA 1. There is little uptake of the event-based NCELR setnet reporting forms introduced in October 2006 because vessels under 6 m are exempt from the requirement to use this form. Consequently there are no fine scale positional data for these fisheries.

Seven consequential setnet fisheries have been identified for FLA 1. Four are major fisheries, accounting for about $80 \%$ of the total FLA 1 catch since 1989-90. Two of these fisheries are located in the large west coast Manukau (Area 043) and Kaipara (Area 044) Harbours and catch predominantly yellowbelly flounder. The other two fisheries are located in the lower Hauraki Gulf (Areas 005-007) and are targeted at two species: yellowbelly flounder and sand flounder. Three minor fisheries are identified which include a number of harbours and estuaries: Lower Waikato (Areas 041 and 042), Northwest (Areas 045-047) and East Northland (Areas 002 and 003). A fourth minor fishery, the Bay of Plenty (covering Areas 004, 008-010), was considered too inconsistent and too small to provide the basis for a standardised CPUE that would reflect relative abundance.

CPUE for the two west coast harbour fisheries declined in both harbours by more than $60 \%$ since the early 1990s, with the decline mainly attributed to reductions in water quality. The yellowbelly flounder Hauraki Gulf series shows no overall longterm trend, but there was a long period of decline from the mid-2000s to 2015-16. The NINSWG rejected the associated Hauraki Gulf sand flounder series because of variability in the reporting frequency of this species over the time period which may lead to bias in the series. A series tracking the total Hauraki Gulf FLA catch was substituted, which resembles the associated YBF series because of the strong overlap of data between the two series.

The NINSWG has little confidence in the minor FLA 1 series as indices of relative abundance because of small amount of available data and the amalgamation of data across a number of FLA fishing locations, resulting in considerable potential for masking or confounding trends.


Figure 1: Map of FLA QMAs.

## 1. INTRODUCTION

This document describes work conducted under Objectives 1 and 2 of the Ministry for Primary Industries (MPI) contract FLA2017-01.

## Overall Objective:

1. To monitor the relative abundance of flatfish in FLA 1.

## Specific Objectives:

1. To characterise the FLA 1 fishery.
2. To update the standardised CPUE index for flatfish (FLA 1), with the inclusion of data up to the end of the 2016-17 fishing year.

### 1.1 Background

The present report is the fifth in a series of characterisation and CPUE analyses of the FLA 1 fishery. The following table provides the final fishing year covered by each previous analysis and the year that it was presented to the Northern Inshore Fisheries Assessment Working Group:

| Analysis |  | Final fishing year <br> in analysis |
| :--- | :--- | ---: |
| year | Reference | $2003-04$ |
| 2005 | Beentjes \& Coburn (2005) | $2007-08$ |
| 2009 | Kendrick \& Bentley (2011) | $2010-11$ |
| 2012 | Kendrick \& Bentley (2012a) | $2013-14$ |
| 2015 | Kendrick \& Bentley (2015) |  |

FLA 1 is a complicated Quota Management Area (QMA-Figure 1), which incorporates two coasts but is mainly concentrated in several harbours: the Firth of Thames at the bottom of the Hauraki Gulf and the Manukau and Kaipara Harbours on the west coast of the North Island. Minor fisheries exist in the other west coast harbours (such as Hokianga, Kawhia, Raglan and the Waikato estuary) but the nature of the catch reporting system is such that it is not possible to separate out catch specifically to these areas. Manukau and Kaipara are identifiable as separate entities because they have been defined as independent statistical areas. Although setnet fishers generally were required to report event level data, including positional information, from 1 October 2006, setnetters operating vessels less than 6 m were exempted from this requirement. This exemption applies to the majority of the FLA 1 setnet fishery as harbour setnet vessels are generally smaller than 6 m . Consequently, fine scale positional information is not available for these fisheries. Kendrick \& Bentley (2011) investigated using the "port of landing" as an alternative indicator of catch location, but concluded that the information obtained from that field was similar to statistical area.

Setnet is the primary method capturing FLA on both coasts, with minor amounts of bottom trawl and some Danish seine in the mid-1990s. Previous characterisation work divided FLA 1 into seven "regions": Kaipara (044), Manukau (043), Hauraki Gulf (005, 006, 007), Northwest (045-047), Lower Waikato $(041,042)$, East Northland $(002,003)$ and Bay of Plenty $(004,008-010)$. Catches in Statistical Areas 048 and 001 are too far north to have much flatfish habitat. Moreover, fishers sometimes report " 1 " in the statistical area field when they meant " 1 " as in FLA 1 , which is another reason to mistrust data attributed to Area 001.

FLA 1 is an amalgamation of flatfish species, with no strong enforcement by Fisheries New Zealand of the requirement to report estimated catches by the component species rather than using the generic FLA code. Previous reports show that, unlike FLA 3, the proportion of reporting by species in FLA 1 is relatively low, with almost no species reports in Manukau Harbour, but some increase in species reported in Hauraki Gulf and Kaipara Harbour in the three years up to 2013-14. Summarisation by estimated catch species is included in this report for each of the seven regions.

This FAR characterises the FLA 1 fisheries, and updates the standardised CPUE analyses developed by Kendrick \& Bentley (2011, 2012a, 2015) to the 2016-17 fishing year. A table of definitions, along with frequently used abbreviations, can be found in Appendix A. A map showing the locations of the General Statistical Areas, along with the boundaries of the FMAs used for managing Fishstocks in New Zealand, can be found in Appendix B.

## 2. INFORMATION ABOUT THE STOCKIFISHERY

### 2.1 Catches

The TACC for flatfish in FLA 1 was set at 1100 t when this Fishstock was introduced into the QMS in 1986 and then increased to 1187 t by 1990-91, probably due to quota appeals, which is where it remains (Figure 2; Table 1). While catch levels have never exceeded the FLA 1 TACC, they reached about 95\% of the TACC in 1992-93 and 1993-94 (Figure 2; Table 1). FLA 1 landings peaked a second time in 2004-05 at just above 1000 t and have since declined to low levels, reaching a nadir in 2015-16 at 277 t . Landings rose to 421 t in 2016-17. FLA 1 has never been placed on Schedule(2) of the 1996 Fisheries Act, which includes stocks managed with in-season adjustments to the TACC.


Figure 2: Plots of FLA 1 landings and TACCs from 1983-84 to 2016-17 (see Table 1for list of landings and TACCs by FLA QMA).
Table 1. Reported landings ( $t$ ) and TACC ( $\mathbf{t}$ ) of flatfish in FLA 1 from 1983-84 to 2016-17 (Data sources: 1983-84 to 1985-86 (Fisheries New Zealand 2018, Chapter 20, Table 2); QMR [198687 to 2000-01]; MHR [2001-02 to 2016-17].

| Fishing Year | QMR $_{y}$ | TACC $_{y}$ | Fishing Year | QMR $_{y}$ | TACC $_{y}$ |
| :--- | ---: | ---: | ---: | ---: | ---: |
| 1983-84 | 1215 | - | $2000-01$ | 792 | 1187 |
| $1984-85$ | 1050 | - | $2001-02$ | 596 | 1187 |
| $1985-86$ | 722 | - | $2002-03$ | 686 | 1187 |
| $1985-86$ | 629 | 1101 | $2003-04$ | 784 | 1187 |
| $1987-88$ | 689 | 1145 | $2004-05$ | 1038 | 1187 |
| $1988-89$ | 787 | 1153 | $2005-06$ | 964 | 1187 |
| $1989-90$ | 791 | 1184 | $2006-07$ | 922 | 1187 |
| $1990-91$ | 850 | 1187 | $2007-08$ | 705 | 1187 |
| $1991-92$ | 937 | 1187 | $2008-09$ | 640 | 1187 |
| $1992-93$ | 1111 | 1187 | $2009-10$ | 652 | 1187 |
| $1993-94$ | 1136 | 1187 | $2010-11$ | 487 | 1187 |
| $1994-95$ | 964 | 1187 | $2011-12$ | 445 | 1187 |
| $1995-96$ | 629 | 1187 | $2012-13$ | 480 | 1187 |
| $1996-97$ | 733 | 1187 | $2013-14$ | 511 | 1187 |
| $1997-98$ | 722 | 1187 | $2014-15$ | 427 | 1187 |
| $1998-99$ | 703 | 1187 | $2015-16$ | 277 | 1187 |
| $1999-00$ | 752 | 1187 | $2016-17$ | 421 | 1187 |

### 2.2 Regulations affecting the fishery

The following regulations apply to fishing for flatfish in FLA 1 (John Taunton-Clark, Fisheries New Zealand, Auckland office, pers.comm.):

- Minimum mesh size: 114 mm for 'flatfish'.
- MLS for YBF: 25 cm
- MLS for SFL: 23 cm .
- Gear must be marked with vessel registration number
- Nets must not span more than $1 / 4$ of the width of a channel, bay, arm of the sea etc.
- Nets must not stall (exposed at low tide)
- Maximum soak time: 18 h
- No poles or stakes with set nets
- Maximum net length limit: 1000 m in estuaries
- Nets more than 60 m from adjacent nets
- Set nets prohibited in defined reef and island waters

Setnet and trawl fisheries on the outside of the west coast North Island harbours and estuaries were restricted in 2013 to protect dolphins through a series of regulations that were jointly issued by the Minister for Primary Industries and the Minister of Conservation (Appendix C). These regulations do not generally apply to the harbour and estuarine setnet fisheries for flatfish, except in the Manukau and Kaipara Harbour entrances (Figure C.1).

### 2.3 Analysis of FLA 1 catch and effort data

### 2.3.1 Methods used for 2018 analysis of Fisheries New Zealand catch and effort data

### 2.3.1.1 Obtaining data extracts

Two data extracts were obtained from the Fisheries New Zealand combined Warehou and EDW databases (Ministry of Fisheries 2010, John Moriarty, Fisheries New Zealand Data Management, pers. comm.). One extract consisted of the complete data set (all fishing event information along with all flatfish landing information) from every trip that recorded a flatfish ${ }^{1}$ landing in FLA 1, starting from 1 October 1989 and extending to 30 September 2017. A second extract was obtained which consisted of all New Zealand trips using the method SN (setnet) in the statistical areas valid for FLA 1 (001-010, 041-048, 101-107). Once these trips were identified, all fishing event data and flatfish landing data ${ }^{2}$ from the entire trip, regardless of method of capture, were obtained. These data extracts (Fisheries New Zealand replog 11700) were received 20 March 2018. The first data extract was used to characterise and understand all FLA 1 fisheries taking flatfish. The second extract was used to calculate CPUE standardisations for SN (Section 3).

### 2.3.1.2 Preparation of data extracts

The original level of time stratification for a trip is either by "fishing event" or by fishing day, depending on the type of form used to report the trip information. These data were amalgamated into a common level of stratification known as a 'trip stratum’ (see table of definitions: Appendix A) for the characterisation part of this report. Depending on how frequently an operator changed areas, method of capture or target species, a trip could consist of one or several 'trip strata'. This amalgamation was required so that these data could be analysed at a common level of stratification across all reporting form types. Ordinarily, landings of flatfish recorded on the bottom section of the trip form would be allocated to the 'trip strata' in proportion to the estimated flatfish catches in each 'trip stratum'. However, this was not possible with the FLA 1 data set because of the frequent use of intermediate Destination code ' Q ' (holding receptacle on land - see Section 2.3.2.1 below). The matching procedure described by Starr (2007) assumes that the landings associated with a trip represent the product of the effort expended in the trip. If this is not the case, then it would be incorrect to use the matching procedure to allocate the trip landings to the estimated catches from the top part of the form. There is no requirement that landings

[^0]reported at the end of a trip were taken by the associated trip effort, so it is possible that some or all of the declared landings at the end of a trip were taken during another trip. As well, the practice of landing to intermediate destination codes (which are discarded to avoid double counting) will result in trips with no associated landings and in trips where there are only landings with no associated effort.

Table 2 presents the annual totals at different stages of the data preparation procedure for FLA 1. Summed annual landings in the Warehou database differ from the QMR/MHR annual landings in nearly all years, with large positive deviations in 1993-94, 1996-97 and 1997-98 in the unedited landings data (Figure D.1). As well, over 1100 t of FLA 1 landings were coded with non-standard 3 -letter codes referencing specific flatfish species rather the required generic "FLA" code (Table D.1). However, Figure D. 1 shows that these additional landings were not the cause of the large overages in landings during the 1990s and the addition of these non-standard landings increased the summed landings sufficiently to match the reported MHR landings from 2011-12 to 2016-17. Consequently these landings have been left in the data set. A search through the landing records based on internal evidence for each trip (see Appendix C) identified 25 trips which were the cause of much of the observed overages in Figure D. 1 (see Table D. 2 and Table D.3). However, it was not possible to more closely match the QMR/MHR landing totals without dropping a very large number of trips, so the search for data errors in the reported landings was stopped with the 25 trips reported in Table D.2.

Table 2 also shows that there were 1850 t of FLA 1 landings which have no matching effort (compare totals in column 3 with column 5). As well, only 14800 t of estimated catch have matching landings, leaving 2200 t of estimated catches with no associated landings. These discrepancies illustrate the effect of breaking the link between the effort and landing information resulting from the use of intermediate destination codes (Section 2.3.2.1 below and first paragraph in 2.3.1.2). The method of Starr (2007) typically uses the apportioned landings by trip to characterise the fishery. However this approach was not feasible for this QMA, given the discrepancies between landings and estimated catches demonstrated in Table 2. Therefore the characterisation section of this report is based on the estimated catches summarised in column 9 of Table 2, on the assumption that the trips with estimated catches represent the entire fishery. A different procedure, based on scaling estimated catches using a "vessel correction factor", was used to scale the estimated catches to the landings for the CPUE analysis (see Appendix E). Statistical areas ${ }^{3}$ are used to define FLA 1 for both the characterisation section of this report as well as the CPUE section rather than using the actual landings.

Table 2: Comparison of the FLA 1 QMR/MHR catch ( $t$ ) with the sum of the landed catch totals (bottom part of the CELR/CLR forms), the total catch after matching effort with landing data ('Analysis' data set) and the sum of the estimated catches from the Analysis data set. "Raw" estimated catch column=sum of estimated catches including trips without matching landing data. Data source: Fisheries New Zealand replog 11700: 1989-90 to 2016-17.

| Fishing | QMR/MHR | Total ${ }^{1}$ <br> landed | \% landed/ | Landings matched with | $\begin{array}{r} \% \\ \text { Matched }{ }^{2 /} \end{array}$ | Matched ${ }^{3}$ <br> Estimated | \% <br> Estimated | Estimated |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Year | (t) | catch (t) | QMR/MHR | effort (t) | Landed | Catch (t) | /Matched ${ }^{2}$ | Catch (t) |
| 89/90 | 791 | 627 | 79 | 626 | 100 | 547 | 87 | 547 |
| 90/91 | 850 | 880 | 104 | 868 | 99 | 762 | 87 | 763 |
| 91/92 | 937 | 967 | 103 | 958 | 99 | 840 | 87 | 841 |
| 92/93 | 1111 | 1117 | 101 | 1107 | 99 | 973 | 87 | 973 |
| 93/94 | 1136 | 1178 | 104 | 1172 | 99 | 1026 | 87 | 1027 |
| 94/95 | 964 | 1036 | 107 | 1025 | 99 | 880 | 85 | 881 |
| 95/96 | 629 | 732 | 116 | 572 | 78 | 494 | 67 | 499 |
| 96/97 | 733 | 769 | 105 | 646 | 84 | 528 | 69 | 530 |
| 97/98 | 722 | 776 | 107 | 655 | 84 | 510 | 66 | 511 |
| 98/99 | 703 | 756 | 108 | 696 | 92 | 536 | 71 | 537 |
| 99/00 | 752 | 844 | 112 | 831 | 98 | 641 | 76 | 643 |
| 00/01 | 792 | 847 | 107 | 842 | 99 | 710 | 84 | 713 |
| 01/02 | 596 | 625 | 105 | 610 | 98 | 511 | 82 | 521 |
| 02/03 | 686 | 718 | 105 | 695 | 97 | 548 | 76 | 592 |
| 03/04 | 784 | 804 | 103 | 756 | 94 | 566 | 70 | 672 |

[^1]| Fishing | QMR/MHR | Total ${ }^{1}$ | \% landed/ QMR/MHR | Landings matched with effort (t) | $\begin{array}{r} \% \\ \text { Matched }{ }^{2 /} \\ \text { Landed } \end{array}$ | Matched ${ }^{3}$ <br> Estimated <br> Catch (t) | Estimated | All ${ }^{4}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | landed |  |  |  |  |  | Estimated |
| Year | (t) | catch (t) |  |  |  |  | /Matched ${ }^{2}$ | Catch (t) |
| 04/05 | 1038 | 1087 | 105 | 974 | 90 | 663 | 61 | 882 |
| 05/06 | 964 | 993 | 103 | 905 | 91 | 630 | 63 | 812 |
| 06/07 | 922 | 956 | 104 | 831 | 87 | 563 | 59 | 768 |
| 07/08 | 705 | 732 | 104 | 624 | 85 | 429 | 59 | 589 |
| 08/09 | 640 | 645 | 101 | 545 | 84 | 384 | 60 | 546 |
| 09/10 | 652 | 656 | 101 | 538 | 82 | 382 | 58 | 562 |
| 10/11 | 487 | 506 | 104 | 426 | 84 | 278 | 55 | 417 |
| 11/12 | 445 | 448 | 101 | 374 | 84 | 252 | 56 | 382 |
| 12/13 | 480 | 481 | 100 | 384 | 80 | 249 | 52 | 405 |
| 13/14 | 511 | 520 | 102 | 432 | 83 | 284 | 55 | 441 |
| 14/15 | 427 | 424 | 99 | 352 | 83 | 241 | 57 | 357 |
| 15/16 | 277 | 284 | 102 | 213 | 75 | 137 | 48 | 231 |
| 16/17 | 421 | 430 | 102 | 329 | 77 | 239 | 56 | 364 |
| Total | 20156 | 20836 | 103 | 18986 | 91 | 14804 | 71 | 17007 |

${ }^{1}$ includes landings with no associated effort after the removal of 25 out-of-range trips (see Appendix C)
${ }^{2}$ these are trips with associated effort: column 5 divided by column 3
${ }^{3}$ estimated catch from trips with matched effort and landings
${ }^{4}$ includes trips without matched landings
FLA 1: Stat_area expansion (matching procedure)


Figure 3: Plot of the FLA 1 catch datasets for totals presented in Table 2. The 'landings' series has had the 25 trips identified in Appendix C removed. "Matching" refers to trips where the effort and landings portions of the reporting have been successfully matched.

Estimated catch totals in the fishery characterisation tables have been scaled to the QMR/MHR totals reported in Table 1 by calculating the ratio of these catches with the total FLA 1 estimated catches in the analysis data set (tabulated in column 9 of Table 2: using all estimated catches, including trips with unmatched landings). The estimated catches are scaled using this formula:

Eq. 1

$$
Q_{i, y}^{\prime}=E_{i, y} \frac{\mathbf{Q M R}_{y}}{E_{y}^{A}}
$$

where $\mathbf{Q M R}_{y}$ are the annual QMR/MHR landings, $E_{y}^{A}$ are the corresponding total annual estimated catches in the analysis data set, $E_{i, y}$ is the estimated catch for record $i$ in year $y$ and $Q_{i, y}^{\prime}$ is the resulting scaled QMR estimate for record $i$.

### 2.3.1.3 'Daily effort stratum' data preparation procedure

Data used for CPUE analysis were prepared using the 'daily effort stratum' (defined in Appendix A) procedure proposed by Langley (2014). As noted above, catch/effort data must be summarised to a common level of stratification in order to construct a consistent time series of CPUE indices that spans the change in reporting forms instituted in the late 2000s. Although the 'trip-stratum' procedure proposed by Starr (2007) addresses the nominal instructions provided to fishers using the daily-effort CELR forms, Langley (2014) was able to show that the realised stratification in the earlier form types was daily, with the fisher tending to report the 'predominant' statistical area of capture and target species rather than explicitly following the instructions. He showed this by noting that the frequency of changes in statistical area of fishing or target species within a day of fishing was much higher for comparable event-based forms than in the earlier daily forms. Consequently, we have adopted Langley's (2014) recommendation to use the 'daily stratum' method for preparing data for CPUE analysis. The following steps were used to 'rollup' the event-based data (set-by-set data) to a 'daily stratum' ${ }^{\text {: }}$

1. discard trips that used more than one method in the trip (except for rock lobster potting, cod potting and fyke nets whereby these methods were simply dropped because they are unlikely to catch flatfish) or used more than one form type;
2. sum effort for each day of fishing in the trip;
3. sum estimated catch for each day of fishing in the trip and only use the estimated catch from the top five species, sorted by weight in descending order; in the case of a tie for the fifth most prevalent species, a secondary sort is made on the species 3-digit code which results in taking the species that comes first in alphabetical order ${ }^{5}$;
4. calculate the modal statistical area and target species for each day of fishing, each weighted by the number of fishing events: these are the values assigned to the effort and catch for that day of fishing;
5. create a list of "most relevant" target species in the total FLA 1 data set by summing the landings in the appropriate characterisation data set across all years to identify the main target fisheries which capture flatfish (Table 3). This list was used to screen daily effort by discarding entire trips which reported target species that were not in this list. This was done because it was felt that the effort from the discarded species was not relevant nor necessary to include in the flatfish CPUE analysis. The decision to discard the entire trip rather than just discarding the effort with the nonrelevant target species was made because analysis (not reported) showed that there was potential for bias when linking flatfish landings by trip with the remaining partial trip - it is safer to drop the entire trip;
6. this data preparation step also adjusted the estimated catches to represent landings using the procedure described in Appendix E.
Note that the above procedure was also applied to the daily effort (CELR) forms to ensure that each of these trips was also reduced to 'daily strata' if fishers reported more than one statistical area or target species in a day of fishing. In practice, this preparation step has very little impact in FLA 1, given that most fishing events consist of a single day of fishing, in one statistical area and targeted at FLA.
[^2]Table 3: Table of declared SN target species which take FLA 1, summed over the period 1989-90 to 2016-17, based on the characterisation data set. The top 15 species were used in the SN CPUE analysis, with trips taking any of the remaining species dropped entirely. The total catch for species rank 16-71 is 1.7 t .

| Rank | Species code | Common name | Sum of FLA catch (t) | Cumulative \% |
| :---: | :---: | :---: | :---: | :---: |
| 1 | FLA | Flats | 16985 | 97.6 |
| 2 | SPO | Rig | 169.8 | 98.6 |
| 3 | SNA | Snapper | 111.1 | 99.2 |
| 4 | GUR | Gurnard | 51.4 | 99.5 |
| 5 | TRE | Trevally | 30.1 | 99.7 |
| 6 | KAH | Kahawai | 20.9 | 99.8 |
| 7 | GMU | Grey Mullet | 20.4 | 99.9 |
| 8 | PAD | Paddle Crab | 4.69 | 99.9 |
| 9 | JDO | John Dory | 3.63 | 99.96 |
| 10 | SCH | School Shark | 2.02 | 99.97 |
| 11 | SPD | Spiny Dogfish | 1.07 | 99.98 |
| 12 | PAR | Parore | 0.88 | 99.98 |
| 13 | SDO | Silver Dory | 0.62 | 99.99 |
| 14 | TAR | Tarakihi | 0.36 | 99.99 |
| 15 | JMA | Jack Mackerel | 0.24 | 99.99 |
| 16 | FLY | Flying Fish | 0.196 | 99.991 |
| 17 | CRA | Rock Lobster | 0.188 | 99.992 |
| 18 | BAR | Barracouta | 0.174 | 99.993 |
| 19 | RMO | Red Moki | 0.150 | 99.994 |
| 20 | KIN | Kingfish | 0.135 | 99.995 |
| 21 | RLA | Resania lanceolata | 0.124 | 99.996 |
| 22 | POR | Porae | 0.116 | 99.996 |
| 23 | YEM | Yellow-eyed Mullet | 0.0989 | 99.997 |
| 24 | LIN | Ling | 0.0903 | 99.997 |
| 25 | PMA | Pink Maomao | 0.0844 | 99.998 |
| 26 | WAR | Common Warehou | 0.0707 | 99.998 |
| 27 | SSK | Smooth Skate | 0.0454 | 99.999 |
| 28 | FAL | transposition of FLA | 0.0391 | 99.999 |
| 29 | EGR | Eagle Ray | 0.0340 | 99.999 |
| 30 | SPE | Sea Perch | 0.0295 | 99.999 |
| 31 | RCO | Red Cod | 0.0226 | 99.999 |
| 32 | RIB | Ribaldo | 0.0206 | 99.999 |
| 33 | BWH | Bronze Whaler Shark | 0.0193 | 99.9995 |
| 34 | ELE | Elephant Fish | 0.0190 | 99.9996 |
| 35 | MOK | Moki | 0.0141 | 99.9997 |
| 36 | YBO | Yellow Boarfish | 0.0130 | 99.9998 |
| 37 | EMA | Blue Mackerel | 0.0120 | 99.9998 |
| 38 | SWA | Silver Warehou | 0.0109 | 99.9999 |
| 39 | SPI | Spider Crab | 0.0076 | 99.9999 |
| 40 | SPZ | Spotted Stargazer | 0.0062 | 99.99998 |
| 41 | FRO | Frostfish | 0.0018 | 99.99998 |
| 42 | SBO | Southern Boarfish | 0.0012 | 99.99999 |
| 43 | GAR | Garfish | 0 | 100 |
| 44 | FLU | Perch | 0 | 100 |
| 45 | PIL | Pilchard | 0 | 100 |
| 46 | HOK | Hoki | 0 | 100 |
| 47 | BYX | Alfonsino \& Long-finned Beryx | 0 | 100 |
| 48 | MAR | Marlin | 0 | 100 |
| 49 | PAH | Opah | 0 | 100 |
| 50 | RSN | Red Snapper | 0 | 100 |
| 51 | KOI | Koi Carp | 0 | 100 |
| 52 | BNS | Bluenose | 0 | 100 |
| 53 | EBI | unknown | 0 | 100 |
| 54 | MUU | Mullet | 0 | 100 |


| Rank | Species code | Common name | Sum of FLA catch (t) | Cumulative \% |
| :--- | :--- | :--- | ---: | ---: |
| 55 | RBY | Ruby Fish | 0 | 100 |
| 56 | SPR | Sprats | 0 | 100 |
| 57 | BCO | Blue Cod | 0 | 100 |
| 58 | LFB | Longfinned Boarfish | 0 | 100 |
| 59 | BOA | Sowfish | 0 | 100 |
| 60 | HPB | Hapuku \& Bass | 0 | 100 |
| 61 | SKI | Gemfish | 0 | 100 |
| 62 | HHS | Hammerhead Shark | 0 | 100 |
| 63 | BMA | Blue Maomao | 0 | 100 |
| 64 | SKA | Skate | 0 | 100 |
| 65 | SFE | Short-finned Eel | 0 | 100 |
| 66 | SQU | Arrow Squid | 0 | 100 |
| 67 | BUT | Butterfish | 0 | 100 |
| 68 | STR | Stingray | 0 | 100 |
| 69 | SAR | Squilla armata | 0 | 100 |
| 70 | WWA | White Warehou | 0 | 100 |
| 71 | KTA | King Tarakihi | 0 | 100 |

FLA1 annual destination code


Figure 4: $\quad$ Time series of $L$ and $Q$ destination codes in the FLA 1 landing data.
Table 4: Destination codes in the unedited landing data received for the FLA 1 CPUE analysis. The 'how used' column indicates which destination codes were included in the characterisation analysis. These data summaries have been combined over the period 1989-90 to 2016-17.

| Destination code | Number events | Greenweight (t) | Description | How used |
| :--- | ---: | ---: | :--- | :---: |
| L | 301979 | 21189.5 | Landed in NZ (to LFR) | keep |
| W | 27394 | 932.6 | Sold at wharf | keep |
| F | 1081 | 9.0 | Section 111 Recreational Catch | keep |
| E | 400 | 1.9 | Eaten | keep |
| O | 27 | 1.0 | Conveyed outside NZ | keep |
| C | 18 | 1.0 | Disposed to Crown | keep |
| U | 89 | 0.9 | Bait used on board | keep |
| A | 56 | 0.8 | Accidental loss | keep |
| S | 30 | 0.8 | Seized by Crown | keep |
| H | 16 | 0.1 | Loss from holding pot | keep |
| X | 1 | 0.0 | QMS returned to sea (except 6A) | keep |
| J | 1 | 0.0 | Returned to sea [Section 72(5)(2)] | keep |


| Destination code | Number events | Greenweight $(t)$ | Description | How used |
| :--- | ---: | ---: | :--- | :---: |
| Q | 81041 | 4238.8 | Holding receptacle on land | drop |
| R | 925 | 43.4 | Retained on board | drop |
| T | 392 | 27.3 | Transferred to another vessel | drop |
| D | 394 | 6.8 | Discarded (non-ITQ) | drop |
| P | 31 | 1.5 | Holding receptacle in water | drop |
| B | 58 | 0.9 | Bait stored for later use | drop |

Table 5: Total green weight reported and number of events by state code in the unedited landing file used to process the FLA 1 characterisation and CPUE data, arranged in descending landed weight. These data summaries are summed over the period 1989-90 to 2016-17.

| State code | Number events Total reported green weight $(\mathrm{t})$ | Description |  |
| :--- | ---: | :--- | :--- |
| GUT | 279651 | 19297.0 | Gutted |
| GRE | 132471 | 7029.9 | Green (or whole) |
| [NULL] | 75 | 355.1 | Missing |
| HGU | 585 | 72.5 | Headed and gutted |
| GGO | 897 | 39.7 | Gilled and gutted tail-on |
| DRE | 339 | 17.7 | Dressed |
| Other | 30 | 0.6 | Other (misc.) |

Table 6: Annual median conversion factors and total reported weight for the five most important state codes (in terms of overall total landed green weight). These data summaries are for the period 1989-90 to 2016-17. Only trips using destinations coded as "keep" in Table 4 are included. '': no observations.

| Fishing year | Median conversion factors |  |  |  |  |  | Annual reported landings |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | GUT | GRE | HGU | GGO | DRE | Other | GUT | GRE | HGU | GGO | DRE | Other |
| 89/90 | 1.1 | 1 | 1.4 | 1.1 | - | 1.4 | 412.2 | 212.5 | 0.2 | 2.5 | - | 0.0 |
| 90/91 | 1.1 | 1 | 1.4 | 1.1 | 1.8 | - | 605.6 | 272.9 | 0.5 | 0.7 | 0.0 | - |
| 91/92 | 1.1 | 1 | 1.4 | - | 1.8 | 4.95 | 601.6 | 364.3 | 0.6 | - | 0.2 | 0.1 |
| 92/93 | 1.1 | 1 | 1.4 | - | 1.8 | - | 717.8 | 396.3 | 1.8 | - | 0.6 | - |
| 93/94 | 1.1 | 1 | 1.4 | - | 1.8 | - | 787.7 | 386.3 | 3.5 | - | 0.5 | - |
| 94/95 | 1.1 | 1 | 1.4 | - | 1.8 | 1.8 | 721.4 | 306.5 | 7.5 | 0.1 | 0.3 | 0.0 |
| 95/96 | 1.1 | 1 | 1.4 | - | 1.8 | - | 474.4 | 191.5 | 57.2 | 8.5 | 0.3 | 0.5 |
| 96/97 | 1.1 | 1 | 1.4 | - | 1.8 | - | 540.2 | 224.1 | 0.7 | 2.1 | 0.9 | 0.9 |
| 97/98 | 1.1 | 1 | 1.4 | - | 1.8 | 0.9 | 531.4 | 234.6 | 0.1 | 8.2 | 0.5 | 0.8 |
| 98/99 | 1.1 | 1 | 1.4 | - | 1.8 | - | 507.4 | 237.1 | 0.1 | 10.3 | 0.8 | 0.5 |
| 99/00 | 1.1 | 1 | 1.4 | - | 1.8 | - | 590.2 | 248.3 | 0.1 | 5.0 | 0.3 | 0.1 |
| 00/01 | 1.1 | 1 | 1.4 | - | 1.8 | 1.8 | 601.4 | 242.3 | 0.0 | 2.4 | 0.4 | 0.1 |
| 01/02 | 1.1 | 1 | 1.4 | - | 1.8 | - | 443.6 | 181.0 | 0.0 | - | 0.4 | 0.1 |
| 02/03 | 1.1 | 1 | 1.4 | - | 1.8 | 1.8 | 530.7 | 187.1 | 0.1 | - | 0.2 | 0.0 |
| 03/04 | 1.1 | 1 | 1.5 | - | 1.8 | 1.8 | 602.6 | 196.4 | 0.0 | - | 4.9 | 0.2 |
| 04/05 | 1.1 | 1 | 1.4 | - | 1.8 | - | 875.1 | 210.7 | 0.0 | - | 0.4 | 0.4 |
| 05/06 | 1.1 | 1 | 1.4 | - | 1.8 | - | 780.4 | 212.3 | 0.0 | - | 0.1 | - |
| 06/07 | 1.1 | 1 | 1.4 | - | 1.8 | 2.25 | 757.9 | 196.8 | 0.0 | - | 1.2 | 0.0 |
| 07/08 | 1.1 | 1 | - | - | 1.8 | - | 581.3 | 150.0 | - | - | 0.7 | - |
| 08/09 | 1.1 | 1 | 1.4 | - | 1.8 | - | 557.0 | 84.9 | 0.0 | - | 3.2 | - |
| 09/10 | 1.1 | 1 | 1.4 | - | 1.8 | 2.25 | 569.2 | 86.4 | 0.0 | - | 0.2 | 0.0 |
| 10/11 | 1.1 | 1 | - | - | 1.8 | 2.25 | 430.2 | 76.1 | - | - | 0.0 | 0.0 |
| 11/12 | 1.1 | 1 | - | - | 1.8 | - | 377.4 | 69.9 | - | - | 0.3 | - |
| 12/13 | 1.1 | 1 | 1.4 | - | 1.8 | - | 407.8 | 72.9 | 0.0 | - | 0.1 | - |
| 13/14 | 1.1 | 1 | - | - | 1.8 | - | 446.7 | 73.1 | - | - | 0.0 | - |
| 14/15 | 1.1 | 1 | 1.4 | - | 1.8 | - | 351.8 | 72.0 | 0.1 | - | 0.0 | - |
| 15/16 | 1.1 | 1 | - | - | - | - | 239.2 | 44.5 | - | - | - | - |
| 16/17 | 1.1 | 1 | - | - | 1.8 | - | 380.4 | 49.6 | - | - | 0.1 | - |
| Total | - | - | - | - | - | - | 15422 | 5280.5 | 72.5 | 39.7 | 16.7 | 3.8 |

### 2.3.2 Description landing information for FLA 1

### 2.3.2.1 Destination codes in the FLA landing data

Landing data for flatfish were provided for every trip that landed FLA 1 at least once, with one record for every reported FLA landing from the trip. Each of these records contained a reported green weight (in kilograms), a code indicating the processed state of the landing, along with other auxiliary information such as the conversion factor used, the number of containers involved and the average weight of the containers. Every landing record also contained a 'destination code’ (Table 4), which indicated the category under which the landing occurred. The majority of the landings were made using destination code 'L' (landed to a Licensed Fish Receiver; Table 4). However, other codes (e.g., 'A', 'C’ or 'W'; Table 4) also potentially described valid landings and were included in this analysis but these are all minor compared to code 'L'. A number of other codes (notably 'Q'; Table 4) were not included because these landings would be reported at a later date under the 'L' destination category. Two other codes ('D' and 'NULL') represented errors that could not be reconciled without making unwarranted assumptions and these were not included in the landing data set.

