Haumaru Kai Aotearoa

# Application Form AP7 Recognition of a Person under the Animal Products Act 1999

#### Before you start, let's check that you have everything you need:

- You are filling in this form because you require recognition as an evaluator, verifier or assessor under the Animal Products Act 1999. To check that this form is correct for your intended recognition, view <a href="https://www.mpi.govt.nz/legal/approved-organisations-and-people/">https://www.mpi.govt.nz/legal/approved-organisations-and-people/</a>
- The application fee according to the payment section of this form. Note: all fees on this form are inclusive of GST.

## Read these notes before you start filling out the form:

 Individuals may apply to the Director-General of MPI for recognition under section 103 of the Animal Products Act 1999 to carry out verification functions and activities or other specialist functions and activities for the purposes of that Act.



- This icon is used when you need to make a decision. The question will help you decide whether you need to complete a particular section.
- Throughout this form you will need to tick boxes that look like this: . A checked box indicates a 'yes' answer.
- Send the completed application form together with the fee and any other documentation required to MPI at the above address. We prefer email files. Processing time is up to 20 working days from the time we determine that your application is complete.
- If there are any changes to the details provided in this application after the application has been sent to MPI, you must promptly inform us of the changes in writing.

#### Frequently used terms

GST = Goods and services tax

**KTP Model** = Key Technical Person Model, the performance-based assessment scheme for the management of recognised persons by a recognised agency

**MPI** = Ministry for Primary Industries

RCS = Regulated Control Scheme

**RMP** = Risk Management Programme



Haumaru Kai Aotearoa

# Application Form AP7 Recognition of a Person under the Animal Products Act 1999

Processing time is up to 20 working days from the time we determine that your application is complete.



# Question A: Are you applying for a new recognition or renewing/amending an existing recognition?

□ New  $\rightarrow$  Continue straight to Section 2.

 $\Box$  Amendment  $\rightarrow$  Complete Section 1, then all relevant sections and indicate clearly where details have changed.

 $\Box$  Renewal  $\rightarrow$  Complete Section 1, then all relevant sections and indicate clearly where details have changed (police vetting form not required for renewal)

Section 1. MPI Recognition Identification (amendments/renewals only) Your unique recognition identification which is 3-10 characters in length.

**Recognition ID:** 

| Section 2. A          | pplicant Details  |  |  |
|-----------------------|---|--|--|
| Full name of person a | Full name of person applying for recognition, and organisation of employment (where appropriate). |  |  |
| Applicant full name   |   |  |  |
| Organisation          |   |  |  |

| Section 3  | 3. Business Address and Contact Details of Applicant |   |  |
|------------|--|---|--|
| Street/Ph  | ysical (location of actual premises)                 | Postal, including post code (for communication)                       |  |
| Address:   |  | Address:  |  |
|            |  |   |  |
| Town/City: |  | Town/City:  |  |
| Postcode:  |  | Postcode:   |  |
| Country:   |  | Country:  |  |
| Phone      |  | Mobile  |  |
| Email      |  |   |  |
|            |  |   |  |
|            | By entering an email address you consent to l        | peing sent information and notifications electronically, if required. |  |



# Question B: Are you applying for recognition as an evaluator?

 $\Box$  Yes  $\rightarrow$  Complete Section 4.

 $\square$  No  $\rightarrow$  Continue to Question C.

| Section 4. Evaluator Functions and Activities table |   |  |  |
|---|---|--|--|
| Tick all that apply.                                |   |  |  |
| Function  | Activity  |  |  |
| Heat Treatment Evaluation                           | Dairy Heat Treatment  |  |  |
| Premises and Equipment<br>Evaluation                | □ Dairy Manufacturing, Stores and Transport   |  |  |
| Dairy RMP Evaluation                                | Dairy Stores and Transport     Farm Dairies     General Dairy Manufacture     Infant Formula Manufacture*     Raw Milk Products Manufacture* *note person must be recognised, or applying for recognition, in general dairy manufacture to include these Activities |  |  |
| Non-Dairy RMP Evaluation                            | <ul> <li>General Non-Dairy (for all evaluators); and</li> <li>Aseptic processing</li> <li>Thermal processing of low acid canned products</li> <li>BMS depuration</li> </ul>   |  |  |

# Question C: Are you applying for recognition as a verifier?

☐ Yes  $\rightarrow$  Complete Section 5.