Some of the destination codes (' P ', ' Q ', ' R ' and ' T ') represent intermediate holding states that have the potential to invalidate the method of Starr (2007), which assumes that the reported landings for a trip have been taken using the effort reported for the trip. These intermediate landing destination codes are dropped (due to the potential for double counting) because it is possible that ' $L$ ' landings reported for a trip may have been taken by another trip where the landings were declared by an intermediate code, leaving some trips with only effort data and other trips where landings have been added. There are even trips with no associated effort. Table 4 shows that there has been a large number of ' Q ' destination codes in FLA 1, indicating that there is no assurance that trip landings on the form correspond to the reported trip effort on the form. The use of the "Q" desination code began in the 2002-03 fishing year and represented a substantial fraction of the total FLA 1 landings from the late 2000s to the present (Figure 4).

### 2.3.2.2 State codes in the FLA landing data

Just over $70 \%$ of the valid landing data for FLA 1 were reported using state code GUT, a landing code which has shown no change over time and which represents a very small amount of change from green weight (Table 5). Almost all of the remaining landings (26\%) were landed GRE, with no change in landed weight. There is no evidence in the data of changes over time in the conversion factors used for FLA (Table 6).

Total landings in the FLA 1 data set for FLA QMAs other than FLA 1 while landing FLA 1 are negligible (Table 7).

### 2.3.2.1 Form types used in the FLA 1 landing and effort data

There are a range of form types used by Fisheries New Zealand to report catch and effort (see Appendix A and Ministry of Fisheries 2010). The daily CELR form is an all-purpose form which reports effort, estimated catch and landings and has been in use by the entire inshore fleet since mid-1989. While the event-based TCER form replaced the CELR form in October 2007 for trawl vessels between 6 and 28 m , this form is not used very much in the various FLA 1 fisheries (Table 8, Table 9). Landings for trips which use this form are reported on the CLR form (Table 8). More pertinent to the FLA 1 fishery was the introduction of the event-based NCELR form in October 2006. Landings are reported on this form (as for the CELR form) but it can be seen from Table 8 and Table 9 that there has been very little use made of this form in FLA 1, with less than 5\% of landings reported using this form from 2006-07 to 2016-17. The reason for the low use of this form in FLA 1 is that vessels under 6 m are allowed by Fisheries New Zealand to continue using the CELR form and this category of vessel makes up the majority of the FLA 1 setnet fleet.

Table 7: Distribution of total landings (t) by flatfish Fishstock and by fishing year for all trips that recorded FLA landings, regardless of QMA in the replog 11700 data set. This summary includes landings with no associated effort but was made after the removal of $\mathbf{2 5}$ out-of-range trips (see Appendix C).

| Fishing year | FLA1 | FLA2 | FLA3 | FLA7 | Total |
| :--- | ---: | ---: | ---: | ---: | ---: |
| 89/90 | 627.3 | 1.4 | 0.0 | 0.3 | 629.0 |
| $90 / 91$ | 879.7 | 1.8 | 0.7 | 0.1 | 882.3 |
| $91 / 92$ | 966.8 | 3.3 | 0.2 | 0.1 | 970.4 |
| $92 / 93$ | 1116.6 | 2.7 | 0.7 | 0.2 | 1120.2 |
| $93 / 94$ | 1178.1 | 2.6 | 0.0 | . | 1180.7 |
| $94 / 95$ | 1035.8 | 1.7 | 0.4 | 0.2 | 1038.1 |
| $95 / 96$ | 732.2 | 2.8 | 18.4 | 0.8 | 754.2 |
| $96 / 97$ | 768.9 | 3.3 | 15.0 | 2.9 | 790.1 |
| $97 / 98$ | 775.7 | 4.6 | 1.5 | 1.5 | 783.3 |
| $98 / 99$ | 756.2 | 4.9 | 2.1 | 0.5 | 763.7 |
| $99 / 00$ | 844.0 | 1.8 | 4.8 | 1.0 | 851.6 |
| $00 / 01$ | 846.5 | 2.1 | 2.9 | 0.9 | 852.4 |
| $01 / 02$ | 625.2 | 1.6 | 2.5 | 0.9 | 630.2 |
| $02 / 03$ | 718.1 | 1.0 | 0.6 | 0.7 | 720.4 |
| $03 / 04$ | 804.2 | 3.7 | 0.0 | 0.2 | 808.1 |
| $04 / 05$ | 1086.6 | 2.6 | 1.1 | 1.1 | 1091.4 |
| $05 / 06$ | 992.7 | 2.6 | 1.0 | 0.7 | 997.0 |
| $06 / 07$ | 955.9 | 3.3 | 2.3 | 0.5 | 962.0 |
| $07 / 08$ | 732.0 | 5.9 | 0.2 | 1.6 | 739.7 |
| $08 / 09$ | 645.1 | 2.1 | 0.5 | 7.3 | 655.0 |
| $09 / 10$ | 655.8 | 2.6 | 0.9 | 0.3 | 659.6 |
| $10 / 11$ | 506.3 | 1.8 | 0.2 | 5.6 | 513.9 |
| $11 / 12$ | 447.6 | 2.4 | 0.4 | 0.5 | 450.9 |
| $12 / 13$ | 480.8 | 2.8 | 2.1 | 0.9 | 486.6 |
| $13 / 14$ | 519.8 | 1.0 | 0.6 | 0.1 | 521.5 |
| $14 / 15$ | 423.9 | 0.9 | 2.4 | 0.7 | 427.9 |
| $15 / 16$ | 283.7 | 1.1 | 6.1 | 0.2 | 291.1 |
| $16 / 17$ | 430.1 | 0.9 | 0.7 | 4.1 | 435.8 |
| Total | 20 | 835.7 | 69.2 | 68.2 | 34.0 |

Table 8: Distribution by form type for landed catch by weight for each fishing year in the FLA 1 landings data set. Also provided are the number of days fishing and the associated distribution of days fishing by form type for the effort data in the FLA 1 data set. See Appendix A for definitions of abbreviations used in this table. '-': cell not available or applicable.

|  | Landings (\%) ${ }^{1}$ |  |  | Days fishing (\%) ${ }^{2}$ |  |  |  |  | Days fishing |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| year | CELR | CLR | NCELR | CELR | TCEPR | TCER | NCELR | LTCER | CELR | TCEPR | TCER | NCELR | LTCER | Total |
| 89/90 | 100 | 0.01 | 0 | 100 | 0 | - | - | - | 9322 | 4 | - | - | - | 9326 |
| 90/91 | 100 | 0 | 0 | 100 | 0 | - | - | - | 13551 | 7 | - | - | - | 13558 |
| 91/92 | 100 | 0.3 | 0 | 100 | 0 | - | - | - | 14938 | 18 | - | - | - | 14956 |
| 92/93 | 100 | 0.2 | 0 | 98 | 2 | - | - | - | 16737 | 300 | - | - | - | 17037 |
| 93/94 | 100 | 0.4 | 0 | 98 | 2 | - | - | - | 15765 | 332 | - | - | - | 16097 |
| 94/95 | 100 | 0.3 | 0 | 96 | 4 | - | - | - | 14361 | 523 | - | - | - | 14884 |
| 95/96 | 97 | 3.1 | 0 | 88 | 12 | - | - | - | 10737 | 1469 | - | - | - | 12206 |
| 96/97 | 99 | 1.4 | 0 | 89 | 11 | - | - | - | 11011 | 1387 | - | - | - | 12398 |
| 97/98 | 99 | 0.9 | 0 | 90 | 10 | - | - | - | 11501 | 1318 | - | - | - | 12819 |
| 98/99 | 99 | 1.4 | 0 | 89 | 11 | - | - | - | 12318 | 1554 | - | - | - | 13872 |
| 99/00 | 99 | 0.7 | 0 | 92 | 8 | - | - | - | 14844 | 1271 | - | - | - | 16115 |
| 00/01 | 99 | 1.1 | 0 | 90 | 10 | - | - | - | 15300 | 1758 | - | - | - | 17058 |
| 01/02 | 99 | 1.5 | 0 | 87 | 13 | - | - | - | 13167 | 1938 | - | - | - | 15105 |
| 02/03 | 98 | 1.6 | 0 | 86 | 14 | - | - | - | 13947 | 2264 | - | - | - | 16211 |
| 03/04 | 98 | 2.5 | 0 | 85 | 15 | - | - | - | 14735 | 2636 | - | - | - | 17371 |
| 04/05 | 97 | 3.3 | 0 | 85 | 15 | - | - | - | 15598 | 2729 | - | - | - | 18327 |
| 05/06 | 97 | 3.2 | 0 | 88 | 12 | - | - | - | 14647 | 2036 | - | - | - | 16683 |
| 06/07 | 90 | 3.3 | 7.2 | 82 | 13 | - | 5 | - | 13188 | 2054 | - | 838 | - | 16080 |
| 07/08 | 87 | 4.7 | 8.0 | 71.5 | 11 | 11 | 6 | 0.1 | 9657 | 1448 | 1546 | 848 | 12 | 13511 |
| 08/09 | 88 | 4.3 | 7.2 | 71.9 | 11 | 11 | 6 | 0.3 | 9248 | 1402 | 1351 | 826 | 36 | 12863 |
| 09/10 | 91 | 3.7 | 5.2 | 73.0 | 10 | 11 | 6 | 0.2 | 10035 | 1380 | 1535 | 774 | 28 | 13752 |
| 10/11 | 90 | 6.0 | 4.2 | 73.9 | 10 | 10 | 5 | 1.2 | 9757 | 1370 | 1310 | 611 | 152 | 13200 |
| 11/12 | 89 | 6.7 | 4.0 | 71.2 | 12 | 10 | 6 | 0.6 | 8731 | 1459 | 1215 | 790 | 74 | 12269 |
| 12/13 | 88 | 5.9 | 6.0 | 74.2 | 10 | 10 | 6 | 0.1 | 9590 | 1308 | 1259 | 752 | 7 | 12916 |
| 13/14 | 91 | 5.7 | 3.4 | 74.8 | 10 | 9 | 6 | 0.3 | 9267 | 1277 | 1115 | 690 | 40 | 12389 |
| 14/15 | 92 | 6.0 | 1.6 | 71.4 | 12 | 12 | 5 | 0.0 | 7506 | 1212 | 1225 | 562 | 3 | 10508 |


|  | Landings (\%) ${ }^{1}$ |  |  | Days fishing (\%) ${ }^{2}$ |  |  |  |  | Days fishing |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| year | CELR | CLR | NCELR | CELR | TCEPR | TCER | NCELR | LTCER | CELR | TCEPR | TCER | NCELR | LTCER | Total |
| 15/16 | 92 | 6.8 | 1.4 | 74.2 | 11 | 12 | 3 | 0.1 | 6398 | 919 | 1008 | 294 | 8 | 8627 |
| 16/17 | 94 | 4.4 | 1.9 | 71.4 | 12 | 11 | 3 | 2.3 | 6233 | 1028 | 968 | 295 | 205 | 8729 |
| Mean ${ }^{3}$ | 99 | 1.3 | - | 91 | 9 | - | - | - | 13675 | 1267 | - | - | - | 14943 |
| Mean ${ }^{4}$ | 90 | 5.2 | 4.5 | 72.8 | 11 | 11 | 5 | 0.5 | 9055 | 1351 | 1253 | 662 | 57 | 12259 |
| ${ }^{1}$ Percen | ages of l | ded g | en weigh |  |  |  |  |  |  |  |  |  |  |  |
| ${ }^{2}$ Percen | ages of | mber | days fish |  |  |  |  |  |  |  |  |  |  |  |
| ${ }^{3}$ average <br> 4 averag | $\begin{aligned} & \text { : 1989- } \\ & : 2006-0 \end{aligned}$ | to 20 | 5-06 only |  |  |  |  |  |  |  |  |  |  |  |

Table 9: Distribution (in \%) of formtype in FLA 1 landing data, weighted by landings, in the analysis data set (column 5 in Table 2). See Appendix A for definitions of abbreviations used in this table. '-': cell not available or applicable.

Fishing

| year | CELR | TCEPR | TCER | NCELR |
| :--- | ---: | ---: | ---: | ---: |
| $89 / 90$ | 100.0 | 0.0 | - | - |
| $90 / 91$ | 100.0 | 0.0 | - | - |
| $91 / 92$ | 100.0 | 0.0 | - | - |
| $92 / 93$ | 99.8 | 0.2 | - | - |
| $93 / 94$ | 99.7 | 0.3 | - | - |
| $94 / 95$ | 99.7 | 0.3 | - | - |
| $95 / 96$ | 96.4 | 3.6 | - | - |
| $96 / 97$ | 98.4 | 1.6 | - | - |
| $97 / 98$ | 98.9 | 1.1 | - | - |
| $98 / 99$ | 98.6 | 1.4 | - | - |
| $99 / 00$ | 99.3 | 0.7 | - | - |
| $00 / 01$ | 99.0 | 1.0 | - | - |
| $01 / 02$ | 98.5 | 1.5 | - | - |
| $02 / 03$ | 98.4 | 1.6 | - | - |
| $03 / 04$ | 97.5 | 2.5 | - | - |
| $04 / 05$ | 96.4 | 3.6 | - | - |
| $05 / 06$ | 96.6 | 3.4 | - | - |
| $06 / 07$ | 91.7 | 3.7 | - | 4.6 |
| $07 / 08$ | 90.2 | 2.1 | 2.7 | 5.0 |
| $08 / 09$ | 91.4 | 1.3 | 2.8 | 4.5 |
| $09 / 10$ | 92.7 | 1.2 | 2.9 | 3.2 |
| $10 / 11$ | 92.4 | 1.2 | 3.8 | 2.7 |
| $11 / 12$ | 89.3 | 1.7 | 5.2 | 3.8 |
| $12 / 13$ | 88.8 | 2.1 | 5.0 | 4.2 |
| $13 / 14$ | 91.1 | 1.7 | 4.4 | 2.9 |
| $14 / 15$ | 91.7 | 2.1 | 4.3 | 1.8 |
| $15 / 16$ | 92.6 | 3.5 | 2.9 | 1.0 |
| $16 / 17$ | 94.7 | 2.0 | 1.6 | 1.8 |
| Mean | 98.7 | 1.3 | - | - |
| Mean | 91.5 | 2.0 | 3.6 | 3.2 |
| $1989-90$ to $2005-06$ only |  |  |  |  |
| $2006-07$ to $2016-17$ only |  |  |  |  |

Table 10: Estimated catches (t) scaled to QMR totals (Eq. 1) for the top ten statistical areas in terms of summed 1989-90 to 2016-17 landings for the combined FLA 1 fisheries.


### 2.3.3 Description of the FLA 1 fisheries

### 2.3.3.1 Introduction

As discussed in Section 2.3.1.2, the link between the effort and landing components of the reporting forms has been broken in FLA 1 because of the extensive use of intermediate destination codes. This invalidates the approach advocated by Starr (2007) which scales the estimated catches by the trip landings and requires the use of estimated catches without modification, except to scale them up to represent QMR/MHR catches (Eq. 1). This approach assumes that the estimated catches are representative of the fishery and that operators, on average, have the same bias across areas and years when they estimate their catch. While this latter assumption is not ideal, there is no alternative.

Table 10 shows the distribution of flatfish estimated catches by fishing year for the top 11 statistical areas in terms of total accumulated FLA estimated catches. The top statistical area in terms of total estimated catches is Area 007 (Thames estuary), which exceeds all other statistical areas and accounts for $38 \%$ of the total combined FLA estimated catches over the 28 years of data. The next two statistical areas of importance are the west coast statistical areas 044 (Kaipara Harbour) and 043 (Manukau Harbour) (Table 10). Together these three fisheries account for $76 \%$ of the total FLA 1 catch, demonstrating why these areas are considered the major fisheries in this QMA, with the remaining statistical areas making up the balance (24\%) of the FLA 1 catch.

The characterisation analysis divides FLA 1 into three main regions based on the statistical area of capture (Table 11): A) Manukau Harbour (043) (12\% of catches, Table 12); B) Kaipara Harbour (044) ( $26 \%$ of catches, Table 12); and C) Thames estuary at the bottom of Hauraki Gulf (005-007) (41\% of catches, Table 12); and four minor areas: D) lower Waikato ( 041,042 ) ( $4 \%$ of catches, Table 12); E) Northwest (045-047) (4\% of catches, Table 12); F) East Northland (002, 003) (6\% of catches, Table 12); and G) Bay of Plenty (004, 008-010) (6\% of catches, Table 12). These seven 'fishery strata’ were established by Beentjes \& Coburn (2005) and modified slightly by Kendrick \& Bentley (2011). Area 001 is dropped in all FMA 1 analyses because fishers often enter ' 1 ' for statistical area when filling out their forms when they intend to record the QMA. Areas 001 and 048 are also dropped because the flatfish habitat associated with these areas is minimal and only minor amounts of flatfish reported are reported from these areas.

Table 11: Divisions of the FLA 1 spatial data into fishery strata, defined from statistical area aggregations, showing the selection of statistical areas included in each 'Fishery stratum'.

| Coded name <br> Major areas | Long name | Statistical areas <br> included |
| :--- | :--- | :--- |
| MH | Manukau Harbour | 043 |
| KH | Kaipara Harbour | 044 |
| HG | Hauraki Gulf | $005,006,007$ |
| Minor areas |  |  |
| LW | Lower Waikato | 041,042 |
| NW | Northwest | $045,046,047,048$ |
| EN | East Northland | 002,003 |
| BoP | Bay of Plenty | $004,008,009,010$ |

### 2.3.3.2 Distribution of estimated catch and effort by method of capture and fishery strata

Flatfish are taken almost entirely by setnet (SN) in all seven of the fishery strata defined in Table 11 (Figure 5; Table 12), with SN accounting for 95\% of the FLA 1 estimated catch over the summarised 28 year history ( $97 \%$ in the most recent five years). Other capture methods are relatively insignificant, accounting for $1 \%$ to $6 \%$ of catches since 2002-03 (15 years: Table 13) in some strata. The only exception to this was a brief flurry of Danish seine catches in the early 1990s in the Hauraki Gulf where the annual contribution by this capture method was near to or above 10\% from 1992-93 to 1994-95
(Table 13; Figure 5B), probably coinciding with the development of a Danish seine fleet targeting snapper. Some bottom trawl activity has occurred in the minor fisheries operating off the west coast of the North Island (Lower Waikato and Northwest, Figure 5A) and in the Bay of Plenty (Figure 5B), but these catches are minor relative to the SN catches in most fishery strata, except in the Lower Waikato and the Bay of Plenty (Figure 5; Table 12).

Annual catches of flatfish caught using setnet (as reported through estimated catches), as well as the associated effort, peaked in the early 2000s in the four west coast North Island fishery strata and have since steadily declined (Figure 6A). Patterns of catch and effort are more complicated on the east coast of the North Island, with the Hauraki Gulf showing two strong peaks of catch and effort in the early 1990s and in the second half of the 2000s (Figure 6B). However, while both the East Northland and Bay of Plenty fishery strata show catch and effort peaks in the second half of the 2000s, they are missing the strong early peak seen in the Hauraki Gulf.


Figure 5A: Distribution of scaled (Eq. 1) estimated flatfish catches (t) for the major fishing methods by fishing year for the WCNI FLA 1 fishery strata (Table 11) from 1989-90 to 2016-17. Circles are proportional to catch totals by method and fishing year within each sub-graph: [Manukau Harbour]: largest circle= 143 t in 97/98 for SN; [Kaipara Harbour]: largest circle= 311 t in $00 / 01$ for SN ; [Lower Waikato]: largest circle= 40 t in $96 / 97$ for SN ; [Northwest]: largest circle $=60 \mathrm{t}$ in 94/95 for SN. Data for these plots are presented in Table G.1.

FLA 1-ECNI


Figure 5B: Distribution of scaled (Eq. 1) estimated flatfish catches (t) by fishing year for the ECNI FLA 1 fishery strata (Table 11) from 1989-90 to 2016-17. Circles are proportional to catch totals by method and fishing year within each sub-graph: [East Northland]: largest circle= 82 t in 04/05 for SN; [Hauraki Gulf]: largest circle= 497 t in $04 / 05$ for SN; [Bay of Plenty]: largest circle= $86 \mathbf{t}$ in 05/06 for SN. Data for these plots are presented in Table G.1.

Table 12: Total scaled (Eq. 1) estimated catches ( $t$ ) and distribution of catches (\%) for flatfish for important fishing methods over the FLA 1 fishery strata (Table 11) from trips that landed flatfish, summed from 1989-90 to 2016-17. See Appendix A for definitions of abbreviations used in this table. '-': no data.

Stratum
Stat Area 001 Manukau Harbour Kaipara Harbour Lower Waikato Northwest East Northland Hauraki Gulf Bay of Plenty Total

| Capture method |  |  |  |  |  |
| ---: | ---: | ---: | ---: | ---: | ---: |
| SN | DS | BT | RN | Other | Total |
| 118.8 | 2.2 | 0.3 | 0.01 | 0.04 | 121.3 |
| 2341.5 | - | 0.04 | 50.8 | 2.6 | 2394.9 |
| 5230.4 | 0.1 | - | 1.6 | 0.7 | 5232.7 |
| 703.2 | 3.6 | 117.1 | 0.4 | 2.2 | 826.5 |
| 787.3 | 18.2 | 52.6 | 0.9 | 2.9 | 861.9 |
| 1204.1 | 3.4 | 8.1 | 0.2 | 0.7 | 1216.5 |
| 7787.9 | 430.6 | 18.2 | 1.0 | 29.9 | 8267.5 |
| 955.3 | 154.4 | 121.8 | 0.1 | 3.3 | 1234.9 |
| 19128.4 | 612.6 | 318.1 | 54.9 | 42.3 | 20 |
| 19 | 156.3 |  |  |  |  |


| Capture method distribution (\%) |  |  |  |  |  |
| ---: | ---: | ---: | ---: | ---: | ---: |
| SN | DS | BT | RN | Other | Total |
| 97.9 | 1.8 | 0.2 | 0.01 | 0.03 | 0.6 |
| 97.8 | - | 0.002 | 2.1 | 0.1 | 11.9 |
| 100.0 | 0.001 | - | 0.03 | 0.01 | 26.0 |
| 85.1 | 0.4 | 14.2 | 0.1 | 0.3 | 4.1 |
| 91.3 | 2.1 | 6.1 | 0.1 | 0.3 | 4.3 |
| 99.0 | 0.3 | 0.7 | 0.02 | 0.1 | 6.0 |
| 94.2 | 5.2 | 0.2 | 0.01 | 0.4 | 41.0 |
| 77.4 | 12.5 | 9.9 | 0.01 | 0.3 | 6.1 |
| 94.9 | 3.0 | 1.6 | 0.3 | 0.2 | 100.0 |

Table 13: Total scaled (Eq. 1) estimated catches (t) and distribution of catches (\%) by fishing year for flatfish for important fishing methods over all combined FLA 1 statistical areas (Table 11) from trips that landed flatfish. See Appendix A for definitions of abbreviations used in this table.

| Fishing year | Capture method |  |  |  |  |  | Capture method distribution (\%) |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | SN | DS | BT | RN | Other | Total | SN | DS | BT | RN | Other |
| 89/90 | 777.6 | 3.8 | 6.7 | 0.3 | 2.6 | 791.1 | 98.3 | 0.5 | 0.8 | 0.04 | 0.3 |
| 90/91 | 831.2 | 9.7 | 6.2 | 2.3 | 0.3 | 849.7 | 97.8 | 1.1 | 0.7 | 0.3 | 0.04 |
| 91/92 | 879.7 | 49.0 | 5.8 | 2.3 | 0.4 | 937.2 | 93.9 | 5.2 | 0.6 | 0.2 | 0.04 |
| 92/93 | 984.6 | 107.0 | 14.2 | 2.9 | 2.3 | 1111.0 | 88.6 | 9.6 | 1.3 | 0.3 | 0.2 |
| 93/94 | 935.0 | 178.6 | 18.4 | 2.7 | 1.6 | 1136.4 | 82.3 | 15.7 | 1.6 | 0.2 | 0.1 |
| 94/95 | 853.6 | 99.8 | 10.5 | 0.1 | 0.4 | 964.5 | 88.5 | 10.3 | 1.1 | 0.0 | 0.04 |
| 95/96 | 584.9 | 19.2 | 23.9 | 0.6 | 0.2 | 628.8 | 93.0 | 3.0 | 3.8 | 0.1 | 0.03 |
| 96/97 | 694.2 | 21.3 | 16.8 | 0.5 | 0.4 | 733.3 | 94.7 | 2.9 | 2.3 | 0.1 | 0.1 |
| 97/98 | 695.1 | 19.6 | 6.7 | 0.6 | 0.1 | 722.2 | 96.3 | 2.7 | 0.9 | 0.1 | 0.02 |
| 98/99 | 692.6 | 1.8 | 5.2 | 3.0 | 0.3 | 702.9 | 98.5 | 0.3 | 0.7 | 0.4 | 0.04 |
| 99/00 | 742.7 | 1.1 | 4.2 | 3.2 | 0.7 | 751.9 | 98.8 | 0.1 | 0.6 | 0.4 | 0.1 |
| 00/01 | 781.0 | 0.6 | 4.2 | 5.9 | 0.8 | 792.5 | 98.6 | 0.1 | 0.5 | 0.7 | 0.1 |
| 01/02 | 589.0 | 2.0 | 3.1 | 1.9 | 0.1 | 596.0 | 98.8 | 0.3 | 0.5 | 0.3 | 0.01 |
| 02/03 | 676.4 | 3.4 | 4.6 | 1.5 | 0.1 | 686.0 | 98.6 | 0.5 | 0.7 | 0.2 | 0.01 |
| 03/04 | 762.6 | 10.8 | 7.6 | 2.8 | 0.1 | 783.8 | 97.3 | 1.4 | 1.0 | 0.4 | 0.01 |
| 04/05 | 996.0 | 11.9 | 21.8 | 4.4 | 3.8 | 1037.8 | 96.0 | 1.1 | 2.1 | 0.4 | 0.4 |
| 05/06 | 910.0 | 21.6 | 24.0 | 2.9 | 5.8 | 964.4 | 94.4 | 2.2 | 2.5 | 0.3 | 0.6 |
| 06/07 | 863.3 | 27.5 | 19.4 | 3.1 | 8.3 | 921.6 | 93.7 | 3.0 | 2.1 | 0.3 | 0.9 |
| 07/08 | 666.3 | 8.5 | 15.6 | 3.1 | 11.1 | 704.7 | 94.6 | 1.2 | 2.2 | 0.4 | 1.6 |
| 08/09 | 619.7 | 3.1 | 14.4 | 2.4 | 0.4 | 640.0 | 96.8 | 0.5 | 2.2 | 0.4 | 0.1 |
| 09/10 | 636.9 | 2.2 | 11.8 | 1.1 | 0.4 | 652.4 | 97.6 | 0.3 | 1.8 | 0.2 | 0.1 |
| 10/11 | 471.1 | 2.7 | 11.7 | 1.4 | 0.2 | 487.0 | 96.7 | 0.5 | 2.4 | 0.3 | 0.03 |
| 11/12 | 423.1 | 3.3 | 15.9 | 1.0 | 1.8 | 445.1 | 95.0 | 0.7 | 3.6 | 0.2 | 0.4 |
| 12/13 | 462.3 | 1.4 | 14.8 | 1.2 | 0.0 | 479.8 | 96.4 | 0.3 | 3.1 | 0.2 | 0.003 |
| 13/14 | 494.2 | 1.3 | 13.1 | 2.6 | 0.0 | 511.2 | 96.7 | 0.3 | 2.6 | 0.5 | 0.01 |
| 14/15 | 415.5 | 0.3 | 10.7 | 0.6 | 0.0 | 427.2 | 97.3 | 0.1 | 2.5 | 0.1 | 0.005 |
| 15/16 | 272.7 | 0.6 | 3.6 | 0.1 | 0.0 | 277.1 | 98.4 | 0.2 | 1.3 | 0.03 | 0.002 |
| 16/17 | 417.0 | 0.3 | 3.1 | 0.3 | 0.0 | 420.7 | 99.1 | 0.1 | 0.7 | 0.1 | 0.01 |
| Total | 19128.4 | 612.6 | 318.1 | 54.9 | 42.3 | 20156.3 | 94.9 | 3.0 | 1.6 | 0.3 | 0.2 |
| Last five years | 2061.8 | 4.0 | 45.4 | 4.7 | 0.1 | 2116.0 | 97.4 | 0.2 | 2.1 | 0.2 | 0.005 |

WCNI FLA1 setnet


Figure 6A: Bar plots of total annual estimated catches scaled to QMR (t) (Eq. 1) and total length of net set (km) for the four west coast North Island FLA 1 fishery strata. Data for these plots are presented in Table G. 1 and Table G.2.

ECNI FLA1 setnet


Figure 6B: Bar plots of total annual estimated catches scaled to QMR (t) (Eq. 1) and total length of net set (km) for the three east coast North Island FLA 1 fishery strata. Data for these plots are presented in Table G. 1 and Table G.2.


Figure 7A: Distribution of setnet scaled (Eq. 1) estimated flatfish catches (t) by month and fishing year for the WCNI FLA 1 fishery strata (Table 11) from 1989-90 to 2016-17. Circles are proportional to catch totals by method and fishing year within each sub-graph: [Manukau Harbour]: largest circle= 28 t in 99/00 for Mar; [Kaipara Harbour]: largest circle= 42 t in 01/02 for Nov; [Lower Waikato]: largest circle= 6.3 t in 96/97 for May; [Northwest]: largest circle= 9.4 t in 96/97 for May. Values for the plotted data are provided in Table G.3.


Figure 7B: Distribution of setnet scaled (Eq. 1) estimated flatfish catches (t) by month and fishing year for the ECNI FLA 1 fishery strata (Table 11) from 1989-90 to 2016-17. Circles are proportional to catch totals by method and fishing year within each sub-graph: [East Northland]: largest circle= 8.8 t in 03/04 for Mar; [Hauraki Gulf]: largest circle= 78 t in 93/94 for Feb; [Bay of Plenty]: largest circle= $19 \mathbf{t}$ in $\mathbf{0 5 / 0 6}$ for Sep. Values for the plotted data are provided in Table G.3.

### 2.3.3.3 Seasonal distribution of estimated catches in the setnet fishery

There appears to be relatively little seasonality in the estimated flatfish setnet catches in any of the seven fishery strata defined in Table 11 (Figure 7). The only fishery stratum with a suggestion of seasonality is the Hauraki Gulf, where there appears to be some attenuation of catch after April (Figure 7B). However, the other two main harbour fisheries (Manukau and Kaipara, Figure 7A) do not show a similar drop in autumn and winter catches. None of the four minor fishery strata show much seasonality (Figure 7A, Figure 7B).

### 2.3.3.4 Distribution of estimated catches by declared target species in the setnet fishery

There is almost no contrast in the targeting information in the FLA 1 setnet data set, with $90 \%$ of all declared target species assigned to the generic FLA code (Table 14). Of the three major setnet fishing strata, only Kaipara Harbour fishers used alternative target species codes, with $16 \%$ of the estimated catches directed at YBF rather than FLA (Table 14). There is a trend in the use of the YBF target species code in Kaipara Harbour, with over 30\% in 2015-16 and over 50\% in 2016-17 of estimated catches declaring YBF as the target species (Figure 8). The use of FLA target species other than the generic FLA code is nearly non-existent in the other FLA 1 fishery strata (Table 14). As well, the FLA 1 setnet fishery in these seven fishery strata is exclusively (98\%) a FLA target fishery, with 1\% (or less) of the estimated catches directed at SPO or SNA, the next two most prevalent declared target species (Table 15).