 $\Box$  No  $\rightarrow$  Continue to Question D.

| Section 5. Verifier Functions   | and Activities table  |
|---------------------------------|---|
| Tick all that apply.            |   |
| Function                        | Activity  |
| Dairy RMP Verification          | Dairy Stores and Transport  |
|                                 | 🔲 Farm Dairies  |
|                                 | General Dairy Manufacture (without heat treatment)  |
|                                 | General Dairy Manufacture (with heat treatment)   |
|                                 | Grade A product*  |
|                                 | Infant Formula Manufacture*   |
|                                 | *note: person must be recognised, or applying for recognition, in General Dairy Manufacture |
|                                 | (with heat treatment) to include these Activities   |
| Non-Dairy RMP Verification      | General Non-Dairy*; or  |
|                                 | Limited - Bee Products  |
|                                 | Limited – Chicken producers   |
|                                 | Limited – Eggs  |
|                                 | Ante-mortem/Post-mortem examinations  |
|                                 | Aseptic processing and packaging  |
|                                 | BMS Depuration  |
|                                 | ☐ Thermal processing of low acid canned products  |
|                                 | *note: in some cases, recognition may be for specific industry sectors                      |
| Official Assurance Verification | Dairy Official Assurances   |
|                                 | Official Assurances Programme – Bee Products  |
|                                 | Official Assurances Programme - Live Animals and Germplasm                                  |
| RCS Verification                | Poultry Compartments  |
|                                 | 📃 Raw Milk Farm Dairies*  |
|                                 | Raw Milk Depots*  |
|                                 | ☐ Transport, Depots and Export Loading Facilities   |
|                                 | *note: person must be recognised, or applying for recognition, in Dairy RMP Verification to |
|                                 | include these Activities  |

# (1) Question D: Are you applying for recognition as a farm dairy assessor?

] Yes → Complete Section 6.

☐ No → Continue to Question E.

| Section 6. Assessor Functions and Activities table   |  |  |
|--|--|--|
| Tick all that apply.   |  |  |
| Function   | Activity   |  |
| Farm Dairy Assessment  | 🗌 Farm Dairies   |  |
|  | Raw milk RCS*  |  |
|  | US Grade A Farm Dairy*   |  |
|  | *note: person must be recognised in Farm D to include these Activities |  |
| Question E: Are you applying for recognition as a non-dairy evaluator?   |  |  |
| $\Box$ Yes $\rightarrow$ Complete Section 7, then go straight to Section 11 if you aren't applying for anything else |  |  |

□ Yes → Complete Section 7, then go straight to Section 11 if you aren't applying for anything else. □ No → Go to Question F.

| Section 7.         Evaluator Minimum Documentation Requirements           Send in all the required documents. Your application will not be processed until all documents are received.  |  |  |
|---|--|--|
| Police Vetting Service Request & Consent Form (Appendix 1).   |  |  |
| Evidence you meet the requirements of N3.1 of the Animal Product Notice: Production, Supply and Processing.   |  |  |
| ☐ If you have applied for BMS depuration, Aseptic processing and packaging, and/or Thermal processing of low acid canned products, include evidence of completion of relevant courses listed in N3.3 of the Animal Product Notice: Production, Supply and Processing. |  |  |
| Question F: Are you applying for recognition as a farm dairy assessor?  |  |  |
|   |  |  |
| Section 8.         Farm Dairy Assessor Minimum Documentation Requirements           Send in all the required documents. Your application will not be processed until all documents are received.  |  |  |
| Police Vetting Service Request & Consent Form (Appendix 1).   |  |  |
| Evidence of technical assessment to confirm you meet the requirements of N3.10 of the Animal Product<br>Notice: Production, Supply and Processing.  |  |  |

# Question G: Are you applying for recognition as a dairy verifier, dairy evaluator or a non-dairy verifier?

| Yes $\rightarrow$ Complete Sections 9 | (as applicable). |
|---------------------------------------|------------------|
|---------------------------------------|------------------|

 $\Box \text{ Yes } \rightarrow \text{ Complete Occurrent}, \\ \Box \text{ No } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straight to Section 10.} \\ \Box \text{ or } \rightarrow \text{ Go straig$ 