Table 14: Distribution of estimated setnet flatfish catches (\%) by declared flatfish target species for each of the FLA 1 fishery strata (Table 11) from trips that landed flatfish, summed from 1989-90 to 2016-17. See Appendix A for definitions of abbreviations used in this table. NUL: missing target species information. '-': no data.

|  | Missing Stat_area Manukau |  |  | Kaipara Harbour | Lower Waikato | Northwest Northland |  | Hauraki Gulf | Bay of Plenty | scaled to |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Species code | stat_area | 001 | Harbour |  |  |  |  | Total |  | R/MHR ${ }^{1}$ |
| FLA | 77.2 | 87.9 | 97.6 | 83.3 | 84.1 | 93.2 | 95.1 |  | 92.1 | 84.3 | 89.9 | 16790 |
| YBF | 17.9 | 6.0 | 1.1 | 16.2 | 12.8 | 1.2 | 3.0 | 3.8 | 2.5 | 7.0 | 1309 |
| SFL | 0.2 | 2.5 | 0.04 | 0.01 | 0.4 | 0.0005 | 0.3 | 0.8 | 3.5 | 0.5 | 102 |
| GFL | 0.1 | 1.0 | - | 0.001 | 1.3 | 0.01 | - | 0.2 | 0.02 | 0.2 | 29 |
| ESO | - | - | - | - | - | - | - | - | 1.9 | 0.1 | 17 |
| LSO | - | - | - | - | - | - | - | - | 0.0 | 0.002 | 0.4 |
| SOL | 0.4 | - | - | - | - | - | - | - | - | 0.001 | 0.2 |
| BFL | 0.3 | - | - | - | - | - | - | - | - | 0.001 | 0.1 |
| FLO | - | - | - | 0.001 | - | 0.002 | - | 0.0001 | - | 0.000 | 0.1 |
| BRI | - | - | - | - | - | - | - | - | 0.002 | 0.0001 | 0.01 |
| TUR | - | - | - | - | 0.0002 | - | - | - | - | 0.00001 | 0.001 |
| NUL | 3.9 | 2.7 | 1.2 | 0.5 | 1.4 | 5.6 | 1.6 | 3.1 | 7.8 | 2.3 | 436 |
| Total | 0.2 | 0.6 | 12.1 | 27.1 | 3.6 | 4.1 | 6.3 | 41.0 | 4.9 | 100 | 18684 |

Table 15: Total scaled (Eq. 1) estimated setnet catches (t) and distribution of catches (\%) for all target species over the FLA 1 fishery strata (Table 11) from trips that landed flatfish, summed from 1989-90 to 2016-17. See Appendix A for definitions of abbreviations used in this table. '-': no data.

Stratum
Stat Area 001
Manukau Harbour
Kaipara Harbour
Lower Waikato
Northwest
East Northland
Hauraki Gulf
Bay of Plenty
Total

|  |  | Target species (t) |  |  |  |  |  |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| FLA | SPO | SNA | GUR | TRE | GMU | Other | Total |
| 115.6 | 1.0 | 0.3 | 0.78 | 0.84 | 0.1 | 0.2 | 118.8 |
| 2312.5 | 19.9 | 0.18 | 0.2 | 5.6 | 2.1 | 1.1 | 2341.5 |
| 5205.7 | 14.3 | 0.119 | 0.2 | 2.0 | 7.3 | 0.7 | 5230.4 |
| 693.3 | 6.8 | 0.0 | 1.2 | 0.4 | 0.9 | 0.6 | 703.2 |
| 743.4 | 18.8 | 0.3 | 18.9 | 2.2 | 2.6 | 1.2 | 787.3 |
| 1184.4 | 6.8 | 1.9 | 0.5 | 0.9 | 6.3 | 3.2 | 1204.1 |
| 7547.5 | 101.4 | 101.7 | 1.0 | 10.3 | 4.1 | 21.9 | 7787.9 |
| 881.4 | 15.6 | 6.1 | 32.4 | 11.1 | 0.5 | 8.2 | 955.3 |
| 18683.8 | 184.6 | 110.6 | 55.2 | 33.3 | 23.8 | 37.1 | 19 |
| 128.4 |  |  |  |  |  |  |  |


|  | Distribution of target species (\%) |  |  |  |  |  |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| FLA | SPO | SNA | GUR | TRE | GMU | Other |
| 97.3 | 0.8 | 0.3 | 0.7 | 0.7 | 0.1 | 0.1 |
| 98.8 | 0.9 | 0.01 | 0.01 | 0.2 | 0.1 | 0.05 |
| 99.5 | 0.3 | 0.002 | 0.004 | 0.04 | 0.1 | 0.01 |
| 98.6 | 1.0 | 0.003 | 0.2 | 0.1 | 0.1 | 0.1 |
| 94.4 | 2.4 | 0.03 | 2.4 | 0.3 | 0.3 | 0.2 |
| 98.4 | 0.6 | 0.2 | 0.04 | 0.1 | 0.5 | 0.3 |
| 96.9 | 1.3 | 1.3 | 0.01 | 0.1 | 0.1 | 0.3 |
| 92.3 | 1.6 | 0.6 | 3.4 | 1.2 | 0.05 | 0.9 |
| 97.7 | 1.0 | 0.6 | 0.3 | 0.2 | 0.1 | 0.2 |



Fishing year
$\longrightarrow$ Manukau Harbour $\longrightarrow$ Kaipara Harbour $\longrightarrow$ Hauraki Gulf
Figure 8: Percentage of estimated setnet flatfish catch declared using YBF as the target species for each of the primary FLA 1 fishery strata (Table 11) by fishing year, 1989-90 to 2016-17.

### 2.3.3.5 Distribution of flatfish component species in the setnet fishery

The use of non-generic flatfish species codes when reporting estimated catch is of importance if CPUE analyses are to reflect actual species catch rather than being lumped into a single composite FLA code. Starr et al. (2018) were able to extract species-specific information from the FLA 3 fishery off the east coast of the South Island by identifying "splitter" trips where all estimated flatfish catches used nongeneric species code. The existence of such trips was seen to increase over time because the use of species-specific flatfish codes was being encouraged by local Fisheries New Zealand field staff in FMA 3.

The use of non-generic flatfish species codes when reporting estimated catch in FLA 1 seems to be minimal and there is little indication that there are trends in this form of reporting. Table 16 shows that, overall, $57 \%$ of estimated catches use the generic FLA code. The next two codes in terms of importance are YBF (32\%) and SFL (8\%). The remaining six species codes in the data set comprise less than $4 \%$ of the reported estimated catches (Table 16).

Catches from the two western harbours are primarily YBF, but the use of the YBF code was rare in Manukau Harbour up to 2012-13, when the percentage of estimated catches using this code increased to just over 20\%, where it has remained to 2016-17 (Figure 9A). The Kaipara Harbour fishery makes more use of the YBF code (overall $38 \%$ of the estimated catch: Table 16), with the percentage reported approaching or exceeding $40 \%$ since the early 1990s and going above $60 \%$ in 2015-16 and 2016-17 (Figure 9A).

The Hauraki Gulf fishery stratum is a mixed species flatfish fishery, with both YBF and SFL being captured (Table 16). Unfortunately, the use of SFL code dropped to low levels (less than 5\%) in the 2000s, with a corresponding increase in the use of the generic FLA code (Figure 9A). This renders CPUE analyses which make use of the SFL code problematic in this fishery because there is no assurance that the fishers who continue to use the code are representative of the overall SFL catch when reporting levels get so low. Figure 9A shows that there is likely to be a trade-off between the use of the generic FLA code and the prevalence of the two primary species-specific codes. Such a trade-off can introduce bias into the use of these data for tracking species abundance.

The use of species-specific codes in the minor fishery strata is also problematic. The two west coast fishery strata are primarily YBF, but there is an increase in the SFL code in the Lower Waikato from 2012-13 to 2014-15 (Figure 9B). It is not clear whether this is the result of a short-term increase in SFL abundance or a reporting anomaly that has since disappeared. The Northwest fishery stratum shows an attenuation in the reporting of YBF since the early 2000s and a near complete disappearance of speciesspecific code reporting after 2013-14 (Figure 9B). East Northland shows a reasonably steady reporting of YBF of around $40 \%$ of total estimated catch, but there is a strong increase in SFL in 2016-17 (Figure 9B). It is not possible to tell whether this is due to increased species-specific reporting or to a true increase in SFL abundance. The species composition in the Bay of Plenty setnet fishery is more complex than any of the other six strata, with much variation and no trend in the reporting by specific species (Figure 9B). It would be difficult to extract species trends from these data.


Figure 9A: Percentage of estimated setnet flatfish catch reported by flatfish species code for each of the major FLA 1 fishery strata (Table 11) by fishing year, 1989-90 to 2016-17. See Appendix A for definitions of abbreviations used in the figure legend.

Table 16: Distribution of estimated setnet flatfish catches (\%) by species code used for the estimated catch for each of the FLA 1 fishery strata (Table 11) from trips that landed flatfish, summed from 1989-90 to 2016-17. See Appendix A for definitions of abbreviations used in this table. NUL: missing target species information. '-': no data.

| Species code | Missing Stat_area Manukau |  |  | Kaipara <br> Harbour | Lower Waikato | Northwest Northland |  | Hauraki Gulf | Bay of Plenty | scaled to <br> Total QMR/MHR ${ }^{1}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | stat_area | 001 | Harbour |  |  |  |  |  |  |  |  |
| FLA | 48.1 | 55.3 | 93.6 | 61.1 | 81.4 | 71.7 | 54.7 | 44.9 | 19.9 | 57.1 | 10927 |
| YBF | 33.6 | 15.2 | 6.2 | 37.9 | 16.4 | 24.8 | 39.5 | 37.6 | 16.5 | 31.5 | 6026 |
| SFL | 10.6 | 9.7 | 0.2 | 1.0 | 0.5 | 1.3 | 5.7 | 14.0 | 25.3 | 7.8 | 1491 |
| ESO | 1.1 | 0.3 | 0.0001 | 0.00003 | 0.2 | 2.1 | 0.05 | 0.1 | 25.0 | 1.3 | 258 |
| GFL | 4.8 | 19.1 | - | 0.002 | 1.3 | 0.01 | 0.001 | 3.0 | 1.3 | 1.5 | 285 |
| LSO | 0.1 | 0.3 | - | - | 0.04 | 0.01 | 0.02 | 0.01 | 9.5 | 0.5 | 90 |
| BRI | 0.1 | 0.04 | - | - | 0.1 | 0.01 | 0.001 | 0.001 | 2.3 | 0.1 | 23 |
| BFL | 1.5 | - | - | - | - | - | 0.03 | 0.3 | 0.02 | 0.1 | 27 |
| TUR | 0.2 | 0.01 | 0.0002 | - | 0.0 | 0.1 | 0.01 | 0.002 | 0.1 | 0.01 | 2 |
| Total | 0.2 | 0.6 | 12.1 | 27.1 | 3.6 | 4.1 | 6.3 | 41.0 | 4.9 | 100 | 19128 |
| ${ }^{1}$ distribution | the penu | mate co | mn scal | to SN tot | al in Tabl | 12 |  |  |  |  |  |



Figure 9B: Percentage of estimated setnet flatfish catch reported by flatfish species code for each of the minor FLA 1 fishery strata (Table 11) by fishing year, 1989-90 to 2016-17. See Appendix A for definitions of abbreviations used in the figure legend.

## 3. STANDARDISED CPUE ANALYSIS

### 3.1 Description and specification of analyses

Standardised CPUE analyses were performed on four major fishery strata (Table 17) and three minor fishery strata (Table 18). These seven strata/regions were originally specified by Beentjes \& Coburn (2005) and their definitions have been continued in subsequent reports, with the exception of adding in Areas 005 and 006 with 007 and calling the resulting grouping "Hauraki Gulf" (proposed by Kendrick \& Bentley 2011). Kendrick \& Bentley (2011) dropped the Bay of Plenty standardised CPUE analysis due to data scarcity and complex species composition, a practice which has been continued in this report.

The positive catch distributions listed in Table 17 and Table 18 were selected to ensure continuity with earlier versions of the same analyses (see Kendrick \& Bentley 2011, 2012a, 2015). The only exception was the HG(TOT) -est model, a new model proposed for this report by the NINSWG, where a range of alternative positive catch distributions were tested with the data set and the gamma distribution was selected because it gave the best fit (Figure L.3).

Kendrick \& Bentley (2015) report a SFL series for the Hauraki Gulf. When this report was initially reviewed in April 2018, the NINSWG rejected the SFL series because of the poor reporting rate for SFL in this fishery during the 2000s (see [lower central] panel in Figure 9 and Appendix P). The NINSWG was concerned that, because of the low reporting level across a number of years during the 2000s (see upper and lower left panels in Figure P.2), there was potential for bias in the CPUE estimates because
the remaining reporting fleet might not be representative of the total fleet catch. Consequently the SFL series was replaced with a Hauraki Gulf series which combined all FLA catches into a single vector (HG(TOT) -est; Table 17).

This report implemented a catch correction algorithm (Appendix F) developed by Kendrick \& Bentley (2012b) to correct for rig (SPO 1) being landed using intermediate destination codes and subsequently sold to a LFR at a later date. Appendix Q compares a series analysed using data where the catch vector has been prepared with the F2 algorithm with a series prepared using the same data except that the catch vector was based on unmodified estimated catch. The correspondence between series prepared using the alternative catch vectors was sufficiently good that the NINSWG recommended that only the estimated catch series were required.

Table 17: List of specifications for modelled FLA 1 setnet (SN) major fishery strata (Table 11). FLA(TOT): amalgamation of all FLA estimated catch species codes.

| Model label | Location | Statistical <br> area <br> definition | FLA species definition | Core fleet definition | Number vessels and $\%$ retained catch | Positive <br> catch distribution | Document reference |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| MH(TOT)-est | Manukau Harbour | 043 | FLA(TOT) | 10 trips/6 years | 42 vessels/84\% | log-logistic | Appendix I |
| KH(TOT)-est | Kaipara Harbour | 044 | FLA(TOT) | 10 trips/4 years | 68 vessels/90\% | log-logistic | Appendix J |
| HG(YBF)-est | Hauraki Gulf | 005-007 | YBF | 10 trips/4 years | 40 vessels/86\% | gamma | Appendix K |
| HG(TOT)-est ${ }^{1}$ | Hauraki Gulf | 005-007 | FLA(TOT) | 10 trips/4 years | 103 vessels/87\% | gamma | Appendix L |

Table 18: List of specifications for modelled FLA 1 setnet (SN) minor fishery strata (Table 11). FLA(TOT): amalgamation of all FLA estimated catch species codes.

| Model label | Location | Statistical area definition | FLA species definition | Core fleet definition | Number vessels and \% retained catch | Positive catch distribution | Document reference |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| LW(TOT)-est | Lower Waikato | 041 \& 042 | FLA(TOT) | 10 trips/4 years | 16 vessels/87\% | log-logistic | Appendix M |
| NW(TOT)-est | Northwest | 045-047 | FLA(TOT) | 10 trips/3 years | 19 vessels/85\% | log-logistic | Appendix N |
| EN(TOT)-est | East Northland | 002 \& 003 | FLA(TOT) | 10 trips/4 years | 25 vessels/80\% | log-logistic | Appendix O |

### 3.2 Comparison with previous FLA 1 CPUE standardisation analyses

Three of the four models reported in Table 17 are repeats of models generated by Kendrick \& Bentley (2015) and all three of the models in Table 18 were also reported by Kendrick \& Bentley (2015). Superimposed plots of the respective positive catch series show good correspondence between the series generated for this report with the equivalent Kendrick \& Bentley (2015) series for the major (Figure 10) and minor fishery strata (Figure 11)

Kendrick \& Bentley (2015) reported a presence-absence analysis based on the binomial distribution. Such an analysis is frequently done in the Inshore Working Groups to capture changes in species reporting standards and discards (see Langley 2014 for a discussion). Given that these setnet fisheries are primarily targeted at FLA, the incidence of zero catch records is low when all FLA catches are amalgamated and only a positive catch series is required. However, there is a much higher incidence of zero records in the Hauraki Gulf setnet fishery when only reporting YBF (see Figure K.2) or SFL (see Figure P.2). The presence-absence series was initially repeated for this report, but the NINSWG rejected this analysis for Hauraki Gulf YBF because there was concern that changes in the proportion of zeros in this fishery were often due to species reporting issues rather than to changes in abundance.


Figure 10: Comparison of three major 2018 standardised positive catch models with equivalent 2015 models; [upper left panel]: Manukau Harbour; [upper right panel]: Kaipara Harbour; [lower central panel]: Hauraki Gulf YBF. See Table 17 for model specifications.


Figure 11: Comparison of three minor 2018 standardised positive catch models with equivalent 2015 models; [upper left panel]: Lower Waikato; [upper right panel]: Northwest; [lower central panel]: East Northland. See Table 18 for model specifications.

### 3.3 Description of standardised SN CPUE from FLA 1 major fishery strata

### 3.3.1 Manukau Harbour

Detailed diagnostics for the Manukau Harbour standardised positive catch model are presented in Appendix I and the relative CPUE series and contributing catches are plotted in Figure 12 [upper left panel]. There is only a minor effect from the standardisation procedure (Figure I.3) with acceptable diagnostics for this model. The series peaked in 1992-93 and has since declined $68 \%$ from the peak. Research has shown that there is a correlation between siltation and declining water quality in this harbour with the CPUE trend (McKenzie et al. 2013), leading to the conclusion that factors other than fishing are causing the decline in CPUE. Catches have also dropped along with the drop in CPUE (Fisheries New Zealand 2018).


Figure 12: Setnet positive catch CPUE series (showing upper and lower $95 \%$ bounds) and relative catch (geometric mean=1.0 for both series) for the four FLA 1 major fishery strata (see Table 11): [upper left panel] Manukau Harbour; [upper right panel] Kaipara Harbour; [lower left panel]: Hauraki Gulf YBF; [lower right panel] Hauraki Gulf FLA(TOT).

### 3.3.2 Kaipara Harbour

Detailed diagnostics for the Kaipara Harbour standardised positive catch model are presented in Appendix J and the relative CPUE series and contributing catches are plotted in Figure 12 [upper right panel]. As for the Manukau Harbour series, there is only a minor effect from the standardisation procedure (Figure J.3) with acceptable diagnostics for this model. The series peaked in 1994-95 and has since declined 66\% from the peak. The research in Manukau Harbour which correlates the CPUE decline
with reduced water quality in the harbour (McKenzie et al. 2013), leads to the same conclusion for this harbour as for the Manukau: factors other than fishing are causing the decline in CPUE, given that catches have also dropped along with the drop in CPUE (Fisheries New Zealand 2018).

### 3.3.3 Hauraki Gulf YBF

Detailed diagnostics for the Hauraki Gulf YBF standardised positive catch model are presented in Appendix K and the relative CPUE series and contributing catches are plotted in Figure 12 [lower left panel]. The standardisation effect is not large but it is greater than for the two west coast harbour series (Figure K.3) with acceptable diagnostics for this model. The series shows very little overall trend, but that conclusion is dependent on the very strong upturn observed in 2016-17. The series peaked in 200607 and then declined steadily to 2015-16. The strong upturn in 2016-17 has brought the series above its long-term mean.

### 3.3.4 Hauraki Gulf FLA(TOT)

Detailed diagnostics for the Hauraki Gulf FLA(TOT) standardised positive catch model are presented in Appendix L and the relative CPUE series and contributing catches are plotted in Figure 12 [lower right panel]. The standardisation effect is greater than for the comparable HG(YBF)-est series (Figure L.4) with acceptable diagnostics for this model. The series peaked in the early 1990s and then declined to 2001-02 when it climbed to a secondary peak around 2006-07. Then, as for the HG (YBF ) est series, there was a decline to 2015-16 followed by a strong upturn in 2016-17 that has brought the series above its long-term mean. There will be a considerable overlap in the data contributing to these two Hauraki Gulf series.

### 3.4 Description of standardised SN CPUE from FLA 1 minor fishery strata

These analyses are reported here for completeness. They have not been accepted by the NINSWG for monitoring these fisheries due to the lack of area-specific catch information, leading to the amalgamation of harbours and other flatfish fishing locations which may conceal local trends. As well, the amount of data held in these three fisheries is limited, with the combined three fisheries only accounting for $14 \%$ of the total FLA estimated catch over the 28 year period of record (see Table 12).

### 3.4.1 Lower Waikato

Detailed diagnostics for the Lower Waikato standardised positive catch model are presented in Appendix M and the relative CPUE series and contributing catches are plotted in Figure 13 [upper left panel]. The standardisation effect flattens the series, with the procedure lifting all the early CPUE 1990s indices, effecting little change in the middle section of the series and then pushing down the final 1012 years of the series (Figure M.3). The series appears to be increasing, but most of the increase has occurred in the most recent 10 to 12 years. Note that the strong CPUE increases in 2015-16 and 201617 are not associated with a corresponding increase in catch (Figure 13 [upper left panel]).

### 3.4.2 Northwest

Detailed diagnostics for the Northwest standardised positive catch model are presented in Appendix N and the relative CPUE series and contributing catches are plotted in Figure 13 [upper right panel]. There is a fairly strong standardisation effect, with the procedure lifting all the early CPUE indices up to the mid-2000s and pushing down the latter part of the series, accentuating the decline (Figure N.3). The series peaked in the late 1990s and has steadily declined since then. There have only been two to four vessels in this analysis since the mid-2000s, indicating that this series is likely to be unreliable,
particularly in recent years. Catches have dropped correspondingly with the decline in CPUE (Figure 13 [upper right panel]).

### 3.4.3 East Northland

Detailed diagnostics for the East Northland standardised positive catch model are presented in Appendix O and the relative CPUE series and contributing catches are plotted in Figure 13 [lower centre panel]. The standardisation effect in this series is similar to that seen in the Lower Waikato series, with the procedure lifting all the early 1990s CPUE indices, and then pushing down the indices in the remainder of the series (Figure O.3). This series starts out with no trend and then turns into a slowly declining series, dropping about $33 \%$ from its peak in 1996-97. Catches have matched the CPUE trend except for a broad peak in catches during most of the early 2000s while CPUE remained static (Figure 13 [upper right panel]).


Figure 13: Setnet positive catch CPUE series (showing upper and lower 95\% bounds) and relative catch (geometric mean=1.0 for both series) for the three FLA 1 minor fishery strata (see Table 11): [upper left panel] Lower Waikato FLA(TOT); [upper right panel] Northwest FLA(TOT); [lower centre panel]: East Northland FLA(TOT).

### 3.5 FLA 1 series comparisons

The two series from the west coast North Island harbours strongly resemble each other ([upper left panel]: Figure 14), indicating that the environmental effects which are likely to be influencing these series are operating similarly in both harbours. The two Hauraki Gulf series are also very similar to each other, with a reduced peak in centre of the series for HG (TOT) - est series and an increased level at the beginning of the series ([upper right panel]: Figure 14). The similarity in the series is unsurprising, given that the HG (YBF) -est series is a subset of the HG(TOT) -est series. However, the reduction in the centre of the HG (TOT) - est may reflect a drop in the SFL abundance that was hinted at in the discarded HG(SFL) -est series during the same time period (Figure P.3). Finally, there is little that can be said about the three minor fishery strata series ([lower centre panel]: Figure 14); the relatively small amount of data and the amalgamation of multiple fishing areas make these series unreliable indicators of relative abundance.


Figure 14: Comparison of related 2018 standardised CPUE models; [upper left panel]: Manukau and Kaipara Harbours; [upper right panel]: Hauraki Gulf: YBF and FLA(TOT); [lower central panel]: three minor FLA 1 fishery strata (Table 18).

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## Appendix A. Glossary Of Abbreviations, Codes, And Definitions Of Terms

Table A.1: Table of abbreviations and definitions of terms

| Term/Abbreviation | Definition |
| :---: | :---: |
| AIC | Akaike Information Criterion: used to select between different models (lower is better) |
| AMP | Adaptive Management Programme |
| analysis data set | data set available after completion of grooming procedure (Starr 2007) |
| arithmetic CPUE | sum of catch/sum of effort, usually summed over a year within the stratum of interest |
| CDI plot | Coefficient-distribution-influence plot (Bentley et al. 2012) |
| CELR | Catch/Effort Landing Return (Ministry of Fisheries 2010): active since July 1989 for all vessels less than 28 m . Fishing events are reported on a daily basis on this form |
| CLR | Catch Landing Return (Ministry of Fisheries 2010): active since July 1989 for all vessels not using the CELR or NCELR forms to report landings |
| CPUE | Catch Per Unit Effort |
| daily stratum or daily effort stratum | summarisation within a trip by day of fishing with the modal statistical area of occupancy and modal declared target species assigned to the day of fishing; only trips that used a single capture method are used |
| destination code | code indicating how each landing was directed after leaving vessel (see Table 4) |
| EDW | Enterprise Data Warehouse: name for Fisheries New Zealand database designed to bring together a number of disparate fisheries data sets, including legacy paper-based data, the developing electronically collected catch and effort data and various administrative data sets (e.g., vessel registration, permits, etc...). It will replace the existing Warehou data warehouse. |
| EEZ estimated catch | Exclusive Economic Zone: marine waters under control of New Zealand an estimate made by the operator of the vessel of the weight of flatfish captured, which is then recorded as part of the 'fishing event'. Only the top five species are required for any fishing event in the CELR and TCEPR data (expanded to eight for the TCER form type) |
| fishing event | a record of activity in a trip. It is a day of fishing within a single statistical area, using one method of capture and one declared target species (CELR data) or a unit of fishing effort (usually a tow or a line set) for fishing methods using other reporting forms |
| fishing year | 1 October - 30 September for flatfish |
| FMA | Fishery Management Areas: 10 legal areas used to define large scale stock management units; with the inshore QMAs consisting of one or more of these regions |
| landing event | weight of flatfish off-loaded from a vessel at the end of a trip. Every landing has an associated destination code and there can be multiple landing events with the same or different destination codes for a trip |
| LCER | Lining Catch Effort Return (Ministry of Fisheries 2010): active since October 2003 for lining vessels larger than 28 m and reports set-by-set fishing events |
| LFR | Licensed Fish Receiver: processors legally allowed to receive commercially caught species |
| LTCER | Lining Trip Catch Effort Return (Ministry of Fisheries 2010): active since October 2007 for lining vessels between 6 and 28 m and reports individual set-by-set fishing events |
| MHR | Monthly Harvest Return: monthly returns used after 1 October 2001. Replaced QMRs but have same definition and utility |
| MLS | Minimum Legal Size |
| MPI | New Zealand Ministry for Primary Industries (now referred to as Fisheries New Zealand) |
| NCELR | Netting Catch Effort Landing Return (Ministry of Fisheries 2010): active since October 2006 for inshore vessels between 6 and 28 m using setnet gear and reports individual fishing events |
| QMA | Quota Management Area: legally defined unit area used for flatfish management (Figure 1) |
| QMR | Quota Management Report: monthly harvest reports submitted by commercial fishers. Considered to be best estimates of commercial harvest. In use from 1986 to 2001 |
| QMS | Quota Management System: name of the management system used in New Zealand to control commercial and non-commercial catches |
| replog | data extract identifier issued by Fisheries New Zealand data unit |
| residual implied | plots that mimic interaction effects between the year coefficients and a categorical variable |
| coefficient plots | by adding the mean of the categorical variable residuals in each fishing year to the year coefficient, creating a plot of the 'year effect' for each value of the categorical variable |
| rollup | a term describing the average number of records per 'trip-stratum' or 'daily stratum' |
| RTWG | Recreational Technical Working Group |
| SINSWG | Southern Inshore Fisheries Assessment Working Group: Fisheries New Zealand Working Group overseeing the work presented in this report |

Term/Abbreviation standardised CPUE

TACC
TCEPR Trawl Catch Effort Processing Return (Ministry of Fisheries 2010): active since July 1989 for deepwater vessels larger than 28 m and reports tow-by-tow fishing events
Trawl Catch Effort Return (Ministry of Fisheries 2010): active since October 2007 for inshore vessels between 6 and 28 m and reports tow-by-tow fishing events
trip a unit of fishing activity by a vessel consisting of 'fishing events' and 'landing events', which are activities assigned to the trip. Fisheries New Zealand generates a unique database code to identify each trip, using the trip start and end dates and the vessel code (Ministry of Fisheries 2010)
trip-stratum summarisation within a trip by fishing method used, the statistical area of occupancy and the declared target species
unstandardised CPUE geometric mean of all individual CPUE observations, usually summarised over a year within the stratum of interest
Warehou name for Fisheries New Zealand data warehouse that holds historical paper-based catch and effort data

Table A.2: Code definitions used in the body of the main report and in Appendix C, Appendix E and Appendix G.

| Code | Definition | Code | Description |
| :---: | :--- | :---: | :--- |
| BLL | Bottom longlining | BAR | Barracouta |
| BPT | Bottom trawl - pair | BNS | Bluenose |
| BS | Beach seine/drag nets | BUT | Butterfish |
| BT | Bottom trawl - single | ELE | Elephant fish |
| CP | Cod potting | FLA | Flatfish (mixed species) |
| DL | Drop/dahn lines | GMU | Grey mullet |
| DS | Danish seining - single | GSH | Ghost shark |
| HL | Handlining | GUR | Red gurnard |
| MW | Midwater trawl - single | HOK | Hoki |
| RLP | Rock lobster potting | HPB | Hapuku \& Bass |
| SLL | Surface longlining | JDO | John Dory |
| SN | Setnetting (includes gill nets) | JMA | Jack mackerel |
| T | Trolling | KAH | Kahawai |
| TL | Trot lines | KIN | Kingfish |
|  |  | LEA | Leatherjacket |
|  |  | LIN | Ling |
|  |  | MOK | Moki |
|  |  | POR | Porae |
|  |  | FLA | Flatfish |
|  | SCH | School shark |  |
|  |  | SCI | Scampi |
|  |  | SKI | Gemfish |
|  |  | SNA | Snapper |
|  |  | SPD | Spiny dogfish |
|  |  | FLA | Sea perch |
|  | Flatfish |  |  |
|  |  | SQU | Arrow squid |
|  | STA | Giant stargazer |  |
|  | SWA | Silver warehou |  |
|  | TAR | Tarakihi |  |
|  | TRE | Trevally |  |
|  | WAR | Blue warehou |  |
|  |  |  |  |

## Appendix B. Map Of Fisheries New Zealand Statistical And Management

 AreasNEW ZEALAND FISHERY MANAGEMENT AREAS AND STATISTICAL AREAS


Figure B.1: Map of Fisheries New Zealand General Statistical Areas and Fishery Management Area (FMA) boundaries, showing locations where FMA boundaries are not contiguous with the statistical area boundaries

## Appendix C. Map of west coast North IsLand regulations protecting Mauls DOLPHINS



Monitoring measures for the harbours set net fishery:

- Improve information on Maui's dolphin distribution and set net activity in the west coast North Island harbours, with a focus in the Manukau Harbour.


## Research and collaboration:

- Collaborative approach with stakeholder groups
to focus on directions of research - convening of
a Maui's dolphins advisory group.
- Prioritise research to address the key information gaps.

Under the Marine Mammals Protection Act 1978
Commercial and Recreational Set Net Prohibition (2nm to 7nm) Pariokariwa Point to Waiwhakaiho River
$\square$ Marine Mammal Sanctuary

Under the Fisheries Act 1996
F. Commercial Trawl Restrictions ( 2 nm and 4 nm )

Commercial and Recreational Drift Net Restriction Waikato River

- Commercial and Recreational Set Net Restrictions (7nm) Maunganui Bluff to Pariokariwa Point
$\square$ Commercial and Recreational Set Net Restrictions (2nm) Pariokariwa Point to Hawera

$\left.\right|_{0} ^{0}$


## Protection measures for Maui's Dolphins on the West Coast North Island

Figure C.1: Map of Fisheries New Zealand regulations for the protection of Maui’s dolphins (https://www.mpi.govt.nz/dmsdocument/7674/loggedIn).

## Appendix D. Method used to exclude "out-of-range" Landings

## D. 1 Introduction

The method described in this section was used to identify "implausibly large" landings due to data errors (possibly at the data entry step), with landings from single trips occasionally exceeding 300 t for FLA 1. These errors can result in substantial deviations from the accepted QMR/MHR catches and affect the credibility of the characterisation and CPUE analyses.

## D. 2 Methods

The method evaluated trips with very large landings based on internal evidence within the trip that potentially corroborate the landings. The method proceeded in two steps:
Step 1 Trips with large landings above a specified threshold were selected using the empirical distribution of trip landing totals from all trips in the data set (for instance, all trips in the largest $1 \%$ quantile in terms of total trip landings);
Step 2 Internal evidence substantiating the landings within each trip was derived from summing the estimated catch for the species in question, as well as summing the "calculated green weight" (=number_bins*avg_weight_bin*conversion_factor) (Eq. D.1). The ratio of each of these totals was taken with the declared green weight for the trip, with the minimum of the two ratios taken as the "best" validation (Eq. D.2). High values for this ratio (for instance, a value of 9 for this ratio implies that the declared green weight is 9 times larger than the "best" secondary total) are taken as evidence that the declared greenweight landing for the trip was not corroborated using the other available data, making the trip a candidate for dropping.
A two-way grid search was implemented, applying this procedure across a range of empirical quantiles (Step 1) and test ratio values (Step 2) (Starr et al. 2018). However, this search method resulted in a very large number of discarded trips in the FLA 1 landing data ( 462 trips) which was considered excessive and which could potentially lead to bias. Consequently the grid search approach was dropped. Instead an approach that set the threshold ratio (rat,s: Eq. D.2) to a fixed high value (9) and the upper end of the trip landing distribution (99.99\% quantiles) was investigated.

## D. 3 Equations

For every trip, there exist three estimates of total greenweight catch for species $s$ :

Eq. D. 1

$$
G_{t, s}^{d}=\sum_{i=1}^{n_{i}} g w t_{t, s, i}
$$

$$
\begin{aligned}
& G_{t, s}^{c}=\sum_{i=1}^{n_{i}} C F_{s} * W_{t, i} * B_{t, i} \\
& G_{t, s}^{e}=\sum_{j=1}^{m_{i}} e s t_{t, s, j}
\end{aligned}
$$

where $\quad G_{t, s}^{d}=$ sum of declared greenweight ( $g w t$ ) for trip $t$ over all $n_{t}$ landing records;
$G_{t, s}^{c}=$ sum of calculated greenweight for trip $t$ over all $n_{t}$ landing records, using conversion factor $C F_{s}$, weight of bin $W_{t, i}$ and number of bins $B_{t, i}$;
$G_{t, s}^{e}=$ sum of estimated catch (est) for trip $t$ over all $m_{t}$ effort records.
Assuming that $G_{t, s}^{d}$ is the best available estimate of the total landings of species $s$ for trip $t$, calculate the following ratios:

Eq. D. 2

$$
r 1_{t, s}=G_{t, s}^{d} / G_{t, s}^{c}
$$

$$
\begin{aligned}
& r 2_{t, s}=G_{t, s}^{d} / G_{t, s}^{e} \\
& r a t_{t, s}=\min \left(r 1_{t, s}, r 2_{t, s}\right)
\end{aligned}
$$

where $G_{t, s}^{d}, G_{t, s}^{c}$ and $G_{t, s}^{e}$ are defined in Eq. D.1, and ignoring $r 1_{t, s}$ or $r 2_{t, s}$ if missing when calculating $r a t_{t, s}$.