M

| Section 9. Verifier or Dairy Evaluator Minimum Documentation Requirements   |   |  |  |  |
|---|---|--|--|--|
| Send in all the required documents. See N2.3 of the Animal Product Notice: Production, Supply and Processing for more information. Your application will not be processed until all documents are received. |   |  |  |  |
| Dairy   |   |  |  |  |
| Dairy RMP Evaluators  | □ Police Vetting Service Request & Consent Form (Appendix 1).   |  |  |  |
|   | Evidence of technical assessment to confirm you meet the requirements of N3.1 and N3.2 (as applicable) of the Animal Product Notice: Production, Supply and Processing.       |  |  |  |
| Dairy RMP/RCS Verifiers   | □ Police Vetting Service Request & Consent Form (Appendix 1).   |  |  |  |
|   | Evidence of technical assessment to confirm you meet the requirements of N3.6 and N3.7 (as applicable) of the Animal Product Notice: Production, Supply and Processing.       |  |  |  |
| Dairy Official Assurances   | □ Police Vetting Service Request & Consent Form (Appendix 1).   |  |  |  |
| Verifiers   | Evidence of technical assessment to confirm you meet the requirements of Table 26C of the Animal Product Notice: Production, Supply and Processing.                           |  |  |  |
| Non-Dairy   |   |  |  |  |
| Non-Dairy RMP/RCS<br>Verifiers  | □ Police Vetting Service Request & Consent Form (Appendix 1).   |  |  |  |
|   | Evidence of technical assessment to confirm you meet the<br>requirements of N3.6 and N3.8 (as applicable) of the Animal Product<br>Notice: Production, Supply and Processing. |  |  |  |
| Non-Dairy Official<br>Assurances Verifiers  | Evidence of technical assessment to confirm you meet the requirements of Table 27B of the Animal Product Notice: Production, Supply and Processing.                           |  |  |  |

|  | Section 10. Recognised Agency Manager Statement Non-dairy evaluators are not required to complete this section. |           |  |
|--|---|-----------|--|
| I confirm that the agency referred to in Section 2 of this form has completed a thorough assessment of the competency of this applicant to perform functions in accordance with the documented procedures and I am satisfied that this person should be recognised to perform the functions and/or activities listed previously. |   |           |  |
| Name   |   | Job Title |  |
| Signature  |   | Date      |  |

#### Section 11. Applicant Statement

#### All applicants to complete this section.

I confirm that:

- 1. I am authorised to make this application as the Applicant or a person with legal authority to act on behalf of the Applicant; and
- 2. The information supplied in this application is truthful and accurate to the best of my knowledge; and
- 3. I am of good character and reputation; and
- 4. There is no other information I am aware of that affects my ability to maintain an appropriate degree of impartiality and independence in carrying out the functions and activities for which I have applied to be recognised.

| Name      | Job Title |  |
|-----------|-----------|--|
| Signature | Date      |  |

#### Section 12. MPI Service Charge

#### ON PAYMENT THIS BECOMES A TAX INVOICE GST No: 64-558-838

| Туре                   | Threshold   | Fee (incl.GST) |
|------------------------|-------------|----------------|
| Registration of Person | 135 minutes | \$194.06       |
| Renewal /Amendments    | 90 minutes  | \$77.63        |

**Note:** The threshold fee listed covers the time a standard application is expected to take. An additional assessment charge of \$155.25 incl GST per hour will be applied when applications take longer than allowed for in the regulations.

**PAYMENT OPTIONS:** Payments comprising multiple fees must be supported by a remittance advice. Please attach your payment confirmation to this application or send it separately to: approvals@mpi.govt.nz

**MPI does not accept cash**. Payment must be made using credit/debit card or direct credit. (Please tick and fill the appropriate section.)

#### CREDIT/DEBIT CARD (preferred option):

1. To pay by credit card. Go to https://www.mpi.govt.nz/food-safety/payments and follow the instructions.

I have attached my credit card payment receipt

#### DIRECT CREDIT:

- 1. Pay into Bank Account no. 03 0049 0001709 002
- 2. In the 'Reference' details, put the surname of the applicant and also include either Company name/ ID / NZBN
- 3. Enter the date of deposit and your name (payer) on this form below:

| Date of Deposit |  | Your Name<br>(Payer) |  |
|-----------------|--|----------------------|--|
|-----------------|--|----------------------|--|

 $\square$ 

#### Section 13. Final Checklist

#### Have you:

□ Filled this form in completely?