The ratio $r a t_{t, s}$ can be considered the "best available information" to corroborate the landings declared in the total $G_{t, s}^{d}$, with ratios exceeding a threshold value (e.g. rat $t_{t, s}>9.0$ ) considered to be uncorroborated. This criterion can be applied to a set of trips selected using a quantile of the empirical distribution of total trip greenweights. The set of trips to drop was selected on the basis of the pair of criteria (quantile and ratio threshold) which gave the lowest $S S q^{2}$ (Eq. D.3) relative to the annual QMR/MHR totals:

Eq. D. 3

$$
g g_{y}^{z}=\sum_{1}^{p_{y}^{z}} L_{y}^{z}
$$

$$
S s q^{z}=\sum_{y=89 / 90}^{y=14 / 15}\left(g g_{y}^{z}-M H R_{y}\right)^{2}
$$

where $p_{y}^{z}$ is the number of landing records in year $y$ for iteration $z$ (i.e.: a combination of a ratio threshold criterion with an empirical quantile cut-off criterion);
$L_{y}^{z}$ is a landing record included in year $y$ for iteration $z$.
$M H R_{y}$ is the corresponding MHR/QMR landing total for FLA 1 in year $y$.

## D. 4 Results

An initial comparison of the landings totals for FLA 1 with the equivalent QMR/MHR totals indicated that every year from 1990-91 to 2007-08 had more than 20 t greater than the QMR/MHR landings for the same year, with five years having more than 100 t of overage and three years with more than 300 t of overage (Figure D.1). Overall, there was nearly 2000 t of overage relative to the total landings of 20156 t between 1989-90 to 2016-17. This level of over-reporting required investigation before proceeding with the characterisation and CPUE analyses.

The landing data were examined for the presence of non-standard flatfish codes when reporting landings. Over 1100 t of non-standard landings were found in the data set, even though none of these codes have any legal standing, with all flatfish landings required to use the generic code "FLA" (Table D.1). When annual landings that had been totalled with and without these additional Fishstocks were compared with the equivalent QMR/MHR totals, it was clear that the presence of these additional flatfish landings were not the source of the overages observed in the 1990s. Furthermore, these additional landings allowed the landings to match the reported MHR totals after 2010-11 (Figure D.1). Consequently these additional flatfish landings were added to the landings data set.

The landing data were then examined for potentially incorrect landing information. Twenty-five trips were identified where $\mathrm{rat}_{t, s}$, defined in Eq. D.2, was greater than 9.0 in the set of trips whose total landings were in the top $99.99 \%$ quantile of total greenweight (Table D.2). These 25 trips represented a total of just over 1300 t of greenweight landings (Table D.3) which could be justifiably dropped from the landing data set. Three of these trips accounted for over 800 t of landings, with two landing over 300 t in 1996-97 and 1997-98 respectively. The annual total landings resulting from discarding these trips are plotted in Figure D. 1 and tabulated in Table D.4. Although there remain overages in the 1990s and early 2000s, it would require removing several hundred trips to match the QMR/MHR totals, which was considered to be excessive and was therefore not done.

Table D.1: Total landings (t) for non-standard flatfish codes found in the FLA 1 landing data, summed over the period 1989-90 to 2016-17.

|  | Fishstock code |  |  |  |  |  |  |  |  |  |
| :--- | ---: | ---: | ---: | :--- | :--- | :--- | :--- | :--- | :--- | ---: |
| Species | 0 | 1 | 2 | 3 | 4 | 5 | 7 | 8 | 9 | Total |
| BFL |  | 1.5 | 0.0 |  |  |  | 0.1 |  |  | 1.6 |
| BRI |  | 28.9 | 0.7 | 0.2 |  | 0.0 | 1.9 | 0.2 | 0.2 | 32.2 |
| ESO |  | 116.3 | 1.3 | 4.7 |  |  | 0.2 | 0.0 | 0.1 | 122.6 |
| FLO |  | 1.1 | 1.1 |  |  |  |  |  | 0.0 | 2.2 |
| GFL |  | 47.3 |  | 0.1 |  |  | 0.0 | 0.0 |  | 47.4 |
| LSO |  | 12.8 | 0.4 | 2.2 |  |  | 0.1 | 0.0 | 0.0 | 15.4 |
| MAN |  | 0.3 |  |  |  |  |  |  |  | 0.3 |
| SFI |  | 5.8 | 1.8 | 0.1 | 0.5 |  | 0.1 |  | 0.6 | 9.0 |
| SFL |  | 357.8 | 1.6 | 0.6 |  | 0.0 | 0.6 | 0.0 | 0.7 | 361.4 |
| SOL |  | 3.9 | 3.5 |  |  |  |  | 0.0 | 0.0 | 7.4 |
| TUR |  | 11.0 | 0.2 | 0.2 |  |  | 0.2 | 0.0 |  | 11.5 |
| WIT |  | 4.7 | 0.6 | 0.6 | 0.0 | 0.0 | 0.4 | 0.0 | 0.5 | 6.8 |
| YBF | 0.0 | 541.7 | 0.1 |  |  |  | 1.5 | 0.2 | 1.1 | 544.7 |
| Total | 0.0 | 133.1 | 11.4 | 8.6 | 0.5 | 0.0 | 5.1 | 0.6 | 3.1 | 162.5 |

Table D.2: Information associated with each trip that was discarded from this FLA 1 analysis on the basis of having "out-of-range" landing information. Equation references are to Eq. D.1.


Table D.3: Statistics associated with the selected minimum in each QMA. $M H R_{y}=$ QMR/MHR landings in year $\boldsymbol{y}$; $g g_{y}^{0}=$ unedited landings in year $\boldsymbol{y} ; g g_{y}=$ edited landings at selected minimum in year $\boldsymbol{y}$; rat $_{t, s}$ as defined in Eq. D.2.

| Fishstock | Quantile | $r a t_{t, s}$ | Number trips dropped | Total trips in data set | Sum <br> landings dropped (t) | $\sum_{y=89 / 90}^{y=16 / 17} M H R_{y}$ | $\sum_{y=89 / 90}^{y=16 / 17} g g_{y}^{0}$ | $\sum_{y=89 / 90}^{y=16 / 17} g g_{y}$ | $\sum_{y=9990}^{y=1617} g g_{y}-\sum_{y=9990}^{y=1617} M H R_{y}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| FLA 1 | 99.99 | 9 | 25 | 254382 | 1303 | 20156 | 22139 | 20836 | 679 |

Table D.4: Annual statistics for the raw and groomed (after removing 25 trips with out-of-range landings) landings data in FLA 1. $M H R_{y}=$ QMR/MHR landings in year $\boldsymbol{y} ; g g_{y}^{0}=$ unedited landings in year $y ; g g_{y}=$ edited landings at selected minimum in year $y$. The final two columns are the annual result of applying Eq. D. 3 to the unedited landings and to the selected QMA "minimum" defined in Table D.3.

| Fishing year | FLA 1 |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | MHR ${ }_{\text {y }}$ | $g g_{y}^{0}$ | $g g_{y}$ | $S s q^{\text {unedited }}$ | $S s q^{\text {edied }}$ |
| 89/90 | 791 | 627 | 627 | 26831 | 26831 |
| 90/91 | 850 | 966 | 880 | 13503 | 898 |
| 91/92 | 937 | 1003 | 967 | 4320 | 878 |
| 92/93 | 1111 | 1152 | 1117 | 1694 | 31 |
| 93/94 | 1136 | 1445 | 1178 | 95377 | 1742 |
| 94/95 | 965 | 1036 | 1036 | 5089 | 5089 |
| 95/96 | 629 | 732 | 732 | 10700 | 10700 |
| 96/97 | 733 | 1268 | 769 | 286261 | 1270 |
| 97/98 | 722 | 1120 | 776 | 158292 | 2862 |
| 98/99 | 703 | 790 | 756 | 7655 | 2838 |
| 99/00 | 752 | 844 | 844 | 8494 | 8494 |
| 00/01 | 793 | 847 | 847 | 2924 | 2924 |
| 01/02 | 596 | 625 | 625 | 852 | 852 |
| 02/03 | 686 | 718 | 718 | 1028 | 1028 |
| 03/04 | 784 | 804 | 804 | 414 | 414 |
| 04/05 | 1038 | 1087 | 1087 | 2377 | 2377 |
| 05/06 | 964 | 993 | 993 | 802 | 802 |
| 06/07 | 922 | 956 | 956 | 1179 | 1179 |
| 07/08 | 705 | 732 | 732 | 746 | 746 |
| 08/09 | 640 | 645 | 645 | 26 | 26 |
| 09/10 | 652 | 656 | 656 | 12 | 12 |
| 10/11 | 487 | 506 | 506 | 372 | 372 |
| 11/12 | 445 | 448 | 448 | 6 | 6 |
| 12/13 | 480 | 481 | 481 | 1 | 1 |
| 13/14 | 511 | 520 | 520 | 73 | 73 |
| 14/15 | 427 | 424 | 424 | 11 | 11 |
| 15/16 | 277 | 284 | 284 | 44 | 44 |
| 16/17 | 421 | 430 | 430 | 88 | 88 |
| Total | 20156 | 22139 | 20836 | 629170 | 72588 |



Figure D.1: Comparison of QMR/MHR annual total landings for FLA 1 with three extracts: A: 'extra FLA': unedited landings where all flatfish landings including those coded with non-FLA codes were converted to FLA; B: 'only FLA', where only landings coded as 'FLA' are included (same as series A from 1989-90 to 1994-95); C: 'drop 25 trips': same as 'extra FLA' (series A) totals except that 25 trips identified using the procedure summarised in Table D. 3 have been dropped from the landings.

## Appendix E. Investigating the "False Zeros" problem

## E. 1 Introduction

McKenzie \& Vaughan (2008) noted an apparent problem in the grey mullet data collected in the west coast North Island harbour setnet fisheries, fisheries that have considerable overlap with the FLA 1 fisheries described in this report. In these records, there were no estimated catches for GMU (code for grey mullet) recorded in the columns for the top five species caught even though GMU was indicated to be the target species and there were GMU landings for the trip. They termed trips with no GMU in the landing data and zero estimated catch to be "plausible zeros", even if GMU was indicated to be the target species. For the "false zero" records (i.e., GMU was the target, GMU was landed but no estimated catch in the top five species), they appropriated the field [total_catch_weight], which records the estimated weight of the entire catch from the fishing event across all species and used it as their estimate of the GMU estimated catch.

Kendrick \& Bentley (2011, 2012a) made the same correction for FLA 1, although they were not able to distinguish between "plausible" and "false" zeros through the use of the landing data, given the high incidence of intermediate Destination codes which break the link between the effort and landing sections of the CELR form (see Section 2.3.2.1). Kendrick \& Bentley(2011) defined "false zeros" and made the following correction (where "total catch" is the [total_catch_weight] field used by McKenzie \& Vaughan (2008)):
"The estimated catch of FLA was corrected to equal the total catch where, the method was setnet, the target species was among the suite of flatfish species codes, and the estimated catches for all flatfish species were zero, but the total catch was not zero."

## E. 2 Implementation of the Kendrick \& Bentley (2011) algorithm

The following algorithm was applied to the setnet data prepared for the CPUE standardisation (Section 2.3.1.3):

```
if:
- target speci es: any flatfish speci es
- gear =SN
- esti mated catch of all FLA speci es \(=0 \mid=\) NULL
- [ t ot al _cat ch_wei ght ] \(\sim=0 \mid \sim=N U L L\)
then:
- est i mat ed[ FLA] = t ot al _cat ch_wei ght ]
```

Nearly 3000 records were identified through applying the above algorithm, adding about 200 t of estimated catch to the data set (Table E.1). There were 15800 t of estimated FLA catches (all species combined) in this data set (SN only) before running this algorithm, so the addition appears to be minor. Kendrick \& Bentley (2011, 2012a) do not provide the actual quantum of their correction, but plots show that the effect, while visually detectable, is minimal in their analyses.

The Fisheries New Zealand data extract (replog 11700) contained, for every fishing event, the complete set of estimated catches reported on the form, regardless of the species. Consequently, it was possible to compare the value in the [total_catch_weight] field with the sum of the estimated catches provided by the fisher in appropriate fields. This was done for the 2834 records (Table E.1) identified by the above algorithm. This analysis indicated that nearly 100 t of the "false zero" records had sufficient information in the estimated catch fields to match the catch entered in the [total_catch_weight] field when all species (including those that are not FLA) were included (Table E.2). It would be inappropriate to assign this catch to FLA. The [total_catch_weight] field exceeds the sum of the estimated catches in most of the remaining records (Table E.2). These records would be candidates to be included in the "false zeros" category, but at most this would add 65 t of FLA catch to the data set (Table E.2). This total represents less than $0.5 \%$ of the 15800 t estimated FLA catch in the data set, and there is no guarantee that species catch not reported (only the top five species are reported) could make
up some of the difference. It was decided to abandon this adjustment because of the problematic status of the additional catch and the small amount of catch involved.

Table E.1: "False zero" statistics resulting from applying the algorithm described in Section E.2.

| Fishing year | Stat_area 001 | Manukau Harbour | Kaipara Harbour | Lower Waikato | Northwest | East <br> Northland | Hauraki Gulf | Bay of Plenty | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Total estimated catch in "false zero" records (t) |  |  |  |  |  |  |  |  |  |
| 89/90 | 0.6 | 0 | 0.2 | 0 | 0.1 | 2 | 1.4 | 0.5 | 4.8 |
| 90/91 | 0.2 | 0.1 | 0.8 | 0 | 0.1 | 1 | 4.4 | 0.8 | 7.3 |
| 91/92 | 0 | 0.1 | 0.4 | - | 0.4 | 0.5 | 7.5 | 2.6 | 11.5 |
| 92/93 | 0.6 | 0.7 | 0.7 | - | 0.1 | 0.1 | 28.2 | 0.9 | 31.4 |
| 93/94 | - | 1 | 0 | - | 0 | 0.3 | 3.7 | 1 | 6 |
| 94/95 | - | 0 | 0.2 | 0.4 | - | 0.1 | 2 | 0 | 2.7 |
| 95/96 | - | 1 | 4.7 | 0.1 | 0.7 | 4.7 | 2.8 | 0.8 | 14.7 |
| 96/97 | - | 0.5 | 8 | 0 | 1.8 | 5.6 | 1 | 0.3 | 17.1 |
| 97/98 | - | 0.3 | 12.1 | 0 | 3 | 0.9 | 0.2 | 0.1 | 16.6 |
| 98/99 | - | 0.4 | 7.8 | 0.3 | 0.9 | 2.7 | 0.5 | 0.6 | 13.3 |
| 99/00 | - | 0.5 | 5.8 | 0.2 | 1.4 | 1.5 | 0.5 | 1.3 | 11.2 |
| 00/01 | 0.1 | 0.1 | 0.8 | 0.5 | 0.5 | 0 | 1.4 | 0.2 | 3.7 |
| 01/02 | - | 0.1 | 0.9 | - | 0 | 0.3 | 0.8 | 0.2 | 2.3 |
| 02/03 | - | - | 1.7 | 1 | - | 0.1 | 1.6 | 1 | 5.4 |
| 03/04 | - | 0 | 1.2 | 1.3 | - | 0.1 | 0.4 | 0.4 | 3.3 |
| 04/05 | - | 0 | 0.8 | 0.5 | - | 0.1 | 0.1 | 0 | 1.5 |
| 05/06 | - | 0.1 | 0.1 | 2.4 | - | 0.3 | 0.1 | 0.2 | 3.2 |
| 06/07 | - | - | 0.4 | 1.4 | 0 | 0.1 | 0.2 | - | 2.2 |
| 07/08 | - | 0.1 | 0.2 | 3.5 | 0.1 | 0.1 | 0.8 | 0.3 | 5.1 |
| 08/09 | - | 0 | 0.2 | 5.6 | 0.1 | 0 | 0.5 | 0.1 | 6.5 |
| 09/10 | - | 0.1 | 0.7 | 3 | - | 0 | 0.9 | 0.1 | 4.8 |
| 10/11 | 0 | 0.1 | 0.6 | 1 | - | 0.1 | 0.5 | 0.1 | 2.4 |
| 11/12 | - | 0 | 0.1 | 2 | 0.1 | 0.2 | 0.2 | 0.1 | 2.6 |
| 12/13 | - | 0 | 0.2 | 1.3 | - | 0.1 | 0.3 | 0.1 | 2.1 |
| 13/14 | - | 0.1 | 0.1 | 1.7 | - | 0 | 0.1 | 0.5 | 2.6 |
| 14/15 | - | 0.1 | 0.1 | 1.3 | 0.1 | 0.1 | 0.1 | 0.8 | 2.5 |
| 15/16 | - | 0 | 0.2 | 0.6 | 0 | 0.2 | 0.3 | 7.5 | 8.9 |
| 16/17 | - | 0 | 0.1 | 0 | - | 0 | 0.1 | 0 | 0.3 |
| Total | 1.5 | 5.5 | 48.9 | 28.1 | 9.3 | 21.3 | 60.6 | 20.7 | 195.9 |
| Number of "false zero" records |  |  |  |  |  |  |  |  |  |
| 89/90 | 7 | 2 | 6 | 6 | 1 | 17 | 8 | 6 | 53 |
| 90/91 | 5 | 3 | 9 | 2 | 1 | 5 | 18 | 13 | 56 |
| 91/92 | 1 | 2 | 9 | - | 3 | 3 | 14 | 12 | 44 |
| 92/93 | 4 | 5 | 7 | - | 3 | 9 | 65 | 4 | 97 |
| 93/94 | - | 4 | 2 | - | 1 | 10 | 18 | 4 | 39 |
| 94/95 | - | 1 | 4 | 3 | - | 8 | 17 | 2 | 35 |
| 95/96 | - | 18 | 61 | 1 | 7 | 66 | 8 | 35 | 196 |
| 96/97 | - | 7 | 161 | 2 | 27 | 85 | 10 | 17 | 309 |
| 97/98 | - | 9 | 234 | 4 | 36 | 34 | 6 | 4 | 327 |
| 98/99 | - | 8 | 202 | 7 | 21 | 80 | 7 | 26 | 351 |
| 99/00 | - | 9 | 140 | 10 | 31 | 23 | 17 | 27 | 257 |
| 00/01 | 3 | 5 | 21 | 10 | 13 | 3 | 17 | 9 | 81 |
| 01/02 | - | 2 | 22 | - | 2 | 12 | 34 | 4 | 76 |
| 02/03 | - | - | 41 | 12 | - | 4 | 26 | 13 | 96 |
| 03/04 | - | 2 | 25 | 21 | - | 1 | 6 | 3 | 58 |
| 04/05 | - | 1 | 15 | 15 | - | 5 | 4 | 2 | 42 |
| 05/06 | - | 2 | 4 | 32 | - | 6 | 3 | 6 | 53 |
| 06/07 | - | - | 7 | 19 | 2 | 3 | 5 | - | 36 |
| 07/08 | - | 2 | 4 | 46 | 3 | 2 | 12 | 5 | 74 |
| 08/09 | - | 1 | 8 | 64 | 2 | 1 | 9 | 3 | 88 |
| 09/10 | - | 1 | 12 | 34 | - | 1 | 15 | 2 | 65 |
| 10/11 | 1 | 3 | 10 | 13 | - | 5 | 13 | 2 | 47 |
| 11/12 | - | 1 | 4 | 32 | 2 | 6 | 12 | 2 | 59 |
| 12/13 | - | 1 | 3 | 29 | - | 4 | 8 | 1 | 46 |
| 13/14 | - | 2 | 4 | 37 | - | 4 | 5 | 11 | 63 |
| 14/15 | - | 2 | 4 | 29 | 4 | 2 | 8 | 7 | 56 |
| 15/16 | - | 1 | 8 | 8 | 1 | 10 | 8 | 81 | 117 |
| 16/17 | - | 2 | 5 | 1 | - | 2 | 2 | 1 | 13 |
| Total | 21 | 96 | 1,032 | 437 | 160 | 411 | 375 | 302 | 2834 |

Table E.2: Summary statistics for "false zero" records identified in Table E.1. Bold value indicates the maximum amount of "false zero" catch that could be added.

|  | N[records] | Sum[total catch weight] (t) | $\begin{array}{r} \text { Sum[ } \\ \text { estimated } \\ \text { catch] (t) } \end{array}$ | Sum([total catch weight]Sum(estimated catch))(t) |
| :---: | :---: | :---: | :---: | :---: |
| [total catch |  |  |  |  |
| weight]<Sum(esti |  |  |  |  |
| mated catch) | 275 | 7.1 | 15.4 | -8.3 |
| [total catch |  |  |  |  |
| weight]=Sum(esti |  |  |  |  |
| mated catch) | 998 | 99.0 | 99.0 | 0 |
| [total catch |  |  |  |  |
| weight]>Sum(esti |  |  |  |  |
| mated catch) | 1561 | 89.8 | 24.8 | 65.1 |
| Total | 2834 | 195.9 | 139.1 | 56.8 |

## Appendix F. Algorithm Used To Correct Estimated Catches In Fla 1

## F. 1 Introduction

Estimated catches in the setnet (SN) CPUE data set were adjusted to landed catches using the following algorithm described in Paragraph F.2. This algorithm was originally proposed by Kendrick \& Bentley (2012b) and has been implemented for rock lobster CPUE analyses (Starr 2018).

## F. 2 Algorithm

Step 1: Calculate vessel correction factors ( $v c f$ ) $\left(v_{i y}\right)$ for each vessel and fishing year:

Eq. F. 1

$$
v_{i y}=\frac{\sum_{g=1}^{n_{i v}^{\prime}} L_{\text {giy }}}{\sum_{h=1}^{n_{i v}^{( }} C_{h i y}}
$$

where $\quad L_{g i y}=$ landed weight in record $g$ for vessel $i$ in year $y$; there are $\eta_{i y}^{l}$ such records;
$C_{h i y}=$ estimated catch weight in record $h$ for vessel $i$ in year $y$; there are $n_{i y}^{c}$ such records.
Step 2: Truncate $v c f$ by setting lower $l b$ and upper $u b$ bounds for the FLA 1 fleet:

Eq. F. 2

$$
\text { replace } v_{i y}=\text { NULL if } v_{i y}>u b \text {; }
$$

Note 1: data for vessels outside these bounds are dropped: $(l b=0.97 ; u b=1.95)$ (these bounds represent lower $10 \%$ and upper $90 \%$ percentiles of the empirical $v c f\left(v_{i y}\right)$ distribution).

Step 3: Apply the $v c f$ to every estimated catch record $h$ for vessel $i$ in fishing year $y$ :
Eq. F. 3

$$
\hat{L}_{\text {hiy }}=v_{i y} C_{\text {hiy }}
$$

where $\quad \hat{L}_{h i y}=$ estimated landed weight for record $h$ associated with estimated catch weight $C_{\text {hiy }}$.

## F. 3 Summary statistics for SN vcf

Of the 10171 annual $v c f$ records (Eq. 1), 3934 had no match in either the landing or the effort data sets (Table F.1), resulting in $v c f$ estimates of NULL. A further 275 records were matched but had no associated estimated catch. Consequently, there was a total of 4209 NULL $v c f$ records (41\%). However, Table F. 1 shows that the catches or landings associated with these NULL vcf records were relatively minor, with the lack of estimated catches to match with the realised landings likely to be the result of the five species rule, where operators are only required to report estimated catches for the top five species taken in a day.

There are many outliers in the empirical vcf distribution (see Figure F.1), but the majority of the distributions appear to be below $v c f=1.5$, with very few values below $v c f=1.0$, indicating that fishers more often than not tend to underestimate the catch on the forms. Figure F. 1 indicates that there appears to be little in the way of annual trend in the $v c f$ distributions as well as similar $v c f$ distributions across the three major regions used for CPUE.

Table F.1: $\quad$ Summary statistics for the vcf variable in the FLA 1 SN CPUE data set.

## Data category

In effort data but not in landing data In landing data but not in effort data In both data sets ( $\mathrm{vcf}=\mathrm{NULL}$ )
In both data sets ( $\mathrm{vcf}<>\mathrm{NULL}$ )
Total

| Number of records |  | Sum of catch $(\mathbf{t})$ |  |
| ---: | ---: | ---: | ---: | ---: |
| Estimated <br> catch | Landed <br> catch | Estimated <br> catch | Landed <br> catch |
| 0 | 0 | 29.4 | 0.0 |
| 241 | 2406 | 0.0 | 1675.0 |
| 6010 | 6010 | 15792.3 | 19334.9 |
| 7940 | 8657 | 15821.7 | 21117.0 |



Figure F.1: Sub-plots [upper left], [upper right], [lower left]: total annual vcf distributions (with the final 5\% suppressed for clarity) for each of the three major FLA 1 SN CPUE regions. Horizontal dashed red lines mark the $10 \%(0.97)$ and $\mathbf{9 0 \%}(1.97)$ of the empirical vcf distribution for the full SN CPUE data set. The plot in the lower right corner ([lower right]) shows the extent of the annual vcf truncated distribution across all trips within the SN CPUE data set.

## Appendix G. Data summaries by FLA 1 fishery strata

Table G.1A: Distribution in tonnes of scaled (Eq. 1) estimated flatfish catches by fishing year and capture method for the first four of the FLA 1 fishery strata (Table 11) from 1989-90 to 2016-17 based on trips which captured flatfish. These values are plotted in Figure 5 and Figure 6.


Table G.1B: Distribution in tonnes of scaled (Eq. 1) estimated flatfish catches by fishing year and capture method for the final four of the FLA 1 fishery strata (Table 11) from 1989-90 to 2016-17 based on trips which landed flatfish. These values are plotted in Figure 5 and Figure 6.


Table G.2: Distribution in km of total length of net set by fishing year and the FLA 1 fishery strata (Table 11) from 1989-90 to 2016-17 based on trips which caught flatfish. These values are plotted in Figure 6.

|  | Manukau Harbour | Kaipara Harbour | Lower Waikato | Northwest | East <br> Northland | uraki Gulf | Bay of Plenty | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 89/90 | 784.3 | 2131.9 | 194.6 | 319.5 | 560.7 | 2407.6 | 425.2 | 6823.8 |
| 90/91 | 1111.6 | 2755.3 | 197.1 | 400.4 | 542.4 | 4528.4 | 1203.3 | 10738.5 |
| 91/92 | 1059.6 | 2774.5 | 213.9 | 533.2 | 571.0 | 4721.6 | 1004.4 | 10878.2 |
| 92/93 | 1161.6 | 2565.6 | 228.3 | 530.0 | 748.3 | 5225.8 | 1069.1 | 11528.6 |
| 93/94 | 1369.0 | 2350.6 | 162.6 | 441.3 | 750.0 | 4825.1 | 1018.6 | 10917.1 |
| 94/95 | 1287.2 | 2356.3 | 227.1 | 678.5 | 708.3 | 3637.9 | 1310.9 | 10206.2 |
| 95/96 | 1022.5 | 1860.4 | 270.6 | 557.0 | 782.0 | 2096.1 | 1453.7 | 8042.3 |
| 96/97 | 1103.1 | 2156.6 | 713.1 | 468.6 | 615.0 | 2232.1 | 1575.1 | 8863.7 |
| 97/98 | 1383.8 | 2775.4 | 453.9 | 569.8 | 750.3 | 2161.1 | 1052.1 | 9146.3 |
| 98/99 | 1439.6 | 3155.2 | 386.3 | 660.5 | 900.2 | 2179.0 | 1189.1 | 9909.9 |
| 99/00 | 1755.7 | 4481.3 | 373.2 | 930.3 | 841.1 | 2442.4 | 1079.6 | 11903.5 |
| 00/01 | 1895.7 | 4982.2 | 414.4 | 1046.5 | 753.6 | 2785.0 | 668.2 | 12545.7 |
| 01/02 | 1563.6 | 4113.3 | 390.3 | 760.1 | 800.9 | 2331.1 | 534.6 | 10493.8 |
| 02/03 | 1342.6 | 3514.4 | 389.4 | 578.8 | 1000.5 | 3907.0 | 715.2 | 11447.8 |
| 03/04 | 1476.5 | 3264.3 | 430.0 | 739.4 | 1264.7 | 4022.2 | 668.0 | 11865.1 |
| 04/05 | 1362.0 | 2908.9 | 368.1 | 844.1 | 1395.8 | 4809.1 | 668.8 | 12356.7 |
| 05/06 | 1271.9 | 2731.6 | 492.4 | 678.6 | 1309.0 | 3820.0 | 1178.7 | 11482.2 |
| 06/07 | 1525.3 | 2014.8 | 666.6 | 545.1 | 1203.2 | 3865.6 | 1142.6 | 10963.1 |
| 07/08 | 1171.3 | 2089.7 | 599.1 | 416.7 | 842.4 | 2382.4 | 883.2 | 8384.7 |
| 08/09 | 743.0 | 2500.9 | 508.1 | 563.2 | 690.5 | 2735.4 | 845.6 | 8586.7 |
| 09/10 | 688.6 | 2531.9 | 432.1 | 489.4 | 739.0 | 3532.5 | 913.2 | 9326.6 |
| 10/11 | 883.1 | 2490.5 | 483.4 | 374.0 | 811.9 | 2894.3 | 495.3 | 8432.5 |
| 11/12 | 733.3 | 1976.6 | 527.9 | 441.1 | 883.4 | 2649.8 | 568.9 | 7780.9 |
| 12/13 | 750.8 | 2395.1 | 553.8 | 359.9 | 956.2 | 2959.7 | 505.3 | 8480.7 |
| 13/14 | 884.3 | 2239.3 | 345.5 | 439.8 | 884.7 | 2578.3 | 655.3 | 8027.1 |
| 14/15 | 831.8 | 2105.7 | 395.6 | 207.9 | 579.0 | 2066.3 | 373.6 | 6559.9 |
| 15/16 | 515.6 | 1840.6 | 224.6 | 237.4 | 588.2 | 1744.1 | 298.4 | 5448.9 |
| 16/17 | 513.6 | 1468.3 | 181.3 | 209.6 | 532.9 | 1993.5 | 443.5 | 5342.6 |
| Total | 31631.2 | 74530.8 | 10823.2 | 15020.2 | 23005.1 | 87533.3 | 23939.4 | 266483.2 |
| last 5 year | 3496.1 | 10048.9 | 1700.7 | 1454.5 | 3541.1 | 11341.8 | 2276.1 | 33859.2 |

Table G.3A: Distribution in percent of estimated flatfish setnet catches by fishing year and month for two of the FLA 1 fishery strata (Table 11) from 1989-90 to 2016-17 based on trips which caught flatfish. Annual total setnet catches (t) for these FLA 1 fishery strata are available in Table G.1A. These values are plotted in Figure 7.