Provided required documentation, including any evidence of approval of alternative courses? (where applicable)
 Read and signed the Applicant Statement, and had your manager complete the Recognised Agency Manager Statements? (where applicable)

□ Indicated how the fee will be paid for this application?

#### **Collection of Information**

#### **Collection of Personal Information**

Pursuant to Principle 3 of the Privacy Act 2020, we advise that:

- This information is being collected for the purpose of recognising a person under the Animal Products Act 1999; and
- The recipient of this information, which is the agency that will collect and hold the information, is the Ministry for Primary Industries, PO Box 2526, Wellington 6140; and
- Some of the information collected will be displayed on a public register; and
- The collection of information is authorised under section 107 of the Animal Products Act 1999. The provision of this information is necessary in order to process an application for recognition; and
- The supply of this information is voluntary; however, failure to provide the requested information is likely to result in a return of this application form to the applicant, and may ultimately result in a refusal to recognise in accordance with section 109 of the Animal Products Act 1999; and
- Under Principles 6 and 7 of the Privacy Act 2020, you have the right of access to, and correction of, any personal information that you have provided.

#### Collection of Official Information

All information provided to the Ministry for Primary Industries is official information and may be subject to a request made under the Official Information Act 1982.

If a request is made under that Act for information you have provided in this application, the Ministry for Primary Industries will consider any such request, taking into account its obligations under the Official Information Act 1982 and any other applicable legislation.



NZPVS - 07/23

# Section 1: Agency to complete

For more information please see the Guide to PVS Request & Consent Form

(https://www.police.govt.nz/advice-services/businesses-and-organisations/nz-police-vetting-service/forms-andguides)

#### 1.1 Name of agency submitting vetting request

#### 1.2 Name of the person being vetted

#### 1.3 Description of the role of the person being vetted

This is a brief description of the role (not the job title). This is used by Police to help decide what type of vet is conducted if it is unclear from the following questions.

## 1.4 Which groups will the person being vetted be working with (select all that apply):

#### □ Children/ Young People

□ Vulnerable Adults

#### 1.5 Does the role involve caring for people in the home of the person being vetted?

This is about whether the person being vetted is providing services out of their own home (that is, are vulnerable children or adults visiting the home of the person being vetted for support).

□ No

#### □ Yes

| 1.6 Is the person being vetted: |               |   |  |
|---------------------------------|---------------|---|--|
| □ A paid worker                 | □ A volunteer | Undertaking vocational or<br>educational training |  |

#### 1.7 Is the person being vetted a Children's Worker according to the Children's Act 2014, section 23(1)?

If the person being vetted is not working with children/ young people (Q 1.4), tick 'No' then skip to question 1.11. If the person being vetted IS working with children (Q 1.4) AND is a volunteer (Q 1.6), tick 'No' then skip to question 1.9.

□ Yes

 $\Box$  No (skip to question 1.9)

| 1.8 Is the role of the person being vetted a core or non-core worker role according to the Children's Act 2014, section 23(1)? |                   |  |  |
|--|-------------------|--|--|
| □ Core worker  | □ Non-core worker |  |  |
|  |                   |  |  |
| 1.9 Has the person being vetted previously been Police vetted by your agency?  |                   |  |  |

□ Yes

 $\Box$  No (skip to question 1.11)



# Vetting Service Request & Consent Form

#### 1.10 Is the person being vetted still working in the role for which your agency last obtained a Police vet?

If this request is a renewal of the person's previous vet for this role, please select Yes. Otherwise, answer no.

 $\Box$  Yes

□ No – the person being vetted is applying for a new role or position

#### 1.11 What is the job title of the person being vetted?

## 1.12 Evidence of identity (to be completed by agency representative or identity referee)

See consent form guide for details on how to complete this section

□ A primary ID has been sighted (mandatory)

□ A secondary ID has been sighted (mandatory)

 $\Box$  One form of ID is photographic (mandatory)

 $\hfill\square$  Evidence of name change has been sighted (if applicable)

**OR:** If your agency is able to accept a verified RealMe identity then:

□ An assertion of a RealMe identity has been received (see <u>consent form guide</u> for further information)

#### In making this request, I confirm that:

- ✓ I have complied and will comply with the <u>Approved Agency Agreement</u>.
- $\checkmark$  I am satisfied as to the identity of the person being vetted.
- ✓ I have obtained the authorisation of the person being vetted to submit this vetting request as set out in section 3 of this form.