| Fishing year | Month |  |  |  |  |  |  |  |  |  |  |  | Month |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Oct | Nov | Dec | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep |  |  | Dec | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep |
|  | Manukau Harbour |  |  |  |  |  |  |  |  |  |  |  | Kaipara Harbour |  |  |  |  |  |  |  |  |  |  |  |
| 89/90 | 1.8 | 8.8 | 7.5 | 12.2 | 10.3 | 15.2 | 14.4 | 9.6 | 4.6 | 4.5 | 1.8 | 9.3 | 2.1 | 6.6 | 5.7 | 11.9 | 9.5 | 10.7 | 12.2 | 13.8 | 11.5 | 7.4 | 2.2 | 6.3 |
| 90/91 | 4.5 | 4.5 | 6.1 | 9.1 | 16.2 | 17.1 | 10.5 | 10.0 | 5.0 | 6.3 | 3.3 | 7.3 | 7.1 | 8.7 | 7.4 | 9.3 | 9.0 | 8.3 | 10.3 | 15.8 | 6.2 | 6.4 | 4.6 | 7.0 |
| 91/92 | 9.9 | 5.2 | 9.8 | 13.7 | 11.9 | 15.0 | 14.4 | 6.0 | 5.8 | 1.7 | 1.3 | 5.4 | 6.4 | 5.9 | 9.2 | 8.0 | 8.2 | 12.7 | 13.8 | 9.8 | 9.7 | 5.9 | 4.7 | 5.8 |
| 92/93 | 4.5 | 8.4 | 10.5 | 11.6 | 17.8 | 13.0 | 11.6 | 4.5 | 4.6 | 6.6 | 4.0 | 3.0 | 8.5 | 7.8 | 8.1 | 9.8 | 9.9 | 14.8 | 13.8 | 10.6 | 5.3 | 5.3 | 3.0 | 3.0 |
| 93/94 | 3.3 | 6.0 | 12.0 | 7.3 | 10.6 | 17.9 | 15.1 | 5.8 | 8.6 | 3.6 | 6.7 | 3.1 | 5.6 | 5.7 | 4.2 | 7.0 | 7.3 | 12.1 | 15.5 | 12.7 | 10.3 | 6.3 | 8.0 | 5.3 |
| 94/95 | 7.7 | 12.3 | 11.8 | 9.1 | 14.4 | 10.8 | 9.3 | 8.6 | 3.0 | 3.3 | 5.6 | 4.1 | 6.1 | 9.3 | 6.8 | 7.6 | 8.7 | 10.5 | 8.3 | 13.1 | 9.3 | 6.6 | 7.3 | 6.4 |
| 95/96 | 4.6 | 8.6 | 6.4 | 13.6 | 14.8 | 14.8 | 14.5 | 7.8 | 3.0 | 2.0 | 4.0 | 5.9 | 4.7 | 10.2 | 5.6 | 11.4 | 10.5 | 13.6 | 13.2 | 7.7 | 4.5 | 6.1 | 5.1 | 7.4 |
| 96/97 | 2.7 | 3.1 | 5.9 | 8.7 | 10.3 | 14.2 | 14.1 | 18.3 | 5.3 | 8.5 | 5.1 | 3.9 | 6.1 | 5.3 | 6.2 | 7.0 | 9.7 | 9.3 | 17.8 | 14.8 | 7.3 | 6.2 | 4.4 | 5.9 |
| 97/98 | 3.4 | 9.0 | 12.3 | 10.2 | 8.8 | 14.7 | 13.2 | 6.9 | 4.9 | 4.8 | 5.1 | 6.7 | 9.1 | 10.7 | 15.3 | 10.7 | 7.4 | 4.6 | 10.1 | 10.1 | 7.8 | 5.4 | 4.7 | 4.1 |
| 98/99 | 4.4 | 8.5 | 5.1 | 7.9 | 10.5 | 8.9 | 10.1 | 10.4 | 9.7 | 7.7 | 7.7 | 9.2 | 4.0 | 5.3 | 4.8 | 7.1 | 7.3 | 11.5 | 10.0 | 10.7 | 11.1 | 9.8 | 9.7 | 8.7 |
| 99/00 | 7.4 | 15.3 | 8.5 | 10.3 | 9.2 | 20.6 | 10.0 | 6.0 | 4.4 | 3.8 | 2.1 | 2.3 | 7.7 | 9.1 | 7.7 | 8.7 | 8.5 | 9.1 | 10.3 | 11.2 | 7.5 | 6.3 | 8.2 | 5.7 |
| 00/01 | 3.9 | 3.8 | 5.2 | 7.4 | 9.0 | 11.1 | 12.6 | 10.6 | 10.6 | 8.1 | 8.4 | 9.3 | 5.2 | 5.9 | 8.1 | 8.3 | 9.9 | 11.1 | 12.8 | 9.6 | 8.5 | 8.2 | 6.4 | 6.1 |
| 01/02 | 8.5 | 13.9 | 12.8 | 17.6 | 16.1 | 14.5 | 7.8 | 1.9 | 1.0 | 2.5 | 2.1 | 1.4 | 8.7 | 17.5 | 11.2 | 14.8 | 10.7 | 10.7 | 8.2 | 5.4 | 3.8 | 3.6 | 2.9 | 2.3 |
| 02/03 | 2.2 | 3.3 | 5.1 | 6.7 | 12.1 | 13.3 | 14.3 | 12.5 | 7.9 | 8.9 | 7.7 | 5.9 | 3.2 | 5.2 | 5.6 | 7.0 | 9.3 | 10.8 | 14.7 | 13.5 | 8.9 | 8.9 | 7.3 | 5.6 |
| 03/04 | 9.5 | 6.9 | 7.8 | 8.1 | 9.2 | 16.5 | 12.2 | 8.5 | 5.9 | 5.7 | 5.2 | 4.3 | 10.9 | 11.9 | 11.5 | 8.5 | 5.5 | 11.8 | 9.4 | 6.9 | 7.2 | 6.6 | 5.0 | 4.9 |
| 04/05 | 6.5 | 5.7 | 4.6 | 10.3 | 6.9 | 14.1 | 14.0 | 8.8 | 7.8 | 7.9 | 9.0 | 4.5 | 8.1 | 19.3 | 9.7 | 13.2 | 7.3 | 6.4 | 6.5 | 6.5 | 7.1 | 5.5 | 6.5 | 3.9 |
| 05/06 | 5.6 | 6.9 | 5.3 | 12.4 | 16.8 | 13.1 | 8.9 | 6.9 | 6.9 | 6.7 | 4.9 | 5.4 | 7.4 | 9.2 | 9.9 | 10.2 | 9.2 | 7.5 | 10.3 | 10.7 | 8.9 | 6.2 | 5.5 | 5.0 |
| 06/07 | 5.3 | 6.4 | 5.0 | 4.6 | 6.7 | 12.3 | 16.0 | 13.0 | 8.4 | 11.6 | 5.1 | 5.7 | 3.4 | 6.2 | 8.0 | 8.6 | 7.7 | 8.1 | 13.2 | 11.9 | 7.3 | 7.4 | 8.6 | 9.6 |
| 07/08 | 5.7 | 8.8 | 5.8 | 8.0 | 12.0 | 18.7 | 9.8 | 10.4 | 4.0 | 4.1 | 6.1 | 6.5 | 5.7 | 13.4 | 10.3 | 5.5 | 5.7 | 8.4 | 7.5 | 10.7 | 5.9 | 8.3 | 8.6 | 10.0 |
| 08/09 | 7.1 | 12.5 | 14.1 | 13.4 | 8.7 | 11.6 | 11.6 | 4.4 | 5.4 | 3.5 | 2.5 | 5.2 | 9.4 | 11.1 | 12.0 | 9.8 | 8.9 | 8.9 | 9.0 | 5.9 | 7.3 | 5.8 | 5.5 | 6.4 |
| 09/10 | 6.9 | 7.2 | 6.0 | 9.5 | 9.5 | 12.5 | 20.7 | 8.4 | 7.5 | 5.2 | 3.8 | 2.8 | 7.5 | 10.9 | 9.4 | 7.7 | 8.5 | 8.0 | 10.4 | 9.4 | 9.9 | 7.9 | 5.9 | 4.5 |
| 10/11 | 5.1 | 8.2 | 8.3 | 6.0 | 14.1 | 14.0 | 12.2 | 13.9 | 8.9 | 2.9 | 3.3 | 3.1 | 9.1 | 15.9 | 9.4 | 5.9 | 9.0 | 9.4 | 8.7 | 14.2 | 7.5 | 3.4 | 4.5 | 2.8 |
| 11/12 | 4.6 | 6.5 | 13.3 | 14.5 | 8.9 | 13.2 | 19.4 | 5.0 | 5.2 | 4.7 | 2.1 | 2.6 | 5.0 | 8.7 | 12.2 | 13.2 | 11.2 | 8.3 | 12.4 | 9.5 | 7.9 | 4.7 | 3.9 | 3.0 |
| 12/13 | 3.9 | 5.9 | 9.7 | 8.9 | 16.3 | 15.5 | 12.2 | 11.6 | 7.4 | 3.7 | 3.0 | 1.9 | 4.9 | 7.3 | 6.4 | 6.7 | 9.4 | 10.4 | 13.6 | 11.1 | 10.0 | 9.9 | 5.1 | 5.1 |
| 13/14 | 4.7 | 7.9 | 11.7 | 6.5 | 14.1 | 17.9 | 11.9 | 10.1 | 5.6 | 3.2 | 3.7 | 2.8 | 10.3 | 13.6 | 12.0 | 10.9 | 12.3 | 10.5 | 9.4 | 7.5 | 5.4 | 3.5 | 2.7 | 2.0 |
| 14/15 | 5.6 | 5.9 | 10.8 | 13.9 | 13.6 | 14.2 | 10.4 | 5.8 | 6.1 | 4.3 | 4.7 | 4.8 | 5.7 | 7.1 | 11.0 | 13.0 | 10.7 | 10.2 | 9.5 | 10.4 | 10.9 | 4.8 | 4.1 | 2.6 |
| 15/16 | 7.1 | 14.2 | 9.5 | 14.5 | 8.6 | 11.3 | 16.1 | 7.5 | 4.5 | 1.5 | 2.6 | 2.7 | 6.2 | 9.5 | 10.2 | 11.5 | 11.4 | 10.9 | 10.7 | 8.4 | 7.5 | 6.1 | 4.3 | 3.3 |
| 16/17 | 7.0 | 4.4 | 6.7 | 7.3 | 9.6 | 12.6 | 19.0 | 9.4 | 11.6 | 2.2 | 5.1 | 5.1 | 5.5 | 8.7 | 10.4 | 8.2 | 8.5 | 8.8 | 13.7 | 12.5 | 10.3 | 4.6 | 5.6 | 3.1 |
| Mean | 5.3 | 7.9 | 8.4 | 9.9 | 11.7 | 14.4 | 12.5 | 8.6 | 6.0 | 5.4 | 4.8 | 5.1 | 6.6 | 9.4 | 8.7 | 9.3 | 8.8 | 10.0 | 11.3 | 10.5 | 8.0 | 6.5 | 5.6 | 5.4 |

Table G.3B: Distribution in percent of estimated flatfish setnet catches by fishing year and month for two of the FLA 1 fishery strata (Table 11) from 1989-90 to 2016-17 based on trips which caught flatfish. Annual total setnet catches (t) for these FLA 1 fishery strata are available in Table G.1A. These values are plotted in Figure 7.

| Fishing year | Month |  |  |  |  |  |  |  |  |  |  |  | Month |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Oct | Nov | Dec | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | $\underset{\text { Oct }}{\text { Octhwest }}$ Nov |  | Dec | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep |
|  | Lower Waikato |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 89/90 | 5.9 | 10.8 | 4.9 | 7.9 | 7.5 | 4.2 | 10.4 | 11.4 | 13.9 | 9.8 | 1.8 | 11.5 | 3.1 | 5.4 | 2.6 | 9.3 | 5.0 | 8.9 | 9.8 | 16.7 | 14.6 | 13.3 | 4.6 | 6.7 |
| 90/91 | 5.5 | 5.4 | 7.1 | 10.2 | 9.3 | 8.5 | 6.4 | 16.2 | 7.5 | 7.5 | 7.0 | 9.3 | 4.6 | 6.1 | 4.9 | 4.7 | 3.3 | 4.0 | 16.4 | 20.5 | 9.1 | 7.6 | 6.8 | 12.1 |
| 91/92 | 10.7 | 11.0 | 8.4 | 3.8 | 3.6 | 6.0 | 12.1 | 6.6 | 9.4 | 7.6 | 6.7 | 14.0 | 5.2 | 4.7 | 11.2 | 6.2 | 5.5 | 15.2 | 14.6 | 9.9 | 12.0 | 6.7 | 4.5 | 4.3 |
| 92/93 | 11.6 | 10.3 | 5.1 | 8.5 | 8.3 | 12.1 | 6.6 | 7.6 | 4.7 | 9.6 | 5.5 | 10.2 | 5.8 | 4.8 | 5.2 | 7.5 | 17.0 | 12.8 | 9.6 | 13.5 | 7.6 | 6.9 | 3.9 | 5.3 |
| 93/94 | 6.9 | 11.6 | 6.0 | 4.5 | 11.6 | 13.3 | 6.1 | 5.5 | 10.7 | 11.3 | 7.8 | 4.7 | 3.4 | 4.5 | 3.5 | 7.2 | 7.3 | 11.2 | 15.5 | 13.2 | 9.3 | 10.0 | 9.3 | 5.7 |
| 94/95 | 7.8 | 7.8 | 9.0 | 6.9 | 8.6 | 10.0 | 8.9 | 8.1 | 4.9 | 6.0 | 12.6 | 9.3 | 5.1 | 8.5 | 5.3 | 8.1 | 7.2 | 9.0 | 6.9 | 10.5 | 8.8 | 11.4 | 12.1 | 7.1 |
| 95/96 | 2.7 | 6.4 | 5.3 | 8.1 | 7.7 | 9.1 | 10.8 | 9.1 | 5.1 | 12.4 | 9.9 | 13.5 | 6.0 | 5.8 | 5.9 | 8.7 | 8.1 | 9.8 | 10.2 | 10.6 | 6.5 | 12.0 | 9.0 | 7.3 |
| 96/97 | 6.4 | 6.2 | 8.2 | 4.6 | 6.9 | 4.4 | 7.5 | 15.8 | 8.6 | 10.2 | 9.8 | 11.4 | 5.4 | 3.2 | 4.0 | 4.1 | 5.9 | 7.9 | 19.8 | 21.5 | 8.5 | 7.7 | 6.0 | 6.0 |
| 97/98 | 11.4 | 10.9 | 15.4 | 8.9 | 4.2 | 8.0 | 6.7 | 8.6 | 7.2 | 6.9 | 5.4 | 6.3 | 7.9 | 6.3 | 4.8 | 8.2 | 3.6 | 3.8 | 13.4 | 18.9 | 14.1 | 7.3 | 7.3 | 4.4 |
| 98/99 | 3.4 | 7.2 | 5.0 | 3.3 | 4.9 | 6.0 | 7.2 | 14.1 | 9.8 | 12.2 | 11.8 | 14.9 | 3.1 | 3.0 | 2.7 | 3.7 | 9.4 | 16.3 | 14.7 | 16.5 | 10.5 | 9.5 | 6.4 | 3.9 |
| 99/00 | 7.3 | 10.8 | 7.6 | 5.0 | 10.1 | 6.2 | 8.2 | 8.3 | 10.1 | 9.8 | 10.5 | 6.2 | 6.7 | 6.5 | 5.0 | 12.9 | 8.5 | 11.5 | 6.5 | 8.2 | 6.4 | 8.1 | 7.1 | 12.6 |
| 00/01 | 7.2 | 7.3 | 10.6 | 4.7 | 8.1 | 3.5 | 8.1 | 6.6 | 10.6 | 8.9 | 8.1 | 16.3 | 6.6 | 4.2 | 7.7 | 6.4 | 6.3 | 12.4 | 11.9 | 12.3 | 7.6 | 7.6 | 8.6 | 8.3 |
| 01/02 | 7.3 | 13.3 | 8.9 | 10.6 | 7.9 | 6.5 | 7.7 | 5.6 | 6.4 | 7.8 | 10.4 | 7.4 | 10.1 | 12.3 | 6.1 | 6.3 | 7.1 | 9.0 | 10.0 | 11.8 | 8.5 | 7.3 | 7.5 | 4.1 |
| 02/03 | 6.2 | 9.3 | 9.2 | 7.9 | 8.2 | 6.1 | 7.6 | 9.4 | 7.4 | 9.6 | 13.3 | 5.8 | 5.3 | 6.8 | 4.4 | 4.4 | 6.0 | 8.3 | 14.0 | 10.7 | 6.9 | 6.5 | 15.4 | 11.2 |
| 03/04 | 12.1 | 10.4 | 12.4 | 5.8 | 5.3 | 8.5 | 8.3 | 7.3 | 8.1 | 8.2 | 6.0 | 7.5 | 4.3 | 3.8 | 8.2 | 7.4 | 6.4 | 10.1 | 9.9 | 8.6 | 11.1 | 11.3 | 11.4 | 7.5 |
| 04/05 | 8.5 | 10.6 | 6.3 | 7.5 | 4.5 | 7.3 | 10.0 | 6.4 | 6.2 | 12.5 | 11.6 | 8.6 | 7.2 | 4.4 | 3.1 | 8.1 | 5.3 | 6.2 | 11.5 | 17.3 | 13.3 | 10.8 | 8.4 | 4.4 |
| 05/06 | 8.8 | 8.1 | 5.1 | 8.4 | 7.0 | 6.1 | 5.7 | 11.9 | 6.3 | 11.8 | 9.3 | 11.6 | 6.0 | 7.5 | 7.4 | 8.0 | 7.6 | 6.6 | 7.3 | 7.7 | 8.6 | 10.2 | 12.2 | 11.0 |
| 06/07 | 6.9 | 8.5 | 8.4 | 7.2 | 7.3 | 8.7 | 7.5 | 9.1 | 3.4 | 8.6 | 8.5 | 15.9 | 8.6 | 9.9 | 6.8 | 12.3 | 9.6 | 7.2 | 1.6 | 6.6 | 12.1 | 11.4 | 8.0 | 6.0 |
| 07/08 | 7.2 | 8.1 | 4.7 | 7.2 | 6.8 | 8.9 | 7.8 | 13.6 | 8.9 | 9.9 | 6.8 | 10.2 | 2.3 | 2.3 | 5.6 | 6.7 | 3.4 | 5.8 | 14.1 | 23.2 | 9.4 | 9.9 | 8.5 | 8.8 |
| 08/09 | 7.2 | 7.3 | 6.9 | 6.9 | 7.0 | 8.4 | 8.9 | 13.8 | 11.1 | 6.8 | 7.0 | 8.8 | 5.8 | 7.0 | 6.1 | 6.3 | 5.3 | 7.0 | 17.6 | 11.4 | 7.7 | 5.5 | 11.3 | 9.0 |
| 09/10 | 5.9 | 4.7 | 7.6 | 7.8 | 6.9 | 5.0 | 13.6 | 13.9 | 8.9 | 6.8 | 6.2 | 12.9 | 6.0 | 7.2 | 7.9 | 6.7 | 6.7 | 7.4 | 10.8 | 11.5 | 13.4 | 8.1 | 6.5 | 7.9 |
| 10/11 | 10.2 | 9.1 | 5.9 | 4.4 | 5.1 | 8.3 | 8.6 | 8.5 | 12.5 | 5.7 | 12.2 | 9.3 | 7.7 | 6.9 | 10.5 | 5.6 | 7.4 | 5.4 | 12.5 | 16.7 | 11.9 | 4.5 | 5.9 | 4.8 |
| 11/12 | 6.7 | 6.6 | 6.3 | 7.2 | 9.5 | 9.5 | 12.5 | 12.3 | 8.0 | 5.7 | 10.6 | 5.0 | 5.7 | 4.7 | 3.8 | 8.8 | 1.9 | 4.7 | 20.6 | 16.3 | 9.9 | 11.8 | 9.1 | 2.7 |
| 12/13 | 11.6 | 11.4 | 7.1 | 8.1 | 6.5 | 7.2 | 5.6 | 9.8 | 7.0 | 9.6 | 10.0 | 6.0 | 1.6 | 5.7 | 4.0 | 8.0 | 2.6 | 2.3 | 2.3 | 6.2 | 17.4 | 19.5 | 17.1 | 13.1 |
| 13/14 | 8.3 | 11.1 | 10.2 | 8.0 | 8.2 | 9.3 | 8.0 | 13.5 | 6.4 | 7.1 | 6.2 | 3.6 | 10.2 | 6.5 | 6.9 | 6.8 | 6.3 | 14.7 | 17.5 | 9.6 | 9.9 | 4.6 | 4.7 | 2.1 |
| 14/15 | 6.8 | 6.0 | 7.8 | 7.8 | 7.6 | 9.6 | 12.9 | 13.2 | 10.3 | 8.5 | 4.9 | 4.7 | 5.1 | 7.2 | 14.3 | 5.3 | 4.9 | 8.3 | 6.1 | 3.6 | 20.2 | 6.6 | 10.5 | 7.9 |
| 15/16 | 5.5 | 6.7 | 12.3 | 8.5 | 5.7 | 5.5 | 10.1 | 8.8 | 11.5 | 9.4 | 10.9 | 5.2 | 6.7 | 7.6 | 4.8 | 1.3 | 4.5 | 6.0 | 11.7 | 11.8 | 19.2 | 13.5 | 7.3 | 5.4 |
| 16/17 | 6.9 | 5.4 | 5.7 | 11.1 | 10.7 | 6.2 | 9.1 | 12.1 | 7.9 | 8.1 | 8.8 | 8.1 | 3.8 | 10.5 | 7.4 | 6.1 | 10.0 | 6.0 | 4.8 | 6.4 | 3.4 | 12.5 | 17.0 | 12.3 |
| Mean | 7.6 | 8.7 | 8.0 | 7.0 | 7.2 | 7.4 | 8.5 | 10.4 | 8.2 | 9.0 | 8.7 | 9.3 | 5.6 | 5.8 | 5.7 | 7.1 | 6.9 | 9.4 | 11.8 | 13.2 | 10.1 | 9.3 | 8.3 | 6.8 |

Table G.3C: Distribution in percent of scaled (Eq. 1) estimated flatfish setnet catches by fishing year and month for two of the FLA 1 fishery strata (Table 11) from 1989-90 to 2016-17 based on trips which caught flatfish. Annual total setnet catches (t) for these FLA 1 fishery strata are available in Table G.1B. These values are plotted in Figure 7.


Table G.3D: Distribution in percent of estimated flatfish setnet catches by fishing year and month for one of the FLA 1 fishery strata (Table 11) from 1989-90 to 2016-17 based on trips which caught flatfish. Annual total setnet catches (t) for this FLA 1 'Fishery stratum' are available in Table G.1B. These values are plotted in Figure 7.

| Fishing year |  |  |  |  |  |  |  |  |  |  |  | Month |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Bay of Plenty |  |  |  |  |  |  |  |  |  |  |  |
|  | Oct | Nov | Dec | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep |
| 89/90 | 2.7 | 6.4 | 4.5 | 8.0 | 4.7 | 4.7 | 7.3 | 9.2 | 14.0 | 12.5 | 6.3 | 19.5 |
| 90/91 | 13.7 | 10.6 | 11.7 | 10.6 | 11.9 | 6.2 | 7.1 | 8.3 | 3.0 | 4.2 | 4.7 | 8.0 |
| 91/92 | 9.8 | 14.4 | 6.2 | 6.1 | 6.3 | 10.1 | 8.2 | 3.5 | 7.8 | 7.5 | 9.4 | 10.7 |
| 92/93 | 6.7 | 10.5 | 10.4 | 6.8 | 6.7 | 7.0 | 3.4 | 6.2 | 8.5 | 15.3 | 8.7 | 9.9 |
| 93/94 | 0.4 | 9.5 | 14.1 | 6.9 | 6.5 | 7.9 | 8.0 | 6.4 | 9.4 | 11.8 | 14.5 | 4.6 |
| 94/95 | 6.6 | 6.3 | 10.2 | 15.6 | 8.9 | 5.0 | 6.7 | 10.6 | 4.9 | 6.0 | 9.8 | 9.4 |
| 95/96 | 3.0 | 4.5 | 3.0 | 13.6 | 4.6 | 15.5 | 15.5 | 8.6 | 11.0 | 7.9 | 3.8 | 8.9 |
| 96/97 | 4.6 | 3.6 | 5.3 | 9.5 | 7.1 | 10.8 | 17.3 | 13.0 | 10.5 | 8.5 | 4.6 | 5.3 |
| 97/98 | 3.6 | 2.6 | 3.5 | 13.0 | 6.8 | 16.1 | 16.9 | 11.0 | 6.1 | 4.1 | 7.7 | 8.6 |
| 98/99 | 3.4 | 12.7 | 8.3 | 8.4 | 11.5 | 13.6 | 8.0 | 5.4 | 8.3 | 7.4 | 7.9 | 5.2 |
| 99/00 | 7.1 | 13.2 | 17.2 | 18.4 | 17.8 | 4.9 | 1.7 | 1.9 | 2.5 | 1.4 | 5.9 | 8.0 |
| 00/01 | 13.1 | 8.9 | 7.2 | 12.9 | 9.2 | 9.0 | 8.3 | 5.9 | 4.9 | 6.0 | 4.6 | 9.9 |
| 01/02 | 7.5 | 7.7 | 3.5 | 5.4 | 6.7 | 4.7 | 5.3 | 5.3 | 6.5 | 15.4 | 14.7 | 17.4 |
| 02/03 | 13.3 | 10.0 | 6.2 | 13.5 | 6.6 | 8.2 | 12.8 | 5.4 | 2.8 | 4.8 | 6.6 | 9.8 |
| 03/04 | 7.3 | 9.6 | 11.7 | 9.8 | 1.9 | 13.8 | 6.4 | 5.0 | 6.7 | 13.6 | 8.2 | 5.9 |
| 04/05 | 5.0 | 4.8 | 1.6 | 7.5 | 6.7 | 9.9 | 11.5 | 8.4 | 7.7 | 12.5 | 13.2 | 11.1 |
| 05/06 | 7.3 | 5.4 | 3.2 | 5.8 | 6.0 | 5.4 | 5.7 | 10.8 | 7.4 | 8.0 | 13.2 | 21.9 |
| 06/07 | 10.0 | 14.9 | 5.4 | 11.3 | 12.9 | 5.4 | 6.6 | 6.8 | 3.4 | 6.3 | 5.3 | 11.7 |
| 07/08 | 8.0 | 12.6 | 5.3 | 13.5 | 13.0 | 9.7 | 2.5 | 3.8 | 4.9 | 4.2 | 9.7 | 12.7 |
| 08/09 | 19.8 | 12.7 | 8.2 | 9.1 | 4.8 | 4.3 | 3.8 | 7.4 | 5.7 | 8.0 | 7.2 | 9.2 |
| 09/10 | 7.3 | 6.4 | 10.7 | 14.2 | 5.2 | 5.4 | 7.8 | 6.5 | 3.0 | 18.6 | 6.2 | 8.7 |
| 10/11 | 13.3 | 26.4 | 9.0 | 8.2 | 8.0 | 9.7 | 2.2 | 2.6 | 3.5 | 2.6 | 7.3 | 7.2 |
| 11/12 | 9.2 | 6.9 | 9.4 | 5.5 | 8.7 | 5.8 | 5.3 | 4.4 | 5.1 | 12.4 | 14.6 | 12.8 |
| 12/13 | 11.7 | 17.9 | 4.8 | 8.7 | 8.3 | 5.6 | 3.2 | 3.4 | 8.2 | 10.5 | 11.1 | 6.8 |
| 13/14 | 23.2 | 12.6 | 11.6 | 4.9 | 4.3 | 7.9 | 2.0 | 2.0 | 3.0 | 7.8 | 4.4 | 16.5 |
| 14/15 | 15.8 | 10.5 | 11.6 | 12.2 | 9.3 | 3.6 | 4.0 | 4.2 | 5.5 | 10.5 | 9.1 | 3.8 |
| 15/16 | 0.1 | 10.2 | 4.3 | 3.9 | 10.0 | 0.1 | 4.4 | 3.7 | 3.2 | 5.6 | 27.9 | 26.6 |
| 16/17 | 7.0 | 9.8 | 16.4 | 5.7 | 3.3 | 6.0 | 3.3 | 3.2 | 6.4 | 14.6 | 11.9 | 12.4 |
| Mean | 7.6 | 9.2 | 7.2 | 9.8 | 8.0 | 8.5 | 8.0 | 7.3 | 6.7 | 8.5 | 8.6 | 10.7 |

## Appendix H. Flatfish CPUE Analysis-Introduction

## H. 1 General overview

Results and diagnostics for seven FLA 1 SN CPUE standardisations are presented below: four major SN fishery strata (Table H.1) and three minor fishery strata (Table H.2). These analyses support the descriptions and conclusions presented in Section 3 of the main report. This appendix contains the procedures followed in data preparation, the equations used, and definitions of each standardisation analysis. The following Appendices provide tables and figures with statistics and diagnostics, and final tables giving the estimated indices with the standard error for each of the analyses defined in Table H. 1 and Table H.2. Diagnostics are also presented for the rejected HG(SFL) - est analysis (Appendix P).

## H. 2 Methods

## H.2.1 Data Preparation

The identification of candidate trips for these analyses and the methods used to prepare them are described in Section 2.3.1 in the main report. All records were processed through the "daily effort stratum" resolution procedure described in Section 2.3.1.3. However, this resulted in very little change to the data because most trips in these fisheries are daily, with no change to the area fished or to the target species. The CPUE data set was prepared using the "Statistical Area" expansion procedure, whereby all expansions are made relative to the statistical area of capture without regard to the QMA of origin. However, given the geographical configuration of FLA 1, there is little opportunity for data from other FLA QMAs to be included in these analyses (only Area 041 is shared with FLA 2; Appendix B).

These analyses are based on effort data which provided an estimated flatfish catch, using a code listed in Table 16 or recorded a flatfish code in the target species field. Consequently, zero catch records are rare (usually less than $1 \%$ ) and may be due to data errors, either by the operator or at the data entry step. Zero catch records are ignored in these analyses. The landings data are not used because of the extensive use of intermediate destination codes in these fishery strata (see Section 2.3.2.1 for a discussion of this issue).

The potential explanatory variables available from each record in these data sets include fishing year, the length of net set, the duration of fishing, month of landing, and a unique vessel identifier. For those analyses that include multiple statistical areas, the area of capture is included. Target species is not included as the incidence of species other than FLA is minor in these fisheries (see Table 15). Since these are exclusively positive catch analyses, the dependent variable is always $\log$ (catch), where catch is the declared estimated catch for the day.

Datasets were further restricted to core fleets of vessels, defined by their activity in the fishery, thus selecting only the most active vessels without dropping too much of the available catch and effort data.

## H.2.2 Analytical methods for standardisation

Arithmetic CPUE $\left(\hat{A}_{y}\right)$ in year $y$ was calculated as the mean of catch divided by effort for each observation in the year:

Eq. H. 1

$$
\hat{A}_{y}=\frac{\sum_{i=1}^{N_{y}} C_{i, y} / E_{i, y}}{N_{y}}
$$

where $C_{i, y}$ is the [catch] and $E_{i, y}=L_{i, y}$ ([net_length]-for setnet) in record $i$ in year $y$, and $N_{y}$ is the number of records in year $y$.

Unstandardised CPUE $\left(\hat{U}_{y}\right)$ in year $y$ is the geometric mean of the ratio of catch to effort for each record $i$ in year $y$ :

Eq. H. 2

$$
\hat{U}_{y}=\exp \left[\frac{\sum_{i=1}^{N_{y}} \ln \left(C_{i, y} / E_{i, y}\right)}{N_{y}}\right]
$$

where $C_{i}, E_{i, y}$ and $N_{y}$ are as defined for Eq. H.1. Unstandardised CPUE assumes a log-normal distribution, but does not take into account changes in the fishery. This index is the same as the "year index" calculated by the standardisation procedure which assumes a lognormal distribution, but is not using additional explanatory variables and uses the same definition for $E_{i, y}$. Presenting the arithmetic and unstandardised CPUE indices in this report provide measures of how much the standardisation procedure has modified the series from these two sets of indices.

A standardised abundance index (Eq. H.3) was calculated from a generalised linear model (GLM) (Quinn \& Deriso 1999) using a range of explanatory variables including [year], [month], [vessel] and other available factors:

Eq. H. 3

$$
\ln \left(I_{i}\right)=B+Y_{y_{i}}+\alpha_{a_{i}}+\beta_{b_{i}}+\ldots . .+f\left(\chi_{i}\right)+f\left(\delta_{i}\right) \ldots+\varepsilon_{i}
$$

where $I_{i}=C_{i}$ for the $i^{\text {th }}$ record, $Y_{y_{i}}$ is the year coefficient for the year corresponding to the $i^{\text {th }}$ record, $\alpha_{a_{i}}$ and $\beta_{b_{i}}$ are the coefficients for factorial variables $a$ and $b$ corresponding to the $i^{\text {th }}$ record, and $f\left(\chi_{i}\right)$ and $f\left(\delta_{i}\right)$ are polynomial functions (to the $3^{\text {rd }}$ order) of the continuous variables $\chi_{i}$ and $\delta_{i}$ corresponding to the $i^{\text {th }}$ record, $B$ is the intercept and $\varepsilon_{i}$ is an error term. The actual number of factorial and continuous explanatory variables in each model depends on the model selection criteria. Fishing year was always forced as the first variable, month (of landing), and a unique vessel identifier were also offered as categorical variables. In some models, statistical area of capture was also offered to the model. Length of net set $\left(\ln (N)_{i}\right)$ and fishing duration $\left(\ln \left(H_{i}\right)\right)$ were offered as continuous third order polynomial variables.

As these models were all repeats of previous models reported by Kendrick \& Bentley (2011, 2012a, 2015), the underlying positive catch distribution used in the previous version of each model was used here to ensure comparability with previous work. The only exception to this was the HG(TOT) -est model (Table H.1), which was a new model requested by the NINSWG to replace the discarded HG(SFL) model. In this instance, a range of alternative positive catch distributions were tested with the data and the distribution providing the best fit was selected (see Figure L.3).

For the positive catch records, $\log$ (catch) was regressed against the full set of explanatory variables in a stepwise procedure, selecting variables one at a time until the improvement in the model $\mathrm{R}^{2}$ was less than 0.01 . The order of the variables in the selection process was based on the variable with the lowest AIC, so that the degrees of freedom were minimised. Zero catch records were discarded.

Canonical coefficients and standard errors were calculated for each categorical variable (Francis 1999). Standardised analyses typically set one of the coefficients to 1.0 without an error term and estimate the remaining coefficients and the associated error relative to the fixed coefficient. This is required because of parameter confounding. The Francis (1999) procedure rescales all coefficients so that the geometric mean of the coefficients is equal to 1.0 and calculates a standard error for each coefficient, including the fixed coefficient.

## H. 3 Fishery definitions

The following selection criteria were used for defining the setnet fishery models described in this report. Data were prepared using the "daily effort-stratum" method of Langley (2014) (described in Section 2.3.1.3) without scaling the estimated catches to represent landings.

Table H.1: List of specifications for modelled FLA 1 setnet (SN) major fishery strata (Table 11). FLA(TOT): amalgamation of all FLA estimated catch species codes.

| Model label | Location <br> Manukau | Statistical area <br> definition | FLA species definition | Core fleet definition | Number vessels and \% retained catch | Positive catch distribution | Document reference |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| MH(TOT)-est | Harbour | 043 | FLA(TOT) | 10 trips/6 years | 42 vessels/84\% | log-logistic | Appendix I |
| KH(TOT)-est | Kaipara Harbour | 044 | FLA(TOT) | 10 trips/4 years | 68 vessels/90\% | log-logistic | Appendix J |
| HG(YBF)-est | Hauraki Gulf | 005-007 | YBF | 10 trips/4 years | 40 vessels/86\% | gamma | Appendix K |
| HG(TOT) -est ${ }^{1}$ | Hauraki Gulf | 005-007 | FLA(TOT) | 10 trips/4 years | 103 vessels/87\% | gamma | Appendix L |

## Table H.2: List of specifications for modelled FLA 1 setnet (SN) minor fishery strata (Table 11). FLA(TOT): amalgamation of all FLA estimated catch species codes.

|  |  | Statistical <br> area | FLA species | Core fleet | Number vessels <br> and $\%$ retained | Positive <br> catch | Document <br> distribution | reference |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

The positive catch distributions listed above were selected for continuity with earlier versions of the same analyses (see Kendrick \& Bentley 2011, 2012a, 2015). The only exception to this was the new HG(TOT)-est model, where a range of alternative positive catch distributions were tested with the data and the gamma distribution was selected because it gave the best fit (Figure L.3).

## Appendix I. Diagnostics and supporting analyses for Manukau Harbour estimated catch CPUE

## I.1 Model definition and preliminary analyses

This CPUE analysis was accepted by the NINSWG for monitoring Manukau Harbour YBF (assumed) in 2018 (Fisheries New Zealand 2018).

## I.1.1 Fishery definition

MH(TOT)-est: The fishery is defined from setnet daily fishing events for fishing in Statistical Area 043 capturing flatfish using any species code in Table 16 (positive catch analysis). Criteria for excluding records: net length $<10 \mathrm{~m}$ or $>5000 \mathrm{~m}$; duration $<1$ hour or $>24$ hours.

## I.1.2 Core vessel selection

The criteria used to define the core fleet were those vessels that had fished for at least 10 trips in each of at least 6 years using trips with at least 1 kg of FLA(TOT) catch. These criteria resulted in a core fleet size of 42 vessels which took $84 \%$ of the catch (Figure I.1).

## I.1.3 Data summary

Table I.1: Summaries by fishing year for core vessels, trips, daily effort strata, events that have been "rolled up" into daily effort strata, events per daily-effort stratum, length of net set (in km), hours fished, estimated catch FLA (t), and percentage of trips and daily records with catch for the core vessel data set (based on a minimum of 10 trips per year in 6 years) in the MH (TOT) est fishery.

| Fishing year | Vessels | Trips | Daily effort strata | Events | Events per stratum | Sum (net length [km]) | $\begin{array}{r} \text { Sum } \\ \text { (hours) } \end{array}$ | $\begin{gathered} \text { Estimated } \\ \text { catch }(\mathbf{t}) \end{gathered}$ | \% trips with catch | \% records with catch |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1990 | 17 | 563 | 571 | 609 | 1.07 | 326.31 | 2346 | 33.68 | 99.8 | 99.8 |
| 1991 | 20 | 746 | 766 | 854 | 1.11 | 487.61 | 3067 | 42.98 | 99.7 | 99.7 |
| 1992 | 22 | 899 | 933 | 1001 | 1.07 | 632.33 | 3587 | 62.87 | 100.0 | 100.0 |
| 1993 | 26 | 1143 | 1227 | 1302 | 1.06 | 787.03 | 4397 | 94.02 | 99.7 | 99.8 |
| 1994 | 26 | 1330 | 1333 | 1406 | 1.05 | 889.10 | 5266 | 95.32 | 99.7 | 99.7 |
| 1995 | 23 | 1207 | 1222 | 1277 | 1.05 | 860.16 | 4725 | 89.73 | 100.0 | 100.0 |
| 1996 | 25 | 1064 | 1081 | 1102 | 1.02 | 711.86 | 4580 | 56.13 | 99.1 | 99.1 |
| 1997 | 22 | 1046 | 1068 | 1149 | 1.08 | 743.95 | 4343 | 62.40 | 99.7 | 99.7 |
| 1998 | 20 | 1062 | 1065 | 1169 | 1.10 | 728.92 | 4128 | 68.79 | 99.7 | 99.7 |
| 1999 | 22 | 1283 | 1283 | 1418 | 1.11 | 887.95 | 5190 | 63.70 | 99.8 | 99.8 |
| 2000 | 24 | 1541 | 1556 | 1672 | 1.07 | 1099.32 | 6526 | 80.13 | 99.6 | 99.6 |
| 2001 | 25 | 1645 | 1666 | 1854 | 1.11 | 1254.91 | 7963 | 82.60 | 99.8 | 99.7 |
| 2002 | 24 | 1318 | 1338 | 1472 | 1.10 | 1013.19 | 5938 | 68.19 | 99.9 | 99.9 |
| 2003 | 23 | 1373 | 1425 | 1561 | 1.10 | 1034.97 | 8031 | 50.63 | 99.9 | 99.9 |
| 2004 | 22 | 1452 | 1493 | 1701 | 1.14 | 1082.76 | 7980 | 59.11 | 99.9 | 99.9 |
| 2005 | 23 | 1376 | 1412 | 1581 | 1.12 | 976.35 | 7847 | 65.06 | 99.9 | 99.9 |
| 2006 | 24 | 1336 | 1370 | 1561 | 1.14 | 980.79 | 8271 | 63.47 | 99.6 | 99.6 |
| 2007 | 25 | 1491 | 1531 | 1792 | 1.17 | 1137.55 | 9622 | 70.82 | 99.9 | 99.9 |
| 2008 | 28 | 1193 | 1208 | 1396 | 1.16 | 822.80 | 5996 | 57.10 | 99.8 | 99.8 |
| 2009 | 24 | 828 | 833 | 922 | 1.11 | 542.24 | 3608 | 26.83 | 99.8 | 99.8 |
| 2010 | 17 | 668 | 679 | 749 | 1.10 | 468.36 | 3188 | 21.91 | 99.9 | 99.9 |
| 2011 | 18 | 795 | 803 | 893 | 1.11 | 551.33 | 3854 | 27.24 | 99.6 | 99.6 |
| 2012 | 15 | 645 | 651 | 724 | 1.11 | 435.48 | 2890 | 17.95 | 100.0 | 99.9 |
| 2013 | 13 | 705 | 713 | 776 | 1.09 | 470.57 | 3517 | 23.94 | 100.0 | 100.0 |
| 2014 | 13 | 753 | 765 | 840 | 1.10 | 525.50 | 4046 | 33.46 | 100.0 | 100.0 |
| 2015 | 12 | 786 | 802 | 885 | 1.10 | 492.48 | 3795 | 25.15 | 99.8 | 99.6 |
| 2016 | 11 | 568 | 577 | 618 | 1.07 | 335.37 | 2923 | 17.85 | 99.8 | 99.8 |
| 2017 | 11 | 470 | 475 | 490 | 1.03 | 271.81 | 2302 | 13.88 | 99.8 | 99.8 |



Figure I.1: [left panel]: total estimated FLA catch and number of vessels plotted against the number of years used to define core vessels participating in the MH (TOT) -est dataset. The number of qualifying years (minimum number of trips per year) for each series is indicated in the legend. [right panel]: bubble plot showing the number of daily-effort strata for selected core vessels (based on at least $\mathbf{1 0}$ trips in $\mathbf{6}$ or more fishing years) by fishing year.

## I.1.5 Exploratory data plots for core vessel data set



Figure I.2: Core vessel summary plots by fishing year for model MH (TOT) - est: [upper left panel]: total trips (light grey) and trips with flatfish catch (dark grey) overlaid with median annual arithmetic CPUE (kg/net_set) for all trips $i$ with positive catch: $A_{y}=\operatorname{median}\left(C_{y, i} / E_{y, i}\right)$; [upper right panel]: mean length of net set and mean duration per daily-effort stratum record; [lower left panel]: percentage of trips with no estimated catch of flatfish; [lower right panel]: mean number of events per daily-effort stratum record.

## I. 2 Positive catch model

All four explanatory variables entered the model after fishing year (vessel, length of net set, month, and duration fishing; Table I.2), with no non-significant variables. A plot of the model is provided in Figure I. 3 and the CPUE indices are listed in Table I.3.

Table I.2: Order of acceptance of variables into the log-logistic model of successful catches in the MH (TOT) - est fishery model for core vessels (based on the vessel selection criteria of at least 10 trips in 6 or more fishing years), with the amount of explained deviance and $\mathbf{R}^{2}$ for each variable. Variables accepted into the model are marked with an ${ }^{*}$, and the final $\mathbf{R}^{2}$ of the selected model is in bold. Fishing year was forced as the first variable.

| Variable | DF | Neg. Log <br> likelihood | AIC | $\mathbf{R}^{2}$ | Model use |
| :--- | ---: | ---: | ---: | ---: | :---: |
| fishing year | 29 | -142442 | 284941 | 8.5 | $*$ |
| vessel | 70 | -138392 | 276924 | 30.3 | $*$ |
| polynglog(net_length), 3) | 73 | -137101 | 274349 | 36.1 | $*$ |
| month | 84 | -136620 | 273409 | 38.1 | $*$ |
| poly(log(duration, 3) | 87 | -136095 | 272365 | $\mathbf{4 0 . 3}$ | $*$ |



Standardised index error bars $=+/-1.96 * \mathrm{SE}$

Figure I.3: Relative CPUE indices for estimated FLA catch using the log-logistic non-zero model based on the MH (TOT) -est fishery definition. Also shown are two unstandardised series from the same data: a) Arithmetic (Eq. H.1) and b) Unstandardised (Eq. H.2).


Figure I.4: [left column]: annual indices from the log-logistic model of MH (TOT) -est at each step in the variable selection process; [right column]: aggregate influence associated with each step in the variable selection procedure.

## I.2.1 Residual and diagnostic plots



Figure I.5: Plots of the fit of the log-logistic standardised CPUE model of successful estimated FLA catches in the MH (TOT) -est fishery. [Upper left] histogram of the standardised residuals compared to a log-logistic distribution; [Upper right] Q-Q plot of the standardised residuals; [Lower left] Standardised residuals plotted against the predicted model catch per trip; [Lower right] Observed catch per record plotted against the predicted catch per record.

## I.2.2 Model coefficient plots



Figure I.6: Effect of vessel in the log-logistic model for the flatfish MH ( TOT) - est fishery. Top: effect by level of variable (left-axis: log space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: $\log$ space additive; top-axis: natural space multiplicative).


Figure I.7: Effect of $\log$ (net_length) in the log-logistic model for the flatfish MH (TOT) - est fishery. Top: effect by level of variable (left-axis: $\log$ space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Figure I.8: Effect of month in the log-logistic model for the flatfish MH (TOT) - est fishery. Top: effect by level of variable (left-axis: $\log$ space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Figure I.9: Effect of $\log$ (duration) in the log-logistic model for the flatfish MH(TOT) - est fishery. Top: effect by level of variable (left-axis: $\log$ space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).

## I. 3 CPUE indices

Table I.3: Arithmetic indices for the total and core data sets, geometric and log-logistic standardised indices and associated standard error (SE) for the core data set by fishing year for the flatfish MH (TOT ) -est analysis. All series (except SE) standardised to geometric mean=1.0.

| Fishing year | All vessels Arithmetic | Arithmetic | Geometric | Core vessels |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | Standardised | SE |
| 1990 | 1.362 | 1.291 | 1.367 | 1.460 | 0.0273 |
| 1991 | 1.241 | 1.228 | 1.261 | 1.566 | 0.0250 |
| 1992 | 1.315 | 1.474 | 1.422 | 1.595 | 0.0234 |
| 1993 | 1.574 | 1.676 | 1.558 | 1.845 | 0.0208 |
| 1994 | 1.523 | 1.564 | 1.443 | 1.674 | 0.0204 |
| 1995 | 1.551 | 1.606 | 1.584 | 1.565 | 0.0205 |
| 1996 | 1.116 | 1.136 | 1.172 | 1.158 | 0.0214 |
| 1997 | 1.201 | 1.278 | 1.306 | 1.290 | 0.0209 |
| 1998 | 1.327 | 1.413 | 1.455 | 1.320 | 0.0209 |
| 1999 | 1.122 | 1.086 | 1.120 | 1.104 | 0.0192 |
| 2000 | 1.135 | 1.127 | 1.117 | 1.043 | 0.0179 |
| 2001 | 1.080 | 1.085 | 1.099 | 0.977 | 0.0173 |
| 2002 | 1.052 | 1.115 | 1.116 | 0.943 | 0.0191 |
| 2003 | 0.776 | 0.777 | 0.777 | 0.743 | 0.0180 |
| 2004 | 0.899 | 0.866 | 0.875 | 0.852 | 0.0177 |
| 2005 | 1.064 | 1.008 | 1.057 | 1.031 | 0.0176 |
| 2006 | 1.049 | 1.014 | 1.008 | 1.018 | 0.0183 |
| 2007 | 1.032 | 1.012 | 1.022 | 1.089 | 0.0180 |
| 2008 | 1.057 | 1.034 | 1.091 | 1.045 | 0.0193 |
| 2009 | 0.722 | 0.705 | 0.706 | 0.710 | 0.0234 |
| 2010 | 0.704 | 0.706 | 0.712 | 0.717 | 0.0256 |
| 2011 | 0.743 | 0.742 | 0.732 | 0.678 | 0.0235 |
| 2012 | 0.623 | 0.603 | 0.582 | 0.569 | 0.0262 |
| 2013 | 0.750 | 0.735 | 0.763 | 0.684 | 0.0245 |
| 2014 | 1.012 | 0.957 | 0.892 | 0.880 | 0.0247 |
| 2015 | 0.691 | 0.686 | 0.703 | 0.731 | 0.0247 |
| 2016 | 0.692 | 0.677 | 0.680 | 0.714 | 0.0287 |
| 2017 | 0.648 | 0.639 | 0.597 | 0.582 | 0.0320 |

## Appendix J. Diagnostics and supporting analyses for Kaipara Harbour estimated catch CPUE

## J. 1 Model definition and preliminary analyses

This CPUE analysis was accepted by the NINSWG for monitoring Kaipara Harbour YBF (assumed) in 2018 (Fisheries New Zealand 2018).

## J.1.1 Fishery definition

KH (TOT) -est: The fishery is defined from setnet daily fishing events for fishing in Statistical Area 044 capturing flatfish using any species code in Table 16 (positive catch analysis). Criteria for excluding records: net length $<10 \mathrm{~m}$ or $>5000 \mathrm{~m}$; duration $<1$ hour or $>24$ hours.

## J.1.2 Core vessel selection

The criteria used to define the core fleet were those vessels that had fished for at least 10 trips in each of at least 4 years using trips with at least 1 kg of FLA(TOT) catch. These criteria resulted in a core fleet size of 68 vessels which took $90 \%$ of the catch (Figure J.1).

## J.1.3 Data summary

Table J.1: Summaries by fishing year for core vessels, trips, daily effort strata, events that have been "rolled up" into daily effort strata, events per daily-effort stratum, length of net set (in km), hours fished, estimated catch FLA (t), and percentage of trips and daily records with catch for the core vessel data set (based on a minimum of 10 trips per year in 4 years) in the KH (TOT) est fishery.

| Fishing year | Vessels | Trips | Daily effort strata | Events | Events per stratum | Sum (net length [km]) | $\begin{array}{r} \text { Sum } \\ \text { (hours) } \end{array}$ | Estimated catch (t) | \% trips with catch | \% records with catch |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1990 | 24 | 1269 | 1438 | 1528 | 1.06 | 1447.82 | 14335 | 133.51 | 99.8 | 99.9 |
| 1991 | 21 | 1404 | 1595 | 1733 | 1.09 | 1603.84 | 15008 | 117.71 | 99.6 | 99.6 |
| 1992 | 24 | 1370 | 1713 | 1860 | 1.09 | 1682.98 | 17204 | 133.42 | 99.9 | 99.8 |
| 1993 | 29 | 1396 | 1841 | 1962 | 1.07 | 1794.35 | 19401 | 144.09 | 99.8 | 99.8 |
| 1994 | 28 | 1549 | 2003 | 2201 | 1.10 | 1888.17 | 20165 | 133.61 | 99.9 | 99.9 |
| 1995 | 29 | 1462 | 1935 | 2103 | 1.09 | 1820.48 | 19623 | 168.46 | 100.0 | 100.0 |
| 1996 | 31 | 1237 | 1566 | 1670 | 1.07 | 1404.93 | 13882 | 122.64 | 95.8 | 96.7 |
| 1997 | 29 | 1349 | 1570 | 1680 | 1.07 | 1423.08 | 15278 | 125.69 | 97.9 | 98.0 |
| 1998 | 32 | 1839 | 2243 | 2453 | 1.09 | 2011.23 | 23835 | 125.32 | 94.2 | 95.2 |
| 1999 | 37 | 2429 | 2802 | 3026 | 1.08 | 2483.57 | 30634 | 130.34 | 95.8 | 96.1 |
| 2000 | 41 | 3521 | 3927 | 4283 | 1.09 | 3461.68 | 38832 | 198.24 | 96.9 | 97.2 |
| 2001 | 41 | 3824 | 4291 | 4765 | 1.11 | 3865.24 | 45033 | 215.04 | 99.8 | 99.8 |
| 2002 | 39 | 3227 | 3495 | 3869 | 1.11 | 2982.17 | 34453 | 162.28 | 100.0 | 100.0 |
| 2003 | 34 | 2617 | 2935 | 3184 | 1.08 | 2581.06 | 28993 | 146.91 | 99.9 | 99.9 |
| 2004 | 33 | 2727 | 2938 | 3159 | 1.08 | 2581.68 | 28110 | 173.89 | 99.9 | 99.9 |
| 2005 | 33 | 2415 | 2646 | 3102 | 1.17 | 2403.21 | 24792 | 150.47 | 99.9 | 99.9 |
| 2006 | 32 | 2371 | 2602 | 2981 | 1.15 | 2373.54 | 24632 | 115.83 | 99.9 | 99.9 |
| 2007 | 28 | 1998 | 2129 | 2411 | 1.13 | 1583.01 | 19000 | 106.34 | 99.7 | 99.7 |
| 2008 | 27 | 2009 | 2140 | 2339 | 1.09 | 1740.01 | 18660 | 133.80 | 100.0 | 99.9 |
| 2009 | 30 | 2325 | 2451 | 2787 | 1.14 | 2122.60 | 22607 | 150.96 | 99.9 | 99.9 |
| 2010 | 31 | 2304 | 2447 | 2808 | 1.15 | 2129.38 | 21917 | 136.65 | 99.8 | 99.8 |
| 2011 | 34 | 2404 | 2546 | 2925 | 1.15 | 2113.27 | 24766 | 107.30 | 99.6 | 99.6 |
| 2012 | 32 | 1876 | 2050 | 2338 | 1.14 | 1648.54 | 20673 | 81.14 | 99.8 | 99.8 |
| 2013 | 29 | 2305 | 2522 | 2874 | 1.14 | 1966.44 | 26123 | 109.69 | 99.9 | 99.8 |
| 2014 | 31 | 2120 | 2293 | 2619 | 1.14 | 1841.58 | 23467 | 102.28 | 99.9 | 99.9 |
| 2015 | 30 | 1833 | 2038 | 2190 | 1.07 | 1745.76 | 20390 | 85.15 | 100.0 | 100.0 |
| 2016 | 25 | 1702 | 1848 | 1957 | 1.06 | 1582.90 | 19799 | 65.19 | 99.8 | 99.8 |
| 2017 | 23 | 1231 | 1357 | 1548 | 1.14 | 1160.64 | 16885 | 48.85 | 99.9 | 99.9 |

## Core vessel plots



Figure J.1: [left panel]: total estimated FLA catch and number of vessels plotted against the number of years used to define core vessels participating in the KH (TOT) -est dataset. The number of qualifying years (minimum number of trips per year) for each series is indicated in the legend. [right panel]: bubble plot showing the number of daily-effort strata for selected core vessels (based on at least $\mathbf{1 0}$ trips in $\mathbf{4}$ or more fishing years) by fishing year.

## J.1.5 Exploratory data plots for core vessel data set



Figure J.2: Core vessel summary plots by fishing year for model KH (TOT) - est: [upper left panel]: total trips (light grey) and trips with flatfish catch (dark grey) overlaid with median annual arithmetic CPUE (kg/net_set) for all trips $i$ with positive catch: $A_{y}=\operatorname{median}\left(C_{y, i} / E_{y, i}\right)$; [upper right panel]: mean length of net set and mean duration per daily-effort stratum record; [lower left panel]: percentage of trips with no estimated catch of flatfish; [lower right panel]: mean number of events per daily-effort stratum record.

## J. 2 Positive catch model

All four explanatory variables entered the model after fishing year (vessel, length of net set, month, and duration fishing; Table J.2), with no non-significant variables. A plot of the model is provided in Figure J. 3 and the CPUE indices are listed in Table J.3.

Table J.2: Order of acceptance of variables into the log-logistic model of successful catches in the KH ( TOT ) - est fishery model for core vessels (based on the vessel selection criteria of at least 10 trips in 4 or more fishing years), with the amount of explained deviance and $R^{2}$ for each variable. Variables accepted into the model are marked with an ${ }^{*}$, and the final $\mathbf{R}^{2}$ of the selected model is in bold. Fishing year was forced as the first variable.

| Variable | DF | Neg. Log <br> likelihood | AIC | $\mathbf{R}^{2}$ | Model use |
| :--- | ---: | ---: | ---: | ---: | :---: |
| fishing year | 29 | -312687 | 625433 | 9.4 | $*$ |
| vessel | 96 | -304383 | 608958 | 30.1 | $*$ |
| poly(log(net_length), 3) | 99 | -303393 | 606985 | 32.2 | $*$ |
| month | 110 | -302593 | 605407 | 33.8 | $*$ |
| poly(log(duration, 3) | 113 | -301832 | 603890 | 35.4 | $*$ |



Standardised index error bars $=+/-1.96 *$ SE

Figure J.3: Relative CPUE indices for estimated FLA catch using the log-logistic non-zero model based on the KH (TOT) -est fishery definition. Also shown are two unstandardised series from the same data: a) Arithmetic (Eq. H.1) and b) Unstandardised (Eq. H.2).


Figure J.4: [left column]: annual indices from the log-logistic model of KH (TOT) -est at each step in the variable selection process; [right column]: aggregate influence associated with each step in the variable selection procedure.

## J.2.1 Residual and diagnostic plots



Figure J.5: Plots of the fit of the log-logistic standardised CPUE model of successful estimated FLA catches in the KH (TOT) -est fishery. [Upper left] histogram of the standardised residuals compared to a log-logistic distribution; [Upper right] Q-Q plot of the standardised residuals; [Lower left] Standardised residuals plotted against the predicted model catch per trip; [Lower right] Observed catch per record plotted against the predicted catch per record.

## J.2.2 Model coefficient plots



Figure J.6: Effect of vessel in the log-logistic model for the flatfish KH ( TOT) -est fishery. Top: effect by level of variable (left-axis: log space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: $\log$ space additive; top-axis: natural space multiplicative).


Figure J.7: Effect of $\log$ (net_length) in the log-logistic model for the flatfish KH (TOT) - est fishery. Top: effect by level of variable (left-axis: $\log$ space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Figure J.8: Effect of month in the log-logistic model for the flatfish KH(TOT) - est fishery. Top: effect by level of variable (left-axis: log space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Figure J.9: Effect of $\log$ (duration) in the log-logistic model for the flatfish KH (TOT) - est fishery. Top: effect by level of variable (left-axis: $\log$ space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).

Table J.3: Arithmetic indices for the total and core data sets, geometric and log-logistic standardised indices and associated standard error (SE) for the core data set by fishing year for the flatfish KH (TOT) -est analysis. All series (except SE) standardised to geometric mean=1.0.

| Fishing | All vessels <br> year | Arithmetic |  |  | Core vessels |  |
| :--- | ---: | ---: | ---: | ---: | ---: | :---: |
|  | Arithmetic | Geometric | Standardised | SE |  |  |
| 1990 | 1.566 | 1.677 | 1.683 | 1.613 | 0.0182 |  |
| 1992 | 1.307 | 1.333 | 1.347 | 1.393 | 0.0173 |  |
| 1993 | 1.302 | 1.407 | 1.420 | 1.418 | 0.0166 |  |
| 1994 | 1.388 | 1.414 | 1.386 | 1.417 | 0.0161 |  |
| 1995 | 1.231 | 1.205 | 1.239 | 1.258 | 0.0154 |  |
| 1996 | 1.576 | 1.573 | 1.640 | 1.722 | 0.0150 |  |
| 1997 | 1.401 | 1.415 | 1.486 | 1.468 | 0.0167 |  |
| 1998 | 1.373 | 1.446 | 1.322 | 1.438 | 0.0176 |  |
| 1999 | 0.981 | 1.009 | 0.951 | 0.971 | 0.0148 |  |
| 2000 | 0.834 | 0.840 | 0.842 | 0.896 | 0.0130 |  |
| 2001 | 0.937 | 0.912 | 0.913 | 0.933 | 0.0110 |  |
| 2002 | 0.953 | 0.905 | 0.923 | 0.966 | 0.0102 |  |
| 2003 | 0.874 | 0.839 | 0.803 | 0.799 | 0.0114 |  |
| 2004 | 0.893 | 0.904 | 0.939 | 0.900 | 0.0120 |  |
| 2005 | 1.055 | 1.069 | 1.114 | 1.044 | 0.0118 |  |
| 2006 | 1.068 | 1.027 | 1.057 | 0.980 | 0.0126 |  |
| 2007 | 0.819 | 0.804 | 0.826 | 0.801 | 0.0126 |  |
| 2008 | 0.937 | 0.902 | 0.892 | 1.002 | 0.0143 |  |
| 2009 | 1.145 | 1.130 | 1.129 | 1.154 | 0.0140 |  |
| 2010 | 1.122 | 1.113 | 1.113 | 1.089 | 0.0130 |  |
| 2011 | 1.008 | 1.009 | 1.029 | 1.011 | 0.0130 |  |
| 2012 | 0.768 | 0.761 | 0.752 | 0.734 | 0.0130 |  |
| 2013 | 0.711 | 0.715 | 0.701 | 0.689 | 0.0144 |  |
| 2014 | 0.787 | 0.786 | 0.798 | 0.809 | 0.0132 |  |
| 2015 | 0.826 | 0.806 | 0.775 | 0.763 | 0.0138 |  |
| 2016 | 0.777 | 0.755 | 0.782 | 0.731 | 0.0146 |  |
| 2017 | 0.630 | 0.637 | 0.631 | 0.596 | 0.0152 |  |
| 2017 | 0.654 | 0.650 | 0.611 | 0.606 | 0.0184 |  |

## Appendix K. Diagnostics and supporting analyses for Hauraki Gulf ybF estimated catch CPUE

## K. 1 Model definition and preliminary analyses

This CPUE analysis was accepted by the NINSWG for monitoring Hauraki Gulf yellow-belly flounder (YBF) in 2018 (Fisheries New Zealand 2018).

## K.1.1 Fishery definition

HG(YBF) - est: The fishery is defined from setnet daily fishing events for fishing in Statistical Areas 005,006 and 007 using the YBF species code for the estimated catch (positive catch analysis). Criteria for excluding records: net length $<10 \mathrm{~m}$ or $>5000 \mathrm{~m}$; duration $<1$ hour or $>24$ hours.

## K.1.2 Core vessel selection

The criteria used to define the core fleet were those vessels that had fished for at least 10 trips in each of at least 4 years using trips with at least 1 kg of YBF catch. These criteria resulted in a core fleet size of 40 vessels which took $86 \%$ of the catch (Figure K.1).

## K.1.3 Data summary

Table K.1: Summaries by fishing year for core vessels, trips, daily effort strata, events that have been "rolled up" into daily effort strata, events per daily-effort stratum, length of net set (in km), hours fished, estimated catch YBF ( $\mathbf{t}$ ), and percentage of trips and daily records with catch for the core vessel data set (based on a minimum of 10 trips per year in 4 years) in the HG (YBF ) est fishery.

| Fishing year | Vessels | Trips | Daily effort strata | Events | Events per stratum | Sum (net length [km]) | $\begin{array}{r} \text { Sum } \\ \text { (hours) } \end{array}$ | Estimated catch (t) | \% trips with catch | \% records with catch |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1991 | 18 | 1232 | 1293 | 1443 | 1.12 | 1122.99 | 8024 | 75.06 | 83.4 | 83.7 |
| 1992 | 19 | 1157 | 1181 | 1348 | 1.14 | 994.46 | 7009 | 88.78 | 83.2 | 83.3 |
| 1993 | 21 | 1535 | 1566 | 1735 | 1.11 | 1461.74 | 9036 | 90.36 | 83.1 | 83.3 |
| 1994 | 22 | 1522 | 1572 | 1723 | 1.10 | 1489.43 | 9168 | 78.48 | 88.7 | 89.0 |
| 1995 | 22 | 1505 | 1542 | 1660 | 1.08 | 1441.59 | 8510 | 84.51 | 89.0 | 89.0 |
| 1996 | 21 | 910 | 929 | 1015 | 1.09 | 811.47 | 5500 | 28.96 | 90.2 | 90.0 |
| 1997 | 20 | 1171 | 1199 | 1381 | 1.15 | 1161.35 | 6192 | 52.80 | 91.9 | 90.8 |
| 1998 | 21 | 1030 | 1049 | 1200 | 1.14 | 1023.13 | 5088 | 44.83 | 88.9 | 87.9 |
| 1999 | 20 | 1132 | 1146 | 1317 | 1.15 | 1032.96 | 5869 | 59.89 | 91.4 | 90.9 |
| 2000 | 21 | 1233 | 1248 | 1398 | 1.12 | 1140.74 | 6413 | 54.88 | 91.5 | 90.7 |
| 2001 | 23 | 1518 | 1550 | 1799 | 1.16 | 1470.65 | 8529 | 74.53 | 91.0 | 90.2 |
| 2002 | 24 | 1231 | 1291 | 1489 | 1.15 | 1248.96 | 7900 | 37.64 | 83.4 | 79.9 |
| 2003 | 24 | 1836 | 1914 | 2279 | 1.19 | 2158.80 | 13070 | 78.21 | 65.9 | 63.9 |
| 2004 | 24 | 1636 | 1674 | 2042 | 1.22 | 1853.99 | 11288 | 74.31 | 71.8 | 71.2 |
| 2005 | 24 | 2029 | 2046 | 2412 | 1.18 | 2281.27 | 13988 | 143.67 | 76.7 | 76.8 |
| 2006 | 20 | 1764 | 1777 | 2014 | 1.13 | 1900.70 | 12372 | 111.20 | 72.5 | 72.5 |
| 2007 | 21 | 1569 | 1579 | 1863 | 1.18 | 1670.05 | 10317 | 86.89 | 65.2 | 65.0 |
| 2008 | 19 | 1101 | 1105 | 1314 | 1.19 | 1083.47 | 6414 | 72.83 | 78.4 | 78.1 |
| 2009 | 18 | 1128 | 1152 | 1359 | 1.18 | 1091.86 | 5978 | 73.55 | 83.9 | 83.9 |
| 2010 | 21 | 1261 | 1378 | 1613 | 1.17 | 1334.91 | 7011 | 95.77 | 83.2 | 83.5 |
| 2011 | 18 | 1079 | 1202 | 1382 | 1.15 | 1076.18 | 5391 | 68.94 | 87.1 | 87.9 |
| 2012 | 20 | 1107 | 1264 | 1444 | 1.14 | 1151.86 | 5726 | 65.37 | 84.6 | 86.1 |
| 2013 | 21 | 1358 | 1527 | 1759 | 1.15 | 1370.45 | 7304 | 72.19 | 92.5 | 93.1 |
| 2014 | 19 | 1312 | 1448 | 1643 | 1.13 | 1282.90 | 6838 | 84.32 | 93.1 | 93.3 |
| 2015 | 20 | 1104 | 1219 | 1420 | 1.16 | 1096.44 | 6351 | 81.20 | 94.5 | 94.8 |
| 2016 | 19 | 953 | 1047 | 1207 | 1.15 | 924.65 | 4934 | 40.98 | 93.9 | 93.8 |
| 2017 | 16 | 968 | 1059 | 1238 | 1.17 | 873.88 | 4636 | 80.13 | 94.9 | 94.7 |



Figure K.1: [left panel]: total estimated YBF catch and number of vessels plotted against the number of years used to define core vessels participating in the HG (YBF) -est dataset. The number of qualifying years (minimum number of trips per year) for each series is indicated in the legend. [right panel]: bubble plot showing the number of daily-effort strata for selected core vessels (based on at least $\mathbf{1 0}$ trips in $\mathbf{4}$ or more fishing years) by fishing year.

## K.1.5 Exploratory data plots for core vessel data set



Figure K.2: Core vessel summary plots by fishing year for model HG (YBF ) - est: [upper left panel]: total trips (light grey) and trips with yellowbelly flounder catch (dark grey) overlaid with median annual arithmetic CPUE (kg/net_set) for all trips $\boldsymbol{i}$ with positive catch: $A_{y}=\operatorname{median}\left(C_{y, i} / E_{y, i}\right)$ ; [upper right panel]: mean length of net set and mean duration per daily-effort stratum record; [lower left panel]: percentage of trips with no estimated catch of YBF; [lower right panel]: mean number of events per daily-effort stratum record.

## K. 2 Positive catch model

All four explanatory variables entered the model after fishing year (vessel, month, length of net set, and duration fishing; Table K.2), with no non-significant variables. A plot of the model is provided in Figure K. 3 and the CPUE indices are listed in Table K.3.

Table K.2: Order of acceptance of variables into the gamma model of successful catches in the HG (YBF ) est fishery model for core vessels (based on the vessel selection criteria of at least 10 trips in 4 or more fishing years), with the amount of explained deviance and $\mathbf{R}^{2}$ for each variable. Variables accepted into the model are marked with an ${ }^{*}$, and the final $\mathbf{R}^{2}$ of the selected model is in bold. Fishing year was forced as the first variable.

| Variable | DF | Neg. Log <br> likelihood | AIC | $\mathbf{R}^{2}$ | Model use |
| :--- | ---: | ---: | ---: | ---: | :---: |
| fishing year | 27 | -157815 | 315686 | 7.7 | $*$ |
| vessel | 66 | -154545 | 309224 | 25.3 | $*$ |
| month | 76 | -151940 | 304034 | 36.9 | $*$ |
| poly(log(net_length), 3) | 79 | -149405 | 298970 | 46.5 | $*$ |
| poly(log(duration, 3) | 82 | -148873 | 297913 | 48.3 | $*$ |



Standardised index error bars $=+/-1.96^{*}$ SE

Figure K.3: Relative CPUE indices for estimated YBF catch using the gamma non-zero model based on the $H G$ ( YBF ) - est fishery definition. Also shown are two unstandardised series from the same data: a) Arithmetic (Eq. H.1) and b) Unstandardised (Eq. H.2).


Figure K.4: [left column]: annual indices from the gamma model of HG(YBF) -est at each step in the variable selection process; [right column]: aggregate influence associated with each step in the variable selection procedure.

## K.2.1 Residual and diagnostic plots



Figure K.5: Plots of the fit of the gamma standardised CPUE model of successful estimated YBF catches in the HG (YBF ) - est fishery. [Upper left] histogram of the standardised residuals compared to a gamma distribution; [Upper right] Q-Q plot of the standardised residuals; [Lower left] Standardised residuals plotted against the predicted model catch per trip; [Lower right] Observed catch per record plotted against the predicted catch per record.

## K.2.2 Model coefficient plots



Figure K.6: Effect of vessel in the gamma model for the HG (YBF ) - est fishery. Top: effect by level of variable (left-axis: log space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Figure K.7: Effect of month in the gamma model for the HG(YBF) - est fishery. Top: effect by level of variable (left-axis: log space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Figure K.8: Effect of $\log ($ net_length $)$ in the gamma model for the HG (YBF ) - est fishery. Top: effect by level of variable (left-axis: $\log$ space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Figure K.9: Effect of $\log$ (duration) in the gamma model for the flatfish HG (YBF ) - est fishery. Top: effect by level of variable (left-axis: log space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).