#### Agency Representative:

| Name:      | Date:                |  |
|------------|----------------------|--|
| Signature: | Electronic signature |  |



# Section 2: Person being vetted to complete and return to agency

\* Denotes a mandatory field

## 2.1 Personal Information

Note the name you are most commonly known by is your primary name

| * Family name (Primary)               |  |
|---------------------------------------|--|
| * First/Middle name(s)                |  |
| * Gender                              |  |
| * Date of birth                       |  |
| Place of birth<br>(Town/ City/ State) |  |
| * Country of birth                    |  |
| NZ Driver Licence number              |  |

#### 2.2 Previous names if applicable

Please include other alias or alternate names; married name if not your primary name; previous/ maiden/ name changed by deed poll or statutory declaration. Please include ALL names (first, middle and last) for each alias/previous name.

| Family name | First name | Middle names |
|-------------|------------|--------------|
|             |            |              |
|             |            |              |
|             |            |              |
|             |            |              |
|             |            |              |

| 2.3 Permanent residential address |  |           |  |
|-----------------------------------|--|-----------|--|
| * Flat/ Number/ Street            |  |           |  |
| * Suburb                          |  | Post Code |  |
| * Town/ City                      |  |           |  |



# Section 3: Person being vetted to complete and return to agency

#### 3.1 Consent to release information

- 1. The New Zealand Police may release **any** information they hold if relevant to the purpose of this vetting request. This includes:
  - Conviction histories and infringement/demerit reports.
  - Active investigations, charges and warrants to arrest.
  - Charges that did not result in a conviction including those that were acquitted (not guilty), discharged without conviction or withdrawn.
  - Any interaction I have had with New Zealand Police relevant to the role being vetted, including investigations that did not result in prosecution or were resolved by an alternative resolution programme.
  - Information regarding family violence where I was the victim, offender or witness to an incident or offence. This is
    particularly relevant where the role being vetted for takes place in a home environment where exposure to physical or
    verbal violence could place vulnerable persons at emotional or physical risk.
  - Information subject to name suppression where that information is necessary for the purpose of the vet.
- 2. If I am eligible under the Criminal Records (Clean Slate) Act 2004, my conviction history will not be released **unless**:
  - a. Section 19(3) of the Clean Slate Act applies to this request (exceptions to the clean slate regime).
  - b. Section 31(3) of the Children's Act 2014 applies to this request (safety checks of core children's workers).
  - c. The vetting request is made for the purpose of an overseas visa/work permit and authorises the vetting report to be provided directly to the relevant embassy, high commission, or consulate.

Please see the <u>vetting website</u> for more information regarding the Clean Slate legislation and what may be released.

- 3. The Police Vetting Service may disclose newly obtained relevant information to the requesting agency after the completion of the Police vet in the following circumstances:
  - a. The disclosure of the newly obtained information is justified under the Privacy Act 2020 (if it had existed or been available at the time of the Police vet, it would have been disclosed); and
  - b. The Police Vetting Service has taken steps to confirm that the purpose for the Police vet still exists e.g., that I am employed or engaged in a role that required a Police vet.

The Police Vetting Service will take reasonable steps to notify you prior to the disclosure.

- 4. Information provided in this consent form may be used to update New Zealand Police records.
- 5. I am entitled to a copy of the vetting report released to the agency (to be provided by the agency) and can request a correction of any personal information by contacting the Police Vetting Service.
- 6. Please notify the agency or the Police Vetting Service if you wish to withdraw your consent.

For further information about the vetting process, please see the vetting website.

#### Authorisation of person being vetted:

- ✓ I confirm that the information I have provided in this form relates to me and is correct.
- ✓ I have read and understood the information above.
- ✓ I authorise New Zealand Police to disclose any personal information relevant to my application (as described above) to the agency making this request for the purpose of assessing my suitability.

| Name:      | Date:                |  |
|------------|----------------------|--|
| Signature: | Electronic signature |  |