## K. 3 CPUE indices

Table K.3: Arithmetic indices for the total and core data sets, geometric and gamma standardised indices and associated standard error (SE) for the core data set by fishing year for the HG (YBF ) - est analysis. All series (except SE) standardised to geometric mean=1.0.

| Fishing | All vessels <br> year | Arithmetic |  | Core vessels |  |
| :--- | ---: | ---: | ---: | ---: | ---: |
| 1991 | 0.887 | 1.107 | 1.035 | Standardised | SE |
| 1992 | 1.053 | 1.434 | 1.347 | 1.362 | 0.0225 |
| 1993 | 1.005 | 1.100 | 1.083 | 1.437 | 0.0235 |
| 1994 | 0.971 | 0.952 | 0.919 | 1.162 | 0.0203 |
| 1995 | 1.020 | 1.045 | 0.905 | 0.987 | 0.0199 |
| 1996 | 0.652 | 0.594 | 0.447 | 0.038 | 0.0198 |
| 1997 | 0.914 | 0.840 | 0.767 | 0.845 | 0.0251 |
| 1998 | 0.910 | 0.815 | 0.797 | 0.846 | 0.0220 |
| 1999 | 1.031 | 0.997 | 0.896 | 0.999 | 0.0221 |
| 2000 | 0.845 | 0.839 | 0.748 | 0.830 | 0.0214 |
| 2001 | 1.046 | 0.917 | 0.912 | 1.011 | 0.0197 |
| 2002 | 0.699 | 0.556 | 0.573 | 0.613 | 0.0226 |
| 2003 | 0.849 | 0.779 | 1.083 | 0.963 | 0.0209 |
| 2004 | 0.967 | 0.847 | 0.966 | 0.940 | 0.0209 |
| 2005 | 1.505 | 1.339 | 1.451 | 1.405 | 0.0192 |
| 2006 | 1.296 | 1.193 | 1.374 | 1.377 | 0.0204 |
| 2007 | 0.896 | 1.049 | 1.438 | 1.525 | 0.0228 |
| 2008 | 1.077 | 1.257 | 1.423 | 1.382 | 0.0244 |
| 2009 | 1.097 | 1.218 | 1.344 | 1.164 | 0.0230 |
| 2010 | 1.090 | 1.325 | 1.406 | 1.152 | 0.0216 |
| 2011 | 0.870 | 1.094 | 1.077 | 0.995 | 0.0223 |
| 2012 | 0.923 | 0.986 | 1.039 | 0.901 | 0.0220 |
| 2013 | 0.937 | 0.902 | 0.851 | 0.732 | 0.0195 |
| 2014 | 1.237 | 1.111 | 0.988 | 0.824 | 0.0201 |
| 2015 | 1.380 | 1.270 | 1.119 | 0.912 | 0.0215 |
| 2016 | 0.864 | 0.746 | 0.719 | 0.597 | 0.0232 |
| 2017 | 1.523 | 1.443 | 1.361 | 1.364 | 0.0227 |

## Appendix L. Diagnostics and supporting analyses for Hauraki Gulf FLA(TOT) ESTIMATED CATCH CPUE

## L. 1 Model definition and preliminary analyses

This CPUE analysis was accepted by the NINSWG for monitoring Hauraki Gulf total FLA in 2018 (Fisheries New Zealand 2018).

## L.1.1 Fishery definition

HG(TOT) - est: The fishery is defined from setnet daily fishing events for fishing in Statistical Areas 005,006 and 007 using any FLA species code in Table 16 for the estimated catch (positive catch analysis). Criteria for excluding records: net length $<10 \mathrm{~m}$ or $>5000 \mathrm{~m}$; duration $<1$ hour or $>24$ hours.

## L.1.2 Core vessel selection

The criteria used to define the core fleet were those vessels that had fished for at least 10 trips in each of at least 4 years using trips with at least 1 kg of FLA(TOT) catch. These criteria resulted in a core fleet size of 103 vessels which took $87 \%$ of the catch (Figure L.1).

## L.1.3 Data summary

Table L.1: Summaries by fishing year for core vessels, trips, daily effort strata, events that have been "rolled up" into daily effort strata, events per daily-effort stratum, length of net set (in km), hours fished, estimated catch FLA(TOT) (t), and percentage of trips and daily records with catch for the core vessel data set (based on a minimum of 10 trips per year in $\mathbf{4}$ years) in the HG (TOT) -est fishery.

| Fishing year | Vessels | Trips | Daily effort strata | Events | Events per stratum | Sum (net length [km]) | $\begin{array}{r} \text { Sum } \\ \text { (hours) } \end{array}$ | Estimated catch (t) | \% trips with catch | \% records with catch |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1990 | 36 | 1123 | 1218 | 1311 | 1.08 | 968.93 | 7601 | 106.73 | 99.9 | 99.9 |
| 1991 | 45 | 2567 | 2705 | 3002 | 1.11 | 2185.63 | 19802 | 228.32 | 99.8 | 99.8 |
| 1992 | 46 | 2565 | 2652 | 2981 | 1.12 | 2206.47 | 18563 | 274.02 | 99.9 | 99.9 |
| 1993 | 49 | 3007 | 3109 | 3402 | 1.09 | 2665.50 | 20294 | 281.80 | 99.7 | 99.7 |
| 1994 | 47 | 2859 | 3005 | 3250 | 1.08 | 2604.01 | 18261 | 307.33 | 99.8 | 99.8 |
| 1995 | 49 | 2709 | 2805 | 3033 | 1.08 | 2417.77 | 16517 | 260.22 | 99.7 | 99.7 |
| 1996 | 42 | 1499 | 1554 | 1657 | 1.07 | 1276.95 | 9524 | 94.56 | 99.6 | 99.6 |
| 1997 | 36 | 1677 | 1735 | 1949 | 1.12 | 1522.32 | 9374 | 112.29 | 99.6 | 99.5 |
| 1998 | 37 | 1542 | 1590 | 1808 | 1.14 | 1475.13 | 8643 | 102.41 | 99.6 | 99.6 |
| 1999 | 36 | 1741 | 1821 | 2057 | 1.13 | 1544.79 | 10072 | 123.73 | 99.6 | 99.6 |
| 2000 | 39 | 1902 | 1999 | 2215 | 1.11 | 1659.35 | 11165 | 135.72 | 99.4 | 99.1 |
| 2001 | 38 | 2357 | 2461 | 2801 | 1.14 | 2117.89 | 14693 | 159.07 | 99.3 | 99.3 |
| 2002 | 40 | 1861 | 1954 | 2193 | 1.12 | 1699.52 | 12016 | 91.13 | 99.4 | 99.3 |
| 2003 | 48 | 2976 | 3107 | 3590 | 1.16 | 3127.95 | 22314 | 207.49 | 99.2 | 99.2 |
| 2004 | 47 | 2745 | 2854 | 3380 | 1.18 | 2868.71 | 20862 | 208.87 | 99.7 | 99.1 |
| 2005 | 50 | 3451 | 3492 | 4082 | 1.17 | 3767.73 | 26926 | 362.95 | 99.8 | 99.8 |
| 2006 | 45 | 3075 | 3104 | 3464 | 1.12 | 3208.72 | 25030 | 346.49 | 99.9 | 99.9 |
| 2007 | 45 | 2955 | 2979 | 3422 | 1.15 | 3007.78 | 23343 | 319.88 | 99.8 | 99.8 |
| 2008 | 44 | 2035 | 2064 | 2338 | 1.13 | 1908.38 | 13820 | 209.96 | 99.8 | 99.8 |
| 2009 | 43 | 2127 | 2170 | 2426 | 1.12 | 2063.54 | 14170 | 197.52 | 99.5 | 99.5 |
| 2010 | 47 | 2484 | 2628 | 2978 | 1.13 | 2540.74 | 16337 | 244.08 | 99.6 | 99.6 |
| 2011 | 42 | 2269 | 2419 | 2796 | 1.16 | 2221.65 | 16076 | 156.21 | 99.3 | 99.4 |
| 2012 | 45 | 2150 | 2328 | 2653 | 1.14 | 2138.17 | 13427 | 156.83 | 99.7 | 99.7 |
| 2013 | 44 | 2336 | 2523 | 3027 | 1.20 | 2301.60 | 16803 | 151.57 | 99.7 | 99.7 |
| 2014 | 39 | 2133 | 2277 | 2662 | 1.17 | 2000.53 | 13789 | 169.34 | 99.8 | 99.8 |
| 2015 | 38 | 1722 | 1849 | 2140 | 1.16 | 1642.40 | 10898 | 153.62 | 99.5 | 99.5 |
| 2016 | 33 | 1435 | 1536 | 1784 | 1.16 | 1419.98 | 8647 | 79.77 | 99.8 | 99.8 |
| 2017 | 31 | 1554 | 1650 | 1931 | 1.17 | 1472.96 | 9161 | 191.44 | 99.9 | 99.9 |



Figure L.1: [left panel]: total estimated FLA catch and number of vessels plotted against the number of years used to define core vessels participating in the HG (TOT) - est dataset. The number of qualifying years (minimum number of trips per year) for each series is indicated in the legend. [right panel]: bubble plot showing the number of daily-effort strata for selected core vessels (based on at least $\mathbf{1 0}$ trips in $\mathbf{4}$ or more fishing years) by fishing year.

## L.1.5 Exploratory data plots for core vessel data set



Figure L.2: Core vessel summary plots by fishing year for model HG(TOT) - est: [upper left panel]: total trips (light grey) and trips with yellowbelly flounder catch (dark grey) overlaid with median annual arithmetic CPUE (kg/net_set) for all trips $\boldsymbol{i}$ with positive catch: $A_{y}=\operatorname{median}\left(C_{y, i} / E_{y, i}\right)$ ; [upper right panel]: mean length of net set and mean duration per daily-effort stratum record; [lower left panel]: percentage of trips with no estimated flatfish catch; [lower right panel]: mean number of events per daily-effort stratum record.

## L. 2 Selection of distribution for positive catch records

The best distribution was gamma.


Figure L.3: Diagnostics for alternative distributional assumptions for estimated FLA(TOT) catch in the HG (TOT) - est model. Left: quantile-quantile plot of observed catches (centred (by mean) and scaled (by standard deviation) in log space) versus maximum likelihood fit of distribution (missing panel indicates that the fit failed to converge); Middle: standardised residuals from a generalised linear model fitted using the formula catch $\sim$ fyear + month +area+ vessel + $\log (s e t s)$ and the distribution (missing panel indicates that the model failed to converge); Right: quantile-quantile plot of model standardised residuals against standard normal (vertical lines represent $\mathbf{0 . 1 \%}, \mathbf{1 \%}$ and $10 \%$ percentiles). NLL = negative log-likelihood; AIC = Akaike information criterion.

## L. 3 Positive catch model

Four of five explanatory variables entered the model after fishing year (vessel, month, length of net set, and duration fishing; Table L.2), with area as a non-significant variable (there are virtually no data from Areas 005 or 006 in this model). A plot of the model is provided in Figure L. 4 and the CPUE indices are listed in Table L. 3 .

Table L.2: Order of acceptance of variables into the gamma model of successful catches in the HG ( TOT) est fishery model for core vessels (based on the vessel selection criteria of at least 10 trips in 4 or more fishing years), with the amount of explained deviance and $R^{2}$ for each variable. Variables accepted into the model are marked with an ${ }^{*}$, and the final $\mathbf{R}^{2}$ of the selected model is in bold. Fishing year was forced as the first variable.

| Variable | DF | Neg. Log <br> likelihood | AIC | $\mathbf{R}^{2}$ | Model use |
| :--- | ---: | ---: | ---: | ---: | :---: |
| fishing year | 28 | -347962 | 695982 | 7.0 | $*$ |
| vessel | 130 | -337768 | 675798 | 32.1 | $*$ |
| poly(log(net_length), 3) | 133 | -334387 | 669042 | 38.8 | $*$ |
| month | 144 | -332249 | 664788 | 42.7 | $*$ |
| poly(log(duration, 3) | 147 | -331092 | 662480 | 44.7 | $*$ |
| area | 149 | -331083 | 662466 | 44.8 |  |



Standardised index error bars=+/- 1.96*SE

Figure L.4: Relative CPUE indices for estimated FLA(TOT) catch using the gamma non-zero model based on the HG (TOT) -est fishery definition. Also shown are two unstandardised series from the same data: a) Arithmetic (Eq. H.1) and b) Unstandardised (Eq. H.2).


Figure L.5: [left column]: annual indices from the gamma model of HG(TOT)-est at each step in the variable selection process; [right column]: aggregate influence associated with each step in the variable selection procedure.

## L.3.1 Residual and diagnostic plots



Figure L.6: Plots of the fit of the gamma standardised CPUE model of successful estimated FLA catches in the HG (TOT) - est fishery. [Upper left] histogram of the standardised residuals compared to a gamma distribution; [Upper right] Q-Q plot of the standardised residuals; [Lower left] Standardised residuals plotted against the predicted model catch per trip; [Lower right] Observed catch per record plotted against the predicted catch per record.

## L.3.2 Model coefficient plots



Figure L.7: Effect of vessel in the gamma model for the flatfish HG (TOT) -est fishery. Top: effect by level of variable (left-axis: log space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: $\log$ space additive; top-axis: natural space multiplicative).


Figure L.8: Effect of $\log$ (net_length) in the gamma model for the flatfish HG(TOT) -est fishery. Top: effect by level of variable (left-axis: log space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Figure L.9: Effect of month in the gamma model for the flatfish HG(TOT) - est fishery. Top: effect by level of variable (left-axis: $\log$ space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Figure L.10: Effect of $\log$ (duration) in the gamma model for the flatfish HG (TOT) - est fishery. Top: effect by level of variable (left-axis: $\log$ space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).

## L. 4 <br> CPUE indices

Table L.3: Arithmetic indices for the total and core data sets, geometric and gamma standardised indices and associated standard error (SE) for the core data set by fishing year for the flatfish HG (TOT ) -est analysis. All series (except SE) standardised to geometric mean=1.0.

| Fishing year | All vessels Arithmetic | Arithmetic | Geometric | Core vessels |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | Standardised | SE |
| 1990 | 1.164 | 1.115 | 1.010 | 1.492 | 0.0227 |
| 1991 | 1.138 | 1.074 | 1.038 | 1.409 | 0.0154 |
| 1992 | 1.300 | 1.315 | 1.189 | 1.560 | 0.0156 |
| 1993 | 1.168 | 1.153 | 1.083 | 1.329 | 0.0143 |
| 1994 | 1.350 | 1.301 | 1.270 | 1.496 | 0.0146 |
| 1995 | 1.209 | 1.180 | 1.220 | 1.437 | 0.0146 |
| 1996 | 0.797 | 0.774 | 0.807 | 0.972 | 0.0192 |
| 1997 | 0.815 | 0.823 | 0.861 | 0.946 | 0.0181 |
| 1998 | 0.822 | 0.819 | 0.840 | 0.916 | 0.0187 |
| 1999 | 0.860 | 0.864 | 0.871 | 0.982 | 0.0174 |
| 2000 | 0.829 | 0.864 | 0.838 | 0.918 | 0.0167 |
| 2001 | 0.848 | 0.822 | 0.877 | 0.900 | 0.0154 |
| 2002 | 0.595 | 0.593 | 0.635 | 0.621 | 0.0170 |
| 2003 | 0.830 | 0.850 | 0.916 | 0.779 | 0.0139 |
| 2004 | 0.941 | 0.931 | 0.970 | 0.832 | 0.0144 |
| 2005 | 1.347 | 1.322 | 1.331 | 1.167 | 0.0132 |
| 2006 | 1.448 | 1.420 | 1.445 | 1.193 | 0.0140 |
| 2007 | 1.349 | 1.366 | 1.307 | 1.159 | 0.0143 |
| 2008 | 1.285 | 1.294 | 1.295 | 1.097 | 0.0166 |
| 2009 | 1.143 | 1.158 | 1.165 | 0.945 | 0.0164 |
| 2010 | 1.125 | 1.182 | 1.223 | 0.950 | 0.0154 |
| 2011 | 0.790 | 0.822 | 0.821 | 0.739 | 0.0160 |
| 2012 | 0.847 | 0.857 | 0.883 | 0.757 | 0.0161 |
| 2013 | 0.749 | 0.764 | 0.758 | 0.705 | 0.0156 |
| 2014 | 0.951 | 0.946 | 0.929 | 0.843 | 0.0163 |
| 2015 | 1.053 | 1.057 | 0.979 | 0.861 | 0.0177 |
| 2016 | 0.654 | 0.661 | 0.659 | 0.603 | 0.0193 |
| 2017 | 1.427 | 1.476 | 1.489 | 1.411 | 0.0188 |

## Appendix M. Diagnostics and supporting analyses for Lower Waikato estimated catch CPUE

## M. $1 \quad$ Model definition and preliminary analyses

This CPUE analysis was not accepted by the NINSWG for monitoring Lower Waikato YBF (assumed) in 2018 (Fisheries New Zealand 2018).

## M.1.1 Fishery definition

LW (TOT) -est: The fishery is defined from setnet daily fishing events for fishing in Statistical Areas 041 or 042 capturing flatfish using any species code in Table 16 (positive catch analysis). Criteria for excluding records: net length $<10 \mathrm{~m}$ or $>5000 \mathrm{~m}$; duration $<1$ hour or $>24$ hours.

## M.1.2 Core vessel selection

The criteria used to define the core fleet were those vessels that had fished for at least 10 trips in each of at least 4 years using trips with at least 1 kg of FLA(TOT) catch. These criteria resulted in a core fleet size of 16 vessels which took $87 \%$ of the catch (Figure M.1).

## M.1.3 Data summary

Table M.1: Summaries by fishing year for core vessels, trips, daily effort strata, events that have been "rolled up" into daily effort strata, events per daily-effort stratum, length of net set (in km), hours fished, estimated catch FLA (t), and percentage of trips and daily records with catch for the core vessel data set (based on a minimum of 10 trips per year in 4 years) in the LW (TOT) est fishery.

| Fishing year | Vessels | Trips | Daily effort strata | Events | Events per stratum | Sum (net length [km]) | $\begin{array}{r} \text { Sum } \\ \text { (hours) } \end{array}$ | Estimated catch (t) | \% trips with catch | \% records with catch |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1990 | 8 | 272 | 280 | 304 | 1.09 | 119.30 | 1449 | 9.24 | 100.0 | 100.0 |
| 1991 | 8 | 333 | 369 | 381 | 1.03 | 133.85 | 1778 | 9.54 | 100.0 | 100.0 |
| 1992 | 9 | 391 | 420 | 451 | 1.07 | 136.92 | 2034 | 11.02 | 100.0 | 100.0 |
| 1993 | 10 | 406 | 445 | 474 | 1.07 | 150.63 | 2210 | 15.74 | 100.0 | 100.0 |
| 1994 | 9 | 330 | 349 | 362 | 1.04 | 124.34 | 1743 | 11.92 | 100.0 | 100.0 |
| 1995 | 10 | 395 | 415 | 454 | 1.09 | 171.58 | 2205 | 14.87 | 100.0 | 100.0 |
| 1996 | 10 | 350 | 406 | 449 | 1.11 | 166.04 | 2118 | 13.87 | 100.0 | 100.0 |
| 1997 | 10 | 402 | 445 | 484 | 1.09 | 189.36 | 2284 | 19.52 | 99.8 | 99.8 |
| 1998 | 8 | 400 | 436 | 479 | 1.10 | 172.30 | 2235 | 16.84 | 100.0 | 100.0 |
| 1999 | 8 | 404 | 405 | 487 | 1.20 | 191.05 | 2257 | 20.35 | 100.0 | 100.0 |
| 2000 | 8 | 411 | 411 | 561 | 1.36 | 235.62 | 2522 | 19.34 | 100.0 | 100.0 |
| 2001 | 9 | 529 | 530 | 666 | 1.26 | 269.17 | 2823 | 23.60 | 99.4 | 99.4 |
| 2002 | 9 | 497 | 497 | 609 | 1.23 | 245.36 | 2696 | 20.61 | 100.0 | 100.0 |
| 2003 | 10 | 639 | 640 | 731 | 1.14 | 300.59 | 3352 | 22.20 | 98.0 | 98.0 |
| 2004 | 9 | 610 | 610 | 699 | 1.15 | 298.83 | 3305 | 22.44 | 97.4 | 97.4 |
| 2005 | 8 | 514 | 517 | 556 | 1.08 | 237.95 | 2507 | 18.28 | 98.4 | 98.5 |
| 2006 | 9 | 515 | 520 | 570 | 1.10 | 236.36 | 2900 | 19.12 | 94.6 | 94.6 |
| 2007 | 8 | 410 | 411 | 426 | 1.04 | 157.08 | 1994 | 14.74 | 94.6 | 94.7 |
| 2008 | 7 | 481 | 482 | 490 | 1.02 | 207.21 | 2374 | 17.55 | 90.4 | 90.5 |
| 2009 | 7 | 404 | 404 | 418 | 1.03 | 173.78 | 2309 | 17.12 | 85.2 | 85.2 |
| 2010 | 6 | 287 | 287 | 295 | 1.03 | 126.65 | 1460 | 13.32 | 88.2 | 88.2 |
| 2011 | 8 | 215 | 215 | 219 | 1.02 | 102.49 | 1029 | 11.61 | 94.4 | 94.4 |
| 2012 | 6 | 365 | 365 | 383 | 1.05 | 154.44 | 1886 | 16.18 | 92.6 | 92.6 |
| 2013 | 6 | 382 | 382 | 397 | 1.04 | 166.55 | 2091 | 17.94 | 93.5 | 93.5 |
| 2014 | 6 | 474 | 474 | 511 | 1.08 | 207.26 | 2506 | 22.80 | 94.7 | 94.7 |
| 2015 | 6 | 325 | 325 | 340 | 1.05 | 137.37 | 1855 | 14.87 | 90.5 | 90.5 |
| 2016 | 4 | 220 | 220 | 228 | 1.04 | 100.27 | 1159 | 13.54 | 95.0 | 95.0 |
| 2017 | 5 | 231 | 232 | 235 | 1.01 | 87.90 | 1169 | 14.06 | 100.0 | 100.0 |



Figure M.1: [left panel]: total estimated FLA catch and number of vessels plotted against the number of years used to define core vessels participating in the LW (TOT) - est dataset. The number of qualifying years (minimum number of trips per year) for each series is indicated in the legend. [right panel]: bubble plot showing the number of daily-effort strata for selected core vessels (based on at least $\mathbf{1 0}$ trips in $\mathbf{4}$ or more fishing years) by fishing year.

## M.1.5 Exploratory data plots for core vessel data set



Figure M.2: Core vessel summary plots by fishing year for model LW (TOT) - est: [upper left panel]: total trips (light grey) and trips with flatfish catch (dark grey) overlaid with median annual arithmetic CPUE (kg/net_set) for all trips $i$ with positive catch: $A_{y}=\operatorname{median}\left(C_{y, i} / E_{y, i}\right)$; [upper right panel]: mean length of net set and mean duration per daily-effort stratum record; [lower left panel]: percentage of trips with no estimated catch of flatfish; [lower right panel]: mean number of events per daily-effort stratum record.

## M. 2 Positive catch model

Three of five explanatory variables entered the model after fishing year (vessel, length of net set and duration fishing; Table M.2), with month and area non-significant variables. A plot of the model is provided in Figure M. 3 and the CPUE indices are listed in Table M.3.

Table M.2: Order of acceptance of variables into the log-logistic model of successful catches in the LW (TOT) - est fishery model for core vessels (based on the vessel selection criteria of at least 10 trips in 4 or more fishing years), with the amount of explained deviance and $R^{2}$ for each variable. Variables accepted into the model are marked with an ${ }^{*}$, and the final $\mathbf{R}^{2}$ of the selected model is in bold. Fishing year was forced as the first variable.

| Variable | DF | Neg. Log <br> likelihood | AIC | $\mathbf{R}^{2}$ | Model use |
| :--- | ---: | ---: | ---: | ---: | :---: |
| fishing year | 29 | -50568 | 101195 | 8.9 | $*$ |
| vessel | 44 | -49188 | 98465 | 29.0 | $*$ |
| poly(log(net_length), 3) | 47 | -48669 | 97432 | 35.3 | $*$ |
| poly(log(duration, 3) | 50 | -48568 | 97236 | 36.5 | $*$ |
| month | 61 | -48540 | 97202 | 36.8 |  |
| area | 62 | -48537 | 97198 | 36.8 |  |

## LW(TOT)-est



Fishing Year
Log-logistic --ー・•Arithmetic ------... Unstandardised
Standardised index error bars=+/- 1.96*SE

Figure M.3: Relative CPUE indices for estimated FLA(TOT) catch using the log-logistic non-zero model based on the LW ( TOT ) - est fishery definition. Also shown are two unstandardised series from the same data: a) Arithmetic (Eq. H.1) and b) Unstandardised (Eq. H.2).


Figure M.4: [left column]: annual indices from the log-logistic model of LW (TOT) -est at each step in the variable selection process; [right column]: aggregate influence associated with each step in the variable selection procedure.

## M.2.1 Residual and diagnostic plots



Figure M.5: Plots of the fit of the log-logistic standardised CPUE model of successful estimated FLA(TOT) catches in the LW (TOT) -est fishery. [Upper left] histogram of the standardised residuals compared to a log-logistic distribution; [Upper right] Q-Q plot of the standardised residuals; [Lower left] Standardised residuals plotted against the predicted model catch per trip; [Lower right] Observed catch per record plotted against the predicted catch per record.

## M.2.2 Model coefficient plots



Figure M.6: Effect of vessel in the log-logistic model for the flatfish LW (TOT) - est fishery. Top: effect by level of variable (left-axis: log space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Figure M.7: Effect of log(net_length) in the log-logistic model for the flatfish LW (TOT) - est fishery. Top: effect by level of variable (left-axis: $\log$ space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Figure M.8: Effect of $\log$ (duration) in the log-logistic model for the flatfish LW (TOT) - est fishery. Top: effect by level of variable (left-axis: $\log$ space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Fishing year

Figure M.9: Residual implied coefficients for area $\times$ fishing year interaction (interaction term not offered to the model) in the LW (TOT ) - est SN log-logistic model. Implied coefficients (black points) are calculated as the normalised fishing year coefficient (grey line) plus the mean of the standardised residuals in each fishing year and area. These values approximate the coefficients obtained when an area $\times$ year interaction term is fitted, particularly for those area $\times$ year combinations which have a substantial proportion of the records. The error bars indicate one standard error of the standardised residuals. The information at the top of each panel identifies the plotted category, provides the correlation coefficient (rho) between the category year index and the overall model index, and the number of records supporting the category.

## M. 3 <br> CPUE indices

Table M.3: Arithmetic indices for the total and core data sets, geometric and log-logistic standardised indices and associated standard error (SE) for the core data set by fishing year for the flatfish LW (TOT) -est analysis. All series (except SE) standardised to geometric mean=1.0.

| Fishing | $\begin{array}{rlrrr}\text { All vessels } \\ \text { year }\end{array}$ |  |  | Core vessels |  |
| :--- | ---: | ---: | ---: | ---: | ---: |
| 1990 | 0.901 |  | 0.816 | Grithmeometric | Standardised |$)$ SE

## Appendix N. Diagnostics and supporting analyses for Northwest estimated Сатсн CPUE

## N. 1 Model definition and preliminary analyses

This CPUE analysis was not accepted by the NINSWG for monitoring Northwest YBF (assumed) in 2018 (Fisheries New Zealand 2018).

## N.1.1 Fishery definition

NW (TOT) - est: The fishery is defined from setnet daily fishing events for fishing in Statistical Areas 045,046 or 047 capturing flatfish using any species code in Table 16 (positive catch analysis). Criteria for excluding records: net length $<10 \mathrm{~m}$ or $>5000 \mathrm{~m}$; duration $<1$ hour or $>24$ hours.

## N.1.2 Core vessel selection

The criteria used to define the core fleet were those vessels that had fished for at least 10 trips in each of at least 3 years using trips with at least 1 kg of FLA(TOT) catch. These criteria resulted in a core fleet size of 19 vessels which took 85\% of the catch (Figure N.1).

## N.1.3 Data summary

Table N.1: Summaries by fishing year for core vessels, trips, daily effort strata, events that have been "rolled up" into daily effort strata, events per daily-effort stratum, length of net set (in km), hours fished, estimated catch FLA (t), and percentage of trips and daily records with catch for the core vessel data set (based on a minimum of 10 trips per year in 3 years) in the NW (TOT) est fishery.

| Fishing year | Vessels | Trips | Daily effort strata | Events | Events per stratum | Sum (net length [km]) | $\begin{array}{r} \text { Sum } \\ \text { (hours) } \end{array}$ | $\begin{gathered} \text { Estimated } \\ \text { catch (t) } \end{gathered}$ | \% trips with catch | \% records with catch |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1990 | 6 | 246 | 288 | 318 | 1.10 | 209.60 | 2216 | 17.54 | 100.0 | 100.0 |
| 1991 | 8 | 304 | 337 | 361 | 1.07 | 286.73 | 2677 | 24.21 | 100.0 | 100.0 |
| 1992 | 9 | 574 | 601 | 619 | 1.03 | 430.94 | 5650 | 30.22 | 99.7 | 99.5 |
| 1993 | 10 | 487 | 506 | 543 | 1.07 | 404.02 | 5231 | 25.81 | 99.8 | 99.8 |
| 1994 | 10 | 575 | 602 | 638 | 1.06 | 414.25 | 6752 | 30.47 | 100.0 | 100.0 |
| 1995 | 11 | 525 | 600 | 708 | 1.18 | 370.41 | 6738 | 31.11 | 100.0 | 100.0 |
| 1996 | 8 | 418 | 517 | 595 | 1.15 | 313.23 | 5573 | 26.64 | 99.8 | 99.8 |
| 1997 | 8 | 291 | 393 | 419 | 1.07 | 240.59 | 3698 | 27.46 | 97.9 | 98.5 |
| 1998 | 8 | 295 | 397 | 429 | 1.08 | 233.94 | 4026 | 21.33 | 96.6 | 97.5 |
| 1999 | 5 | 277 | 420 | 442 | 1.05 | 265.30 | 3842 | 29.52 | 93.1 | 95.0 |
| 2000 | 7 | 322 | 457 | 512 | 1.12 | 308.70 | 4922 | 15.93 | 91.3 | 93.2 |
| 2001 | 7 | 356 | 510 | 619 | 1.21 | 365.90 | 4647 | 22.12 | 97.2 | 97.8 |
| 2002 | 5 | 253 | 375 | 421 | 1.12 | 259.00 | 3713 | 22.37 | 100.0 | 100.0 |
| 2003 | 6 | 200 | 293 | 326 | 1.11 | 189.22 | 3263 | 14.51 | 100.0 | 100.0 |
| 2004 | 7 | 353 | 490 | 543 | 1.11 | 322.10 | 5175 | 28.79 | 100.0 | 100.0 |
| 2005 | 6 | 305 | 540 | 639 | 1.18 | 360.90 | 7410 | 28.54 | 100.0 | 100.0 |
| 2006 | 5 | 173 | 425 | 503 | 1.18 | 287.50 | 5554 | 17.18 | 100.0 | 100.0 |
| 2007 | 4 | 138 | 351 | 386 | 1.10 | 236.52 | 4047 | 10.76 | 100.0 | 99.4 |
| 2008 | 4 | 133 | 335 | 388 | 1.16 | 217.90 | 3627 | 12.07 | 100.0 | 100.0 |
| 2009 | 4 | 140 | 436 | 479 | 1.10 | 309.10 | 4531 | 15.96 | 100.0 | 100.0 |
| 2010 | 4 | 128 | 434 | 501 | 1.15 | 336.73 | 4510 | 13.58 | 100.0 | 100.0 |
| 2011 | 4 | 157 | 364 | 378 | 1.04 | 242.70 | 3295 | 9.59 | 100.0 | 100.0 |
| 2012 | 3 | 198 | 318 | 363 | 1.14 | 208.20 | 2923 | 6.59 | 99.0 | 99.4 |
| 2013 | 4 | 163 | 267 | 290 | 1.09 | 170.50 | 2472 | 9.35 | 100.0 | 100.0 |
| 2014 | 4 | 282 | 458 | 463 | 1.01 | 315.50 | 4988 | 15.19 | 100.0 | 100.0 |
| 2015 | 3 | 83 | 194 | 213 | 1.10 | 106.90 | 1473 | 4.25 | 98.8 | 99.5 |
| 2016 | 3 | 126 | 230 | 252 | 1.10 | 150.80 | 2173 | 7.29 | 99.2 | 99.6 |
| 2017 | 2 | 139 | 210 | 246 | 1.17 | 147.70 | 2114 | 6.04 | 100.0 | 100.0 |



Figure N.1: [left panel]: total estimated FLA catch and number of vessels plotted against the number of years used to define core vessels participating in the NW ( TOT ) - est dataset. The number of qualifying years (minimum number of trips per year) for each series is indicated in the legend. [right panel]: bubble plot showing the number of daily-effort strata for selected core vessels (based on at least $\mathbf{1 0}$ trips in $\mathbf{3}$ or more fishing years) by fishing year.

## N.1.5 Exploratory data plots for core vessel data set



Figure N.2: Core vessel summary plots by fishing year for model NW (TOT) - est: [upper left panel]: total trips (light grey) and trips with flatfish catch (dark grey) overlaid with median annual arithmetic CPUE (kg/net_set) for all trips $i$ with positive catch: $A_{y}=\operatorname{median}\left(C_{y, i} / E_{y, i}\right)$; [upper right panel]: mean length of net set and mean duration per daily-effort stratum record; [lower left panel]: percentage of trips with no estimated catch of flatfish; [lower right panel]: mean number of events per daily-effort stratum record.

## N. 2 Positive catch model

Two of five explanatory variables entered the model after fishing year (vessel and length of net set; Table N.2), with duration and month non-significant variables. The area variable was dropped by the software before the fitting procedure because Area 046 appears to have supplied the main signal (see Figure N.8). A plot of the model is provided in Figure N. 3 and the CPUE indices are listed in Table N.3.

Table N.2: Order of acceptance of variables into the log-logistic model of successful catches in the NW (TOT) - est fishery model for core vessels (based on the vessel selection criteria of at least 10 trips in $\mathbf{3}$ or more fishing years), with the amount of explained deviance and $\mathbf{R}^{2}$ for each variable. Variables accepted into the model are marked with an ${ }^{*}$, and the final $\mathbf{R}^{2}$ of the selected model is in bold. Fishing year was forced as the first variable.

| Variable | DF | Neg. Log <br> likelihood | AIC | $\mathbf{R}^{2}$ | Model use |
| :--- | ---: | ---: | ---: | ---: | :---: |
| fishing year | 29 | -5677 | 105413 | 6.4 | $*$ |
| vessel | 47 | -48089 | 96271 | 58.8 | $*$ |
| poly(log(nnet_length), 3) | 50 | -47674 | 95448 | 61.7 | $*$ |
| poly(log(duration, 3) | 53 | -46606 | 95319 | 62.2 |  |
| month | 64 | -47547 | 95222 | 62.6 |  |
| area [dropped] | - | - | - | - |  |



Standardised index error bars $=+/-1.96 *$ SE

Figure N.3: Relative CPUE indices for estimated FLA(TOT) catch using the log-logistic non-zero model based on the NW ( TOT ) - est fishery definition. Also shown are two unstandardised series from the same data: a) Arithmetic (Eq. H.1) and b) Unstandardised (Eq. H.2).


Figure N.4: [left column]: annual indices from the log-logistic model of NW (TOT) -est at each step in the variable selection process; [right column]: aggregate influence associated with each step in the variable selection procedure.

## N.2.1 Residual and diagnostic plots



Figure N.5: Plots of the fit of the log-logistic standardised CPUE model of successful estimated FLA(TOT) catches in the NW (TOT) -est fishery. [Upper left] histogram of the standardised residuals compared to a log-logistic distribution; [Upper right] Q-Q plot of the standardised residuals; [Lower left] Standardised residuals plotted against the predicted model catch per trip; [Lower right] Observed catch per record plotted against the predicted catch per record.

## N.2.2 Model coefficient plots



Figure N.6: Effect of vessel in the log-logistic model for the flatfish NW (TOT) -est fishery. Top: effect by level of variable (left-axis: log space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: $\log$ space additive; top-axis: natural space multiplicative).


Figure N.7: Effect of log(net_length) in the log-logistic model for the flatfish NW (TOT) - est fishery. Top: effect by level of variable (left-axis: $\log$ space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Fishing year

Figure N.8: Residual implied coefficients for area $\times$ fishing year interaction (interaction term not offered to the model) in the NW (TOT ) - est SN log-logistic model. Implied coefficients (black points) are calculated as the normalised fishing year coefficient (grey line) plus the mean of the standardised residuals in each fishing year and area. These values approximate the coefficients obtained when an area $\times$ year interaction term is fitted, particularly for those area $\times$ year combinations which have a substantial proportion of the records. The error bars indicate one standard error of the standardised residuals. The information at the top of each panel identifies the plotted category, provides the correlation coefficient (rho) between the category year index and the overall model index, and the number of records supporting the category.

## N. 3 CPUE indices

Table N.3: Arithmetic indices for the total and core data sets, geometric and log-logistic standardised indices and associated standard error (SE) for the core data set by fishing year for the flatfish NW (TOT ) -est analysis. All series (except SE) standardised to geometric mean=1.0.

| Fishing | All vessels <br> year |  |  | Core vessels |  |
| :--- | ---: | ---: | ---: | ---: | ---: |
| 1990 | 1.408 | 1.438 | Geometric | Standardised | SE |
| 1991 | 1.667 | 1.696 | 1.053 | 1.533 | 0.0360 |
| 1992 | 1.117 | 1.187 | 0.964 | 1.461 | 0.0363 |
| 1993 | 1.260 | 1.204 | 1.147 | 1.198 | 0.0285 |
| 1994 | 1.185 | 1.195 | 1.201 | 1.237 | 0.0310 |
| 1995 | 1.462 | 1.224 | 1.020 | 1.439 | 0.0288 |
| 1996 | 1.336 | 1.216 | 0.848 | 1.328 | 0.0264 |
| 1997 | 1.583 | 1.649 | 1.209 | 1.775 | 0.0291 |
| 1998 | 1.171 | 1.268 | 1.056 | 1.755 | 0.0318 |
| 1999 | 1.618 | 1.659 | 1.390 | 1.671 | 0.0303 |
| 2000 | 0.854 | 0.823 | 0.932 | 1.226 | 0.0319 |
| 2001 | 1.056 | 1.024 | 1.065 | 1.216 | 0.0285 |
| 2002 | 1.322 | 1.408 | 1.399 | 1.253 | 0.0311 |
| 2003 | 1.127 | 1.169 | 1.272 | 1.238 | 0.0342 |
| 2004 | 1.323 | 1.387 | 1.509 | 1.327 | 0.0260 |
| 2005 | 1.220 | 1.247 | 1.225 | 0.999 | 0.0253 |
| 2006 | 0.948 | 0.954 | 1.057 | 0.866 | 0.0284 |
| 2007 | 0.724 | 0.724 | 0.830 | 0.638 | 0.0301 |
| 2008 | 0.825 | 0.850 | 0.926 | 0.731 | 0.0308 |
| 2009 | 0.812 | 0.864 | 0.888 | 0.661 | 0.0276 |
| 2010 | 0.722 | 0.738 | 0.845 | 0.607 | 0.0280 |
| 2011 | 0.614 | 0.622 | 0.707 | 0.562 | 0.0290 |
| 2012 | 0.538 | 0.489 | 0.585 | 0.475 | 0.0312 |
| 2013 | 0.809 | 0.827 | 0.962 | 0.800 | 0.0332 |
| 2014 | 0.797 | 0.783 | 0.872 | 0.650 | 0.0280 |
| 2015 | 0.550 | 0.517 | 0.625 | 0.619 | 0.0408 |
| 2016 | 0.742 | 0.748 | 0.915 | 0.793 | 0.0379 |
| 2017 | 0.696 | 0.679 | 0.769 | 0.598 | 0.0370 |

## Appendix O. Diagnostics and supporting analyses for East Northland estimated catch CPUE

### 0.1 Model definition and preliminary analyses

This CPUE analysis was not accepted by the NINSWG for monitoring East Northland total flatfish in 2018 (Fisheries New Zealand 2018).

## O.1.1 Fishery definition

EN(TOT)-est: The fishery is defined from setnet daily fishing events for fishing in Statistical Areas 002 or 003 capturing flatfish using any species code in Table 16 (positive catch analysis). Criteria for excluding records: net length $<10 \mathrm{~m}$ or $>5000 \mathrm{~m}$; duration $<1$ hour or $>24$ hours.

## O.1.2 Core vessel selection

The criteria used to define the core fleet were those vessels that had fished for at least 10 trips in each of at least 4 years using trips with at least 1 kg of FLA(TOT) catch. These criteria resulted in a core fleet size of 25 vessels which took $80 \%$ of the catch (Figure O.1).

## O.1.3 Data summary

Table 0.1: Summaries by fishing year for core vessels, trips, daily effort strata, events that have been "rolled up" into daily effort strata, events per daily-effort stratum, length of net set (in km), hours fished, estimated catch FLA (t), and percentage of trips and daily records with catch for the core vessel data set (based on a minimum of 10 trips per year in 4 years) in the EN (TOT) est fishery.

| Fishing year | Vessels | Trips | Daily effort strata | Events | Events per stratum | Sum (net length [km]) | $\begin{array}{r} \text { Sum } \\ \text { (hours) } \end{array}$ | Estimated catch (t) | \% trips with catch | \% records with catch |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1990 | 6 | 382 | 416 | 477 | 1.15 | 263.05 | 8129 | 13.07 | 99.7 | 99.5 |
| 1991 | 6 | 188 | 265 | 275 | 1.04 | 153.82 | 3416 | 10.62 | 100.0 | 100.0 |
| 1992 | 10 | 382 | 386 | 413 | 1.07 | 218.65 | 4291 | 16.96 | 100.0 | 100.0 |
| 1993 | 10 | 582 | 596 | 612 | 1.03 | 372.69 | 6476 | 25.30 | 99.3 | 99.3 |
| 1994 | 10 | 819 | 838 | 904 | 1.08 | 548.05 | 9940 | 25.62 | 99.2 | 99.1 |
| 1995 | 9 | 780 | 798 | 850 | 1.07 | 588.93 | 10241 | 31.40 | 99.5 | 99.5 |
| 1996 | 9 | 489 | 558 | 586 | 1.05 | 354.15 | 7701 | 17.72 | 94.7 | 95.2 |
| 1997 | 10 | 218 | 287 | 337 | 1.17 | 212.06 | 4052 | 17.55 | 96.8 | 97.2 |
| 1998 | 8 | 437 | 466 | 504 | 1.08 | 362.94 | 5025 | 20.24 | 99.1 | 99.1 |
| 1999 | 10 | 617 | 625 | 676 | 1.08 | 460.53 | 7149 | 22.62 | 91.3 | 91.4 |
| 2000 | 11 | 712 | 735 | 785 | 1.07 | 585.17 | 8510 | 29.51 | 98.2 | 98.2 |
| 2001 | 10 | 807 | 825 | 886 | 1.07 | 638.44 | 9358 | 32.66 | 99.8 | 99.8 |
| 2002 | 12 | 724 | 729 | 771 | 1.06 | 532.32 | 7931 | 35.45 | 100.0 | 100.0 |
| 2003 | 12 | 1191 | 1198 | 1235 | 1.03 | 875.02 | 12732 | 41.47 | 99.7 | 99.7 |
| 2004 | 16 | 1397 | 1535 | 1587 | 1.03 | 1103.93 | 21359 | 52.13 | 99.9 | 99.9 |
| 2005 | 15 | 1514 | 1717 | 1763 | 1.03 | 1193.08 | 24386 | 63.62 | 99.9 | 99.9 |
| 2006 | 16 | 1196 | 1363 | 1419 | 1.04 | 1026.09 | 18542 | 45.68 | 99.6 | 99.6 |
| 2007 | 15 | 1040 | 1146 | 1206 | 1.05 | 775.01 | 17328 | 37.30 | 99.6 | 99.7 |
| 2008 | 14 | 867 | 915 | 980 | 1.07 | 638.37 | 14888 | 28.79 | 99.9 | 99.9 |
| 2009 | 11 | 810 | 844 | 898 | 1.06 | 566.02 | 13871 | 34.35 | 100.0 | 100.0 |
| 2010 | 11 | 748 | 786 | 876 | 1.11 | 548.78 | 12168 | 30.50 | 100.0 | 100.0 |
| 2011 | 13 | 840 | 869 | 963 | 1.11 | 642.22 | 14724 | 32.47 | 99.8 | 99.8 |
| 2012 | 11 | 816 | 879 | 986 | 1.12 | 692.65 | 14866 | 31.09 | 99.6 | 99.7 |
| 2013 | 10 | 822 | 860 | 992 | 1.15 | 657.55 | 14774 | 27.84 | 99.8 | 99.8 |
| 2014 | 10 | 839 | 844 | 930 | 1.10 | 645.22 | 14612 | 25.84 | 99.8 | 99.8 |
| 2015 | 9 | 552 | 554 | 613 | 1.11 | 416.09 | 10145 | 21.10 | 99.8 | 99.8 |
| 2016 | 7 | 596 | 598 | 659 | 1.10 | 421.32 | 10493 | 20.92 | 100.0 | 100.0 |
| 2017 | 8 | 486 | 489 | 562 | 1.15 | 327.87 | 8641 | 19.17 | 99.8 | 99.8 |



Figure O.1: [left panel]: total estimated FLA catch and number of vessels plotted against the number of years used to define core vessels participating in the EN (TOT) -est dataset. The number of qualifying years (minimum number of trips per year) for each series is indicated in the legend. [right panel]: bubble plot showing the number of daily-effort strata for selected core vessels (based on at least $\mathbf{1 0}$ trips in $\mathbf{4}$ or more fishing years) by fishing year.

### 0.1.5 Exploratory data plots for core vessel data set



Figure O.2: Core vessel summary plots by fishing year for model EN (TOT) - est: [upper left panel]: total trips (light grey) and trips with flatfish catch (dark grey) overlaid with median annual arithmetic CPUE (kg/net_set) for all trips $i$ with positive catch: $A_{y}=\operatorname{median}\left(C_{y, i} / E_{y, i}\right)$; [upper right panel]: mean length of net set and mean duration per daily-effort stratum record; [lower left panel]: percentage of trips with no estimated catch of flatfish; [lower right panel]: mean number of events per daily-effort stratum record.

## O.2 Positive catch model

Three of five explanatory variables entered the model after fishing year (vessel, length of net set and duration of fishing; Table O.2), with month and area non-significant variables. A plot of the model is provided in Figure O. 3 and the CPUE indices are listed in Table O.3.

Table O.2: Order of acceptance of variables into the log-logistic model of successful catches in the EN ( TOT ) - est fishery model for core vessels (based on the vessel selection criteria of at least 10 trips in 4 or more fishing years), with the amount of explained deviance and $R^{2}$ for each variable. Variables accepted into the model are marked with an ${ }^{*}$, and the final $\mathbf{R}^{2}$ of the selected model is in bold. Fishing year was forced as the first variable.

| Variable | DF | Neg. Log <br> likelihood | AIC | $\mathbf{R}^{2}$ | Model use |
| :--- | ---: | ---: | ---: | ---: | :---: |
| fishing year | 29 | -95842 | 191742 | 3.4 | $*$ |
| vessel | 53 | -94580 | 189266 | 14.0 | $*$ |
| poly(log(net_length), 3) | 56 | -93497 | 187107 | 22.1 | $*$ |
| poly(log(duration, 3) | 67 | -93162 | 186458 | 24.5 | $*$ |
| month | 70 | -93131 | 186402 | 24.7 |  |
| area | 71 | -93105 | 186352 | 24.9 |  |

## EN(TOT)-est




Standardised index error bars $=+/-1.96 *$ SE

Figure O.3: Relative CPUE indices for estimated FLA(TOT) catch using the log-logistic non-zero model based on the EN ( TOT ) - est fishery definition. Also shown are two unstandardised series from the same data: a) Arithmetic (Eq. H.1) and b) Unstandardised (Eq. H.2).


Figure O.4: [left column]: annual indices from the log-logistic model of EN(TOT) -est at each step in the variable selection process; [right column]: aggregate influence associated with each step in the variable selection procedure.

## O.2.1 Residual and diagnostic plots



Figure O.5: Plots of the fit of the log-logistic standardised CPUE model of successful estimated FLA(TOT) catches in the EN (TOT) - est fishery. [Upper left] histogram of the standardised residuals compared to a log-logistic distribution; [Upper right] Q-Q plot of the standardised residuals; [Lower left] Standardised residuals plotted against the predicted model catch per trip; [Lower right] Observed catch per record plotted against the predicted catch per record.

## O.2.2 Model coefficient plots



Figure O.6: Effect of vessel in the log-logistic model for the flatfish EN (TOT) -est fishery. Top: effect by level of variable (left-axis: log space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Figure 0.7: Effect of $\log$ (net_length) in the log-logistic model for the flatfish EN (TOT) - est fishery. Top: effect by level of variable (left-axis: $\log$ space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Figure O.8: Effect of month in the log-logistic model for the flatfish EN (TOT ) - est fishery. Top: effect by level of variable (left-axis: log space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Fishing year

Figure O.9: Residual implied coefficients for area $\times$ fishing year interaction (interaction term not offered to the model) in the EN (TOT ) - est SN log-logistic model. Implied coefficients (black points) are calculated as the normalised fishing year coefficient (grey line) plus the mean of the standardised residuals in each fishing year and area. These values approximate the coefficients obtained when an area $\times$ year interaction term is fitted, particularly for those area $\times$ year combinations which have a substantial proportion of the records. The error bars indicate one standard error of the standardised residuals. The information at the top of each panel identifies the plotted category, provides the correlation coefficient (rho) between the category year index and the overall model index, and the number of records supporting the category.

### 0.3 CPUE indices

Table O.3: Arithmetic indices for the total and core data sets, geometric and log-logistic standardised indices and associated standard error (SE) for the core data set by fishing year for the flatfish EN (TOT) -est analysis. All series (except SE) standardised to geometric mean=1.0.

| Fishing year | All vessels Arithmetic | Arithmetic | Geometric | Standardised | Core vessels |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  | SE |
| 1990 | 0.900 | 0.841 | 0.803 | 1.035 | 0.0325 |
| 1991 | 1.020 | 1.072 | 0.867 | 1.039 | 0.0377 |
| 1992 | 1.241 | 1.175 | 0.929 | 1.308 | 0.0340 |
| 1993 | 1.237 | 1.136 | 0.990 | 1.310 | 0.0271 |
| 1994 | 0.998 | 0.818 | 0.742 | 0.994 | 0.0227 |
| 1995 | 1.069 | 1.053 | 1.037 | 1.189 | 0.0232 |
| 1996 | 1.014 | 0.850 | 0.809 | 0.990 | 0.0286 |
| 1997 | 1.457 | 1.636 | 1.158 | 1.374 | 0.0461 |
| 1998 | 1.063 | 1.162 | 0.935 | 1.041 | 0.0308 |
| 1999 | 0.907 | 0.968 | 0.891 | 0.999 | 0.0276 |
| 2000 | 1.028 | 1.074 | 0.941 | 0.956 | 0.0246 |
| 2001 | 1.022 | 1.059 | 0.988 | 0.976 | 0.0257 |
| 2002 | 1.181 | 1.301 | 1.228 | 1.130 | 0.0236 |
| 2003 | 0.964 | 0.926 | 1.054 | 0.945 | 0.0182 |
| 2004 | 0.943 | 0.909 | 1.042 | 0.953 | 0.0171 |
| 2005 | 0.987 | 0.991 | 1.152 | 1.035 | 0.0162 |
| 2006 | 0.894 | 0.897 | 0.990 | 0.881 | 0.0179 |
| 2007 | 0.857 | 0.871 | 0.962 | 0.887 | 0.0184 |
| 2008 | 0.824 | 0.842 | 1.004 | 0.880 | 0.0199 |
| 2009 | 1.064 | 1.089 | 1.233 | 1.111 | 0.0205 |
| 2010 | 1.004 | 1.038 | 1.175 | 1.050 | 0.0214 |
| 2011 | 0.941 | 1.000 | 1.155 | 1.002 | 0.0203 |
| 2012 | 0.903 | 0.946 | 1.122 | 0.889 | 0.0203 |
| 2013 | 0.844 | 0.866 | 0.988 | 0.826 | 0.0214 |
| 2014 | 0.833 | 0.819 | 0.934 | 0.801 | 0.0212 |
| 2015 | 1.047 | 1.019 | 1.031 | 0.896 | 0.0268 |
| 2016 | 0.905 | 0.936 | 0.989 | 0.851 | 0.0252 |
| 2017 | 1.098 | 1.049 | 1.066 | 0.916 | 0.0284 |

## Appendix P. Diagnostics and supporting analyses for Hauraki Gulf SFL estimated catch CPUE

## P. 1 Model definition and preliminary analyses

This CPUE analysis was not accepted by the NINSWG for monitoring Hauraki Gulf sand flounder (SFL) in 2018 (Fisheries New Zealand 2018). This was because reporting of SFL catches diminished considerably in the 2000s, leading to a large proportion of zero-catch records and concerns about possible bias in the reporting of this species (see upper and lower left panels in Figure P.2).

## P.1.1 Fishery definition

HG(SFL)-est: The fishery is defined from setnet daily fishing events for fishing in Statistical Areas 005 , 006 or 007 capturing flatfish using species code SFL (positive catch analysis). Criteria for excluding records: net length $<10 \mathrm{~m}$ or $>5000 \mathrm{~m}$; duration $<1$ hour or $>24$ hours.

## P.1.2 Core vessel selection

The criteria used to define the core fleet were those vessels that had fished for at least 10 trips in each of at least 4 years using trips with at least 1 kg of SFL catch. These criteria resulted in a core fleet size of 43 vessels which took $81 \%$ of the catch (Figure P.1).

## P.1.3 Data summary

Table P.1: $\quad$ Summaries by fishing year for core vessels, trips, daily effort strata, events that have been "rolled up" into daily effort strata, events per daily-effort stratum, length of net set (in km), hours fished, estimated catch SFL ( $\mathbf{t}$ ), and percentage of trips and daily records with catch for the core vessel data set (based on a minimum of 10 trips per year in 4 years) in the HG(SFL)est fishery.

| Fishing year | Vessels | Trips | Daily effort strata | Events | Events per stratum | Sum (net length [km]) | $\begin{gathered} \text { Sum } \\ \text { (hours) } \end{gathered}$ | Estimated catch (t) | \% trips with catch | \% records with catch |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1991 | 21 | 1339 | 1401 | 1556 | 1.11 | 1223.48 | 8631 | 28.57 | 68.5 | 67.7 |
| 1992 | 22 | 1235 | 1259 | 1429 | 1.14 | 1076.29 | 7471 | 28.45 | 67.3 | 66.6 |
| 1993 | 24 | 1661 | 1693 | 1866 | 1.10 | 1582.21 | 9782 | 38.65 | 75.3 | 74.1 |
| 1994 | 24 | 1682 | 1732 | 1883 | 1.09 | 1623.12 | 9988 | 84.41 | 85.6 | 84.1 |
| 1995 | 23 | 1468 | 1505 | 1622 | 1.08 | 1400.70 | 8271 | 52.18 | 86.6 | 86.2 |
| 1996 | 20 | 854 | 873 | 959 | 1.10 | 802.40 | 5267 | 24.99 | 87.7 | 87.6 |
| 1997 | 19 | 1116 | 1146 | 1326 | 1.16 | 1124.35 | 5987 | 21.02 | 77.2 | 76.3 |
| 1998 | 20 | 1011 | 1031 | 1182 | 1.15 | 1013.25 | 5057 | 18.31 | 67.4 | 66.5 |
| 1999 | 21 | 1158 | 1179 | 1355 | 1.15 | 1073.05 | 6064 | 20.18 | 71.5 | 71.3 |
| 2000 | 22 | 1268 | 1294 | 1444 | 1.12 | 1180.27 | 6645 | 37.11 | 84.9 | 84.3 |
| 2001 | 24 | 1570 | 1604 | 1855 | 1.16 | 1522.36 | 8828 | 26.59 | 81.2 | 80.9 |
| 2002 | 25 | 1198 | 1258 | 1457 | 1.16 | 1243.38 | 7375 | 11.48 | 57.4 | 56.1 |
| 2003 | 26 | 1851 | 1929 | 2315 | 1.20 | 2197.80 | 13258 | 9.12 | 41.1 | 40.3 |
| 2004 | 27 | 1670 | 1730 | 2140 | 1.24 | 1920.81 | 12720 | 17.19 | 54.5 | 53.2 |
| 2005 | 27 | 2024 | 2041 | 2407 | 1.18 | 2298.89 | 13801 | 34.94 | 56.7 | 56.5 |
| 2006 | 22 | 1799 | 1813 | 2057 | 1.13 | 1965.30 | 12953 | 38.93 | 49.7 | 49.5 |
| 2007 | 23 | 1615 | 1626 | 1916 | 1.18 | 1744.25 | 11141 | 14.27 | 32.5 | 32.4 |
| 2008 | 21 | 1165 | 1173 | 1395 | 1.19 | 1161.56 | 6996 | 7.11 | 27.6 | 27.5 |
| 2009 | 20 | 1192 | 1197 | 1370 | 1.14 | 1141.02 | 6524 | 6.62 | 28.0 | 27.9 |
| 2010 | 22 | 1200 | 1227 | 1425 | 1.16 | 1165.75 | 6014 | 7.23 | 41.3 | 40.8 |
| 2011 | 18 | 1031 | 1123 | 1278 | 1.14 | 959.61 | 4818 | 4.76 | 37.3 | 36.2 |
| 2012 | 19 | 1098 | 1251 | 1429 | 1.14 | 1098.31 | 5510 | 10.42 | 54.5 | 51.2 |
| 2013 | 20 | 1273 | 1442 | 1670 | 1.16 | 1282.05 | 6837 | 22.25 | 68.6 | 68.2 |
| 2014 | 18 | 1240 | 1376 | 1567 | 1.14 | 1209.00 | 6483 | 24.46 | 66.6 | 64.5 |
| 2015 | 19 | 954 | 1069 | 1264 | 1.18 | 955.64 | 5726 | 20.10 | 56.8 | 56.1 |
| 2016 | 17 | 780 | 874 | 1028 | 1.18 | 755.25 | 4124 | 8.24 | 55.0 | 53.1 |
| 2017 | 14 | 864 | 955 | 1134 | 1.19 | 781.48 | 4303 | 31.07 | 74.3 | 73.4 |

## P.1.4 Core vessel plots



Figure P.1: [left panel]: total estimated SFL catch and number of vessels plotted against the number of years used to define core vessels participating in the HG(SFL)-est dataset. The number of qualifying years (minimum number of trips per year) for each series is indicated in the legend. [right panel]: bubble plot showing the number of daily-effort strata for selected core vessels (based on at least $\mathbf{1 0}$ trips in $\mathbf{4}$ or more fishing years) by fishing year.

## P.1.5 Exploratory data plots for core vessel data set



Figure P.2: Core vessel summary plots by fishing year for model HG(SFL)-est: [upper left panel]: total trips (light grey) and trips with SFL catch (dark grey) overlaid with median annual arithmetic CPUE (kg/net_set) for all trips $\boldsymbol{i}$ with positive catch: $A_{y}=\operatorname{median}\left(C_{y, i} / E_{y, i}\right)$; [upper right panel]: mean length of net set and mean duration per daily-effort stratum record; [lower left panel]: percentage of trips with no estimated catch of SFL; [lower right panel]: mean number of events per daily-effort stratum record.

## P. 2 Positive catch model

The underlying positive catch distribution was forced to lognormal for consistency with Kendrick \& Bentley (2015). Three of four explanatory variables entered the model after fishing year (vessel, month and duration of fishing; Table P.2), with length of net set a non-significant variable. A plot of the model is provided in Figure P.3and the CPUE indices are listed in Table P.3.

Table P.2: Order of acceptance of variables into the lognormal model of successful catches in the HG(SFL)-est fishery model for core vessels (based on the vessel selection criteria of at least 10 trips in 4 or more fishing years), with the amount of explained deviance and $\mathbf{R}^{2}$ for each variable. Variables accepted into the model are marked with an ${ }^{*}$, and the final $\mathbf{R}^{2}$ of the selected model is in bold. Fishing year was forced as the first variable.

| Variable | DF | Neg. Log <br> likelihood | AIC | $\mathbf{R}^{2}$ | Model use |
| :--- | ---: | ---: | ---: | ---: | :---: |
| fishing year | 27 | -22162 | 44377 | 17.2 | $*$ |
| vessel | 69 | -18117 | 36373 | 40.5 | $*$ |
| month | 79 | -17455 | 35069 | 43.9 | $*$ |
| poly(log(duration, 3) | 82 | -17229 | 34623 | 45.0 | $*$ |
| poly(log(net_length), 3) | 85 | -17209 | 34588 | 45.1 |  |



Standardised index error bars $=+/-1.96 * S E$

Figure P.3: Relative CPUE indices for estimated SFL(TOT) catch using the lognormal non-zero model based on the HG(SFL)-est fishery definition. Also shown are two unstandardised series from the same data: a) Arithmetic (Eq. H.1) and b) Unstandardised (Eq. H.2).


Figure P.4: [left column]: annual indices from the lognormal model of HG(SFL)-est at each step in the variable selection process; [right column]: aggregate influence associated with each step in the variable selection procedure.

## P.2.1 Residual and diagnostic plots



Figure P.5: Plots of the fit of the lognormal standardised CPUE model of successful estimated SFL(TOT) catches in the HG(SFL)-est fishery. [Upper left] histogram of the standardised residuals compared to a lognormal distribution; [Upper right] Q-Q plot of the standardised residuals; [Lower left] Standardised residuals plotted against the predicted model catch per trip; [Lower right] Observed catch per record plotted against the predicted catch per record.

## P.2.2 Model coefficient plots



Figure P.6: Effect of vessel in the lognormal model for the HG(SFL)-est fishery. Top: effect by level of variable (left-axis: log space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: $\log$ space additive; top-axis: natural space multiplicative).


Figure P.7: Effect of month in the lognormal model for the HG(SFL)-est fishery. Top: effect by level of variable (left-axis: log space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).


Figure P.8: Effect of $\log$ (duration) in the lognormal model for the HG(SFL)-est fishery. Top: effect by level of variable (left-axis: $\log$ space additive; right-axis: natural space multiplicative). Bottom-left: distribution of variable by fishing year. Bottom-right: cumulative effect of variable by fishing year (bottom-axis: log space additive; top-axis: natural space multiplicative).

## P. 3 CPUE indices

Table P.3: Arithmetic indices for the total and core data sets, geometric and lognormal standardised indices and associated standard error (SE) for the core data set by fishing year for the HG(SFL)-est analysis. All series (except SE) standardised to geometric mean=1.0.

| Fishing | All vessels |  |  | Core vessels |  |
| :--- | ---: | ---: | ---: | ---: | ---: |
| year | Arithmetic | Arithmetic | Geometric | Standardised | SE |
| 1991 | 1.186 | 1.412 | 1.077 | 1.352 | 0.0347 |
| 1992 | 1.403 | 1.564 | 1.386 | 1.858 | 0.0357 |
| 1993 | 1.327 | 1.580 | 1.469 | 1.824 | 0.0302 |
| 1994 | 3.532 | 3.373 | 2.648 | 3.257 | 0.0288 |
| 1995 | 2.538 | 2.400 | 2.109 | 2.654 | 0.0295 |
| 1996 | 1.929 | 1.981 | 1.726 | 2.120 | 0.0376 |
| 1997 | 1.369 | 1.270 | 1.114 | 1.209 | 0.0350 |
| 1998 | 1.406 | 1.229 | 1.157 | 1.266 | 0.0387 |
| 1999 | 1.288 | 1.185 | 1.085 | 1.103 | 0.0351 |
| 2000 | 2.013 | 1.985 | 1.454 | 1.550 | 0.0315 |
| 2001 | 1.304 | 1.147 | 1.001 | 1.036 | 0.0298 |
| 2002 | 0.702 | 0.632 | 0.634 | 0.638 | 0.0386 |
| 2003 | 0.342 | 0.327 | 0.513 | 0.412 | 0.0374 |
| 2004 | 0.727 | 0.688 | 0.694 | 0.551 | 0.0338 |
| 2005 | 1.388 | 1.185 | 1.097 | 0.834 | 0.0321 |
| 2006 | 1.440 | 1.486 | 1.329 | 0.883 | 0.0355 |
| 2007 | 0.510 | 0.608 | 0.792 | 0.570 | 0.0460 |
| 2008 | 0.381 | 0.419 | 0.800 | 0.586 | 0.0562 |
| 2009 | 0.336 | 0.383 | 0.703 | 0.602 | 0.0544 |
| 2010 | 0.310 | 0.408 | 0.651 | 0.629 | 0.0449 |
| 2011 | 0.254 | 0.293 | 0.462 | 0.443 | 0.0498 |
| 2012 | 0.672 | 0.577 | 0.696 | 0.740 | 0.0402 |
| 2013 | 1.239 | 1.068 | 0.858 | 0.945 | 0.0339 |
| 2014 | 1.527 | 1.231 | 0.938 | 1.027 | 0.0348 |
| 2015 | 1.327 | 1.302 | 1.186 | 1.105 | 0.0424 |
| 2016 | 0.635 | 0.653 | 0.605 | 0.629 | 0.0469 |
| 2017 | 2.092 | 2.252 | 1.310 | 1.477 | 0.0387 |

## Appendix Q. Comparison of CPUE series based on estimated catch with scaled catches using the F2 algorithm

## Q. 1 Introduction

This report implemented a catch correction algorithm (Appendix F) developed by Kendrick \& Bentley (2012b) to correct for rig (SPO 1) being landed using intermediate destination codes and subsequently sold to a LFR at a later date. This catch correction algorithm has also been adopted by the rock lobster CPUE analyses in order to correct for similar behaviour by the parts of the rock lobster fleet which store lobsters in holding pots for subsequent sale (Starr 2018), where it is known as the "F2" algorithm.

## Q. 2 Comparison plots

Figure Q. 1 and Figure Q. 2 compare series analysed using data where the catch vector has been prepared with the F2 algorithm (Appendix F) with series prepared using the same data except that the catch vector was based on unmodified estimated catch.

When these comparisons were reviewed in April 2018, the NINSWG noted that, while the F2 algorithm represented a potential improvement in the analysis of these data, the correspondence between series prepared using the alternative catch vectors was sufficiently good that "... this additional step appeared to be unnecessary at this time but may become more important in future years" (Fisheries New Zealand, 2018 - Chapter 20).


Figure Q.1: Comparison of series based on catch data prepared using the F2 algorithm and from estimated catch; [upper left panel]: Manukau Harbour; [upper right panel]: Kaipara Harbour; [lower left panel]: Hauraki Gulf YBF; [lower right panel]: Hauraki Gulf FLA(TOT);



Figure Q.2: Comparison of series based on catch data prepared using the F2 algorithm and from estimated catch; [upper left panel]: Lower Waikato; [upper right panel]: Northwest; [lower central panel]: East Northland.


[^0]:    ${ }^{1}$ additionally identified the following Fishstocks as being part of FLA 1: BFL 1, BLF 1, BRI 1, ESO 1, FLO 1, GFL 1, LSO 1, SFI 1, SFL 1 , SOL 1, TUR 1, WIT 1, YBF 1, BOT 1, GBL 1, MAN 1, SLS 1, SDF 1
    ${ }^{2}$ requested landings using the following three letter codes: 'FLA', 'BFL', 'BLF', 'BRI', 'ESO', 'FLO', 'GFL', 'LSO', 'SFL', 'SOL', 'TUR', 'WIT', 'YBF', 'BOT','GBL', 'MAN', 'SLS', 'SDF'

[^1]:    ${ }^{3}$ The statistical area definition for FLA 1: 001-010, 041-048, 101-107

[^2]:    ${ }^{4}$ Although there were very few FLA 1 event-level records in this data set, this procedure was followed for consistency with other inshore CPUE analyses and to ensure that all trips were stratified to the same level.
    ${ }^{5}$ This secondary sort needs to occur to ensure that repeat analyses of the same data will give the same results; otherwise the sort order will change randomly unless it is constrained by a rule.